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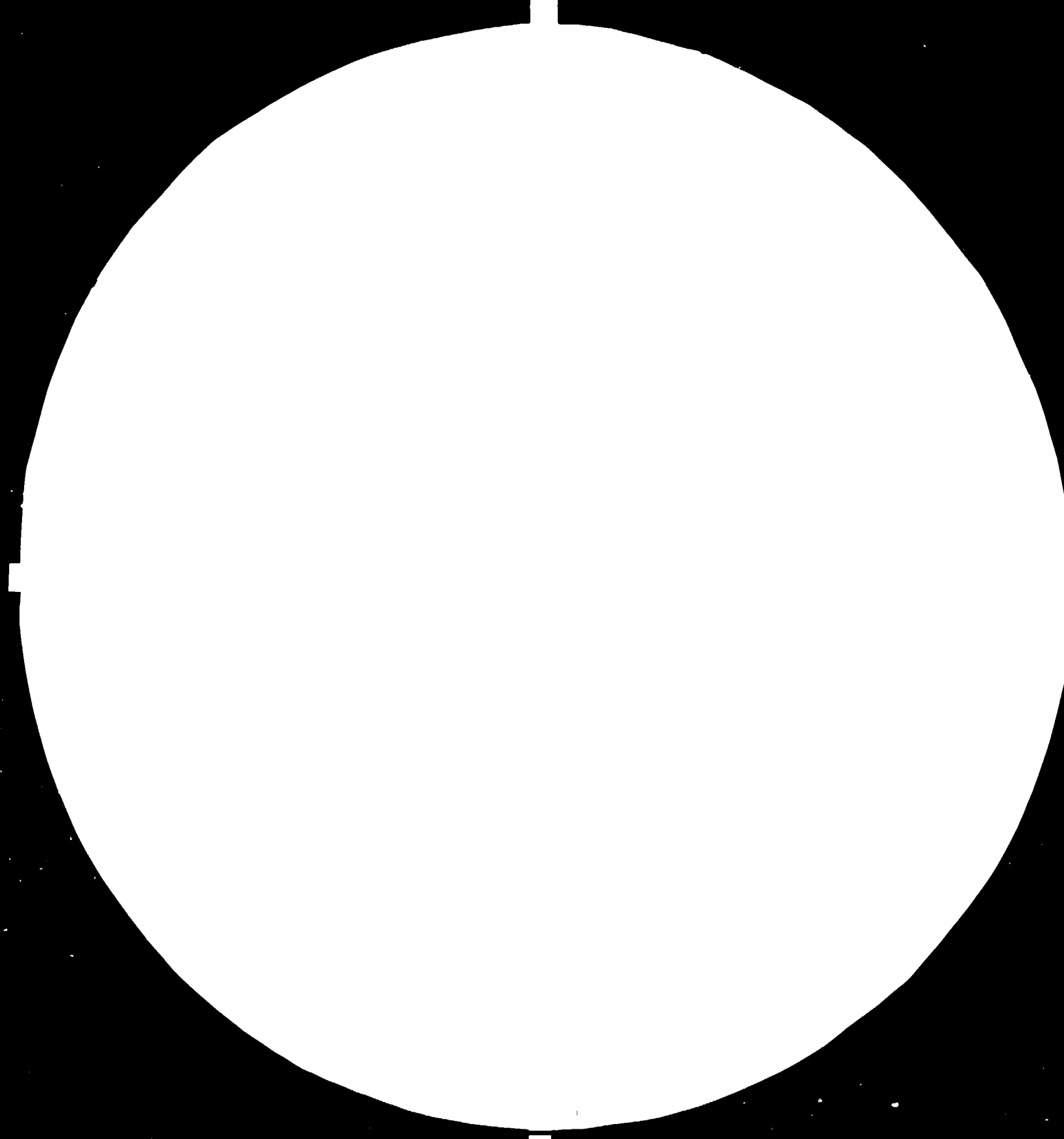
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Iraq.

A Techno-economic Study on a 'Silicon Foundry' in  
the Western Asia Region,

by Stephen L. Gilbert,

UNIDO consultant

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The joint UNIDO/ECWA mission to Iraq in late November-early December of 1984 was helped greatly by the excellent planning performed by ECWA personnel Dr. Hassan Charif and Dr. Ribhi Abu El-Haj. Visits with the principals in local industry and government sectors were most informative and the persons contacted were most eager and willing to discuss in detail the special problems that this issue addresses. Special mention must also be accorded the able assistance of the UNDP office in Baghdad for their assistance in logistical matters.

Two members of a French delegation from DIELI, Dr. Gerard Matheron of the Ministere du Redeploiement Industriel et du Commerce Exterieur and Dr. Rene Micolet of the Laboratoire d'Electronique et de Technologie de l'Informatique, Grenoble, joined the mission and contributed both to the discussions and to an addendum included in this report.

## Introduction

The silicon foundry approach to integrated circuit design is aimed at completely restructuring the semiconductor industry in such a way that anyone with a design idea can get that idea cast in silicon at a reasonable cost. To achieve this end, "thought stages" associated with design are separated from the "mechanical stages" associated with fabrication. (2)

This innovation requires comprehensive organization to establish such a new 'foundry' approach to semiconductor manufacturing. Many diverse skills are required in a complex orchestration extending over many months of constant effort to realise a finished product. Each and every step must be performed precisely and in harmony, both with the step preceeding and the one following, to yield the desired results. The technology necessary to produce microelectronic devices, such as have been created within the last decade, can, at first contact, appear awesome.

It is necessary to pursue this technology slowly and purposefully with a careful eye to precisely what benefits are desired. The nature of the technology is such that half-measures generally produce little or no results; a critical mass of resources are necessary to initiate the creative process and produce results, as resources expended at the wrong time or in the wrong areas could be entirely wasted. The competition in

microelectronics in 'developed' countries has shown the technology to be quite a risk; albeit a necessary and profitable one. The learning experience necessary to create a successful indigenous microelectronics technology requires time to mature. The establishment of a regional 'silicon foundry' capability will accelerate the achievement of that maturity.



## A. Assessment of Current Microelectronic Technology Base in Iraq

Throughout the mission to Iraq particular attention was paid to the operations level of semiconductor technology being performed at the various installations. It is possible to assess the level of technology in a quantitative manner by dividing along the skill levels necessary to implement a particular semiconductor operation. This is not to say that this represents a QUALITY distinction, but rather that differing skills are required to perform at each operational level.

Such a division of operations would resemble the following functional operations:

- A) Assembly of complete electronic products from vendor supplied electronic subassemblies and component parts.
- B) Design and production of printed circuit boards and subassemblies
- C) Production of simple passive component parts, i.e. resistors, capacitors, etc.
- D) Design and production of Solid State discrete active components
- E) Design and production of simple linear IC's & Gate Array customization
- F) Design and production of Semicustom IC's..bipolar/MOS
- G) Design and production of Custom IC's..bipolar/MOS/CMOS

As one proceeds down the list, the operational level of technology necessary to successfully perform the next level is cumulative. That is to say the previous step must be performed with precision and efficiency before the next operational level

can be successfully undertaken. Each successive level is dependent on the completion of the proper prior level for successful product completion.

It becomes apparent that the highest skill levels are required for design and production of fully custom IC's while minimal skill levels are required to successfully perform the assembly operations that yield a completed product. It is obvious that the initial operations attempted by developing nations concentrate on the most productive and easily achieved operational level: that of assembly operations.

More ambitious SOLID STATE operation levels require first developing a mastery of technology levels A through C before products can be achieved with any degree of success. It was with some pleasure that an assessment of the Mansour facility in Baghdad, Iraq indicated an operational technology level of E; quite an advanced level.

a) Mansour Facility, Baghdad

The mission visited the Bipolar production facility and had discussions concerning the operational capability of the facility with Dr. Adib Nu'man Abdul Aziz, Assistant Manager, Dr. Munim D. Salim, Production Engineering Manager, and other staff members.

The Mansour Semiconductor facility has been very intelligently planned to take advantage of several factors:

: The facility support functions, such as deionized water purification and air liquification, have been established as separate economic operations and provide service not only for the

semiconductor facility but also serve as a central source of supply for all such high quality materials in the country. This allows the cost of the installation to be shared among other consumers of these materials such as a battery plant (uses DI water as electrolyte) and welding suppliers (use hydrogen and inert gasses from air liquification plant).

: The buildings have been designed to allow additional expansion within the complex for higher level operations without having to redesign distribution systems for most facilities. The HVAC (heating, ventilation, and air conditioning) system is configured to allow adequate environmental control for existing operations and quite possibly could form the basis for a more sophisticated system in the future. The facility was designed with the intention of continuing operations at the same site for the more difficult technical operational levels. (PMOS, NMOS, CMOS)

: The facility is quite remarkable in overcoming the rather severe environmental constraints; airborne particulate contamination during summer dust storms, waterborn contamination of silt from the Tigres River, and the great extremes of temperature experienced during Baghdad summers. Higher level operations that produce very small device features (high density devices) require very tight control of environmental conditions.

The Mansour facility functions at the level of discrete devices and although it produces linear bipolar devices ( Simple Linear IC's) it has been hampered by the inability to design IC's within the facility. The original patterns for mask making are not only still 'laid out' or drafted by hand but are also transfered to the final optical lithography bench by

painstakingly cutting the pattern by HAND into Rubylith. It is currently functioning at an operations level of between D & E. With the addition of a suitable pattern generator to transfer designs electronically to the mask and by eliminating the hand operation steps, Mansour could be operational at level F.

b) National Research Council, Electronics Section

The mission had the opportunity to discuss the organization and direction of researches of the National Research Council with its Director, Dr. Monther Takriti, who invited the mission to visit the electronics and architecture sections.

The mission was given a tour of the Electronics Section by Dr. M.S. Abdulwahab, the head of the section.

The electronics section has been in existence for one and one half years. It is currently developing applications using discrete purchased components. These applications are directed at regional and national problems, such as electric motor power-factor controllers to conserve electrical power, and robotic controls for industrial manufacturing.

The electronics section has the potential to evaluate specific design applications by building feasibility circuits. Application specific designs are currently being evaluated by breadboarding the circuits with discrete IC's purchased from foreign vendors. Availability of the more sophisticated devices is poor and the small quantities required for feasibility studies do not provide a sufficient profit incentive to ensure adequate supply. Delays in procurement also result from restrictions on

importation of electronic materials. This is due in part to local political and/or military expediencies.

The lack of a local manufacturing capability for microelectronics custom chips hinders the transfer of technical applications from the research stage to the manufacturing evaluation stage. This lack of manufacturing outlets for production of completed application designs produces a sense of frustration. This institution would be a major source of semicustom designs for regional applications should a regional 'silicon foundry' come into existence.

As is common at all electrical technical institutions, in Iraq efforts are hampered by a lack of trained personnel; particularly experienced engineers at senior levels. Dr. Abdulwahab expressed a desire to have increased professional contact with electronic specialists in other Universities and institutions.

c) National Research Council, Architectural Section

The mission held discussions with Dr. R.Tabuni, Head of the Architecture Section and staff members.

The architectural section uses two-dimensional computer aided design tools (CAD) primarily as automated drafting devices. This department expects delivery of high quality Hewlett Packard computers specifically configured for drafting work along with the necessary software programs to efficiently use them. The CAD programs that perform architectural drafting are quite similar to those used to design masks for IC's and both can conveniently be run on the same equipment with little or no modifications.

Hewlett Packard also sells specific CAD programs to design masks for IC customization if it is desired not to adapt the architectural drafting program for IC design use. The computer system purchased by the architectural section is quite adequate to perform design mask customization for use in semicustom GATE ARRAY TECHNOLOGY.

d) University of Baghdad, Electrical Engineering

This department is currently teaching general engineering skills necessary to form a background level of expertise. It is not, however, producing chip level design expertise rather, teaching applications of existing chip designs, and designs involving discrete devices. The programs offered should produce competent junior engineers, talent that could perform more sophisticated work in microelectronics fabrication (Foundry) if given proper direction and leadership. It is my understanding that graduates are being further trained ON-THE-JOB at the Mansour facility to improve their skills in the fabrication techniques. This is a very valuable method for increasing the level of education and extending the technology. It is not adequate however, to educate design engineers as the Mansour facility does not currently support a design function.

e) University of Baghdad, Computer Center

A very helpful discussion was held with Dr. Salam N. Salloum, Director, Computer Science Department in regards to the computational facilities available at the University and the

educational programs offered there. During the discussion it was revealed that Dr. Salloum had studied aspects of 'routing' theory used to shorten lead lengths on a silicon chip to increase the signal speed.

The Computer Center at the University of Baghdad is typical of many large university centers in that there are sufficient users to support efficiently a large mainframe computer system. This type of system lends itself well to modeling studies and theoretical analysis of many aspects of microelectronic processing. However, the state-of-the-art in process simulation does not yet allow sufficiently accurate modeling of process variables. In addition to simulation modeling it is still a necessary requirement to empirically determine the values for each process step to optimize a process technology.

The most effective computer systems for design are ones that have dedicated interactive graphics capability, similar to the small stand-alone minicomputers used by the architecture section at the National Research Council. The most powerful of these mini's, the super-mini's, are generally true 32 bit machines with dedicated microprocessors for CPU, I/O, and interactive graphics display. While the systems purchased by the architecture section are suitable for design, the main-frame computer in the University center is not and I am unaware of any super-mini's in Iraq. (CPU = central processing unit; I/O = input/ output functions)

f) National Iraqi Electronics Co.

A meeting and informative discussion with Dr. Ahmed Rafe'h,

Director, preceded a visit of the factory. The Company is a mix-sector effort, 50% public..50% private shareholders.

The company is primarily an assembly operation producing one quarter million telephones, radios, and fifty thousand televisions per year. The company employs 1500 people and provides 60 % of the passive components necessary for assembly. The existing technology and designs are provided via contract with offshore vendors. A small capability to modify existing designs and/or operations to account for local conditions of temperature and voltage variations has been developed at the facility. The facility operates at levels A, B, & C

g) Ministry of Industry and Minerals

An overview of the regions data processing capability was presented to the mission by Dr. Abdulilah Dewachi, Executive Director, Information Processing Center.

The Information Processing Centre serves as a central computational facility that connects all sectors of industry and government. As a central facility it can more easily access the kinds of support necessary for specific projects. The center employs twenty programers to provide service in using the systems. The purchase of all computer systems in Iraq is coordinated through the government and one vendor's equipment predominates. This makes for good compatibility between systems and facilitates the exchange of data throughout the country. A new Hewlett Packard Model 9000 system has been purchased with software support capability suitable for two and three



dimensional design. Such programs could be applied to customization layouts for mask making at the Mansour facility. The prime users at this time for such software programs are mechanical engineering designers. The capability at the Center is similar to that purchased by the Architecture section of the National Research Council.

B. Technology Strengths

A) Iraq Semiconductor manufacturing facility (Mansour Unit Operations) is suitable for addition of MOS processing IF suitable volumes of product dictate the sizable capital investment required; the facility is immediately suitable to increase the level of technology and complexity in the fabrication/design of Bipolar Linear IC's. (Note: See addendum for further details to achieve this increase)

This improvement would raise the operational level to E & F, and also allowing semicustom GATE ARRAY TECHNOLOGY to be processed at the plant.

B) Due to the selection of one vendor's computer equipment there is compatibility of computer systems nation-wide which enables educational resources in design methodology to be shared in every area of the country. All sectors of the industry are connected by the central computational facility AND can communicate design informations through this network.

C) Well established University programs exist with excellent support provided at the national level of funding to educate and train new junior engineers locally, ensuring a continuing supply of indigenous

engineers.

- D) There are technically educated administrators in many sectors of the industry. It is evident that strong prioritys are given to technological considerations in deciding which directions to take in all technology sectors, education, research, and manufacturing.
  
- E) Programmers are trained locally, and receive on-the-job training at the Information Processing Center or the University Computer Center. It is essential that such a cadre of programmers be developed to assist in transferring applications into silicon designs.
  
- F) Future missions to other regional semiconductor installations will identify other strengths which could be incorporated into a regional semiconductor resource plan.

C. Technology Weaknesses

The current state-of-the-art in microelectronic technology in Iraq shows great promise and considerable expertise, however the indigenous technology effort suffers from the following problems;

- A) The current production mix was not designed within the region. It was a purchased expertise which did not lead to the development of design capability locally. Tools that are supplied are used well, but the process of creating tools remains with foreign vendors. There is an attitude, apparent even to a non-native, of a certain lack of respect for locally developed products; a concern that offshore vendors products are, and will remain, superior in quality.
  
- B) There is no in-place procedure to maintain an up-to-date technical community by continuous training and contact with state-of-the-art institutions and industry. Current process expertise is approximately 10 years out of date AND rather than catching up, is instead falling behind more rapidly. Communication with the cutting edge of the technology is a vital necessity to retain and keep the technical skills of the individual engineer at a current level of technology.

In this high technology environment it is not unusual for the technical staff to require about 15% to 20% of their work

time during a year to be devoted to training.'(3)

C) When a contract is let to a foreign vendor to provide the tools to manufacture a semiconductor product the foreign vendor provides the design and the organization. The specifications may be provided by local engineers, but the bulk of the technical expertise is involved in the design and organization of the product. It is in this manner that the technological expertise of the foreign vendor is enhanced. By relegating the major elements of the process to foreign expertise, no local indigenous expertise is developed. A simplified approach to viewing this problem would be to equate the risk involved to the benefit realised. Thus if a foreign company/industry typically supplies design and guarantees the product feasibility...the local expertise;

experiences & gains

-----  
no risk = no ingenuity necessary to solve problem  
no risk = no incentive (professional pride)  
no risk = no product advantage in market  
no risk = no profitability in world market

This is not a foolproof technology; learning is accompanied by mistakes and risks must be taken to develop technical expertise.

#### D. Regional Needs and Goals

' Many enterprises in both the public and private sectors can be expected to have a good grasp of fundamental knowledge required to have THOUGHT of the application in the first place, but not have the technical base to complete the entire application design.' (3)

It was a common complaint heard throughout the mission that efforts in several areas progressed to a certain point and then were forced to stop because the necessary connections to the next logical step did not exist. There is no continuity between research and development institutions and a distributors shelves in the marketplace. Several institutions are actively investigating state-of-the-art designs for microelectronic applications and have proceeded successfully to the feasibility stage. What remains to achieve usefulness is to link the feasibility stage with a manufacturing and distribution/service step. The region needs to make use of the potential bottled up within the research and development function without an outlet to the public sector. The region does not benefit from applications that remain only potentials.

It is desireable to create an entrepreneurial atmosphere within the technical community. This inovative spirit is directly responsible for the tremendous expansion in developed countries microelectronics capabilities. Many developing

countries lose their most talented engineers to countries that provide "perks" highly rewarding that talent. Each developing country should consider what indigenous "perks" could be used to retain these talented engineers.

The path to success in applying microelectronics technology within the region will make demands on the technical community as a whole. The acceptance of 'traditional' methods of achieving certain ends must give way and yield to new techniques. The microelectronics applications are most likely to manifest themselves in areas where the information processed is not conveniently verified by any individual, (as in the case of mask layout designs) producing a doubt in the minds of many as to the accuracy of the results. This inability to verify, to confirm the results is a condition that must be accepted to enable the valuable conclusions to be applied with confidence.

A decentralized design philosophy is appropriate for the region. The designer needs only the application design criteria and the design rules for the particular technological process to perform his task. It is not necessary for the design center to be located in close proximity to the fabrication area. It is necessary, however, for the design center to be staffed by a technical staff of sufficient 'technical mass' to handle any applications that might be encountered at the particular location. The nature of the design function is special in that solutions to individual design applications can be applied in whole or part to many other applications. This indicates that the maximum interaction between designers would accelerate their learning and efficiency. Designers are creative elements within the

microelectronics field and require a certain level of interaction among their colleagues to maintain a level of "mental health". Accordingly while it is feasible to locate design installations remotely from the fabrication facility it is imperative that good communications be maintained between design facilities and the fabrication facility. By dispersing design centers throughout the region a closer contact can be maintained between the designers and the users of applications.



E. Plan of Action:

A successful plan of action in applying microelectronics technology could be organized along lines consisting of functional divisions and implemented by programs designed to achieve specific goals independently or lines consisting of functional divisions and implemented by programs designed to achieve specific goals independently of each other. Such programs can pursue several activities in parallel when organized and executed with close coordination. To be successful it is necessary to identify quite precisely the specific goals that are desired and set priorities to control the timing and use of generally scarce resources; both technical and economic.

Let us assume a list of desirable goals that could be attained from those proposed in conversations held with industry principals throughout the mission:

- 1) technical proficiency of regional applications
- 2) economic viability of regional application
- 3) regional selfsufficiency in microelectronics
- 4) economic viability on a world scale in microelectronics

In order to achieve these identified goals one must set priorities, consider which goals have common elements among them, and then organize a program of action to achieve the common elements; thereby securing the maximum value from expended resources.

One can now consider a three fold approach in developing an action plan:

1..... Define priorities;

- a) To acquire advanced technology in microelectronics to intelligently direct and guide the technology applications to extract the maximum benefit from the technology for the region.

....meets goal 1

- b) To develop regional self-sufficiency in ALL aspects of microelectronics technology; i.e. microprocessor design and manufacturing; microcomputer design and manufacturing; assembly of region-specific applications, etc.

....meets goals 1,2,3

- c) To compete on an international scale to preserve foreign capital and reduce balance of payments due to importation of electronics, both components and assemblies in the commercial and consumer sectors

....meets goals 1,2,3,4

At the present technical level of operation, attempting to achieve goals 3 and 4 would be very expensive and in my opinion totally inappropriate for the developing microelectronics industry of the region. Rather, the strengths identified previously should be built on and enhanced, while improvements made in the areas' considered shortcomings. This emphasis applies to BOTH the "hardware" aspects of the technology and the "applications/design...or software" aspects.

"In the inexperienced hand any tool reverts to a hammer" (7)  
The microprocessor and other microelectronics "hardware" need appropriate programs and applications to fashion the technology

into something more than just an expensive hammer. A demonstration project is necessary to achieve credibility and show a positive benefit from the technology. Even if offshore hardware capabilities are used to achieve results, it would prove a valuable example throughout the region and serve as a model to emulate.

A particularly interesting evaluation can be made utilizing an "Added Value" concept (3) as applied to growth in engineering capability, in that if considered the primary yardstick for evaluating microelectronics progress due to an activity, then the process becomes straightforward and successfully integrates the quite diverse factors of economics and regional selfsufficiency.

The main advantage to microelectronic technology for developing countries is that a single program can successfully handle many simultaneous similar applications even where only unskilled personnel are available. With the aid of such a programmed calculating device the expertise of the programmer is extended and reproduced at every location where the program is utilized. It is then obvious that the most capable and appropriate programs need be developed.

'By putting the computing power of a large main-frame computer on a chip costing just a few dollars, by making these chips cheap and easy to use, and with skill requirements predominantly in software programming capability, microprocessor utilization comes well within the reach of developing countries.' (5)

## 2.....Identify Program Elements

- 1) MARKET SURVEY to determine what specific applications need be addressed, and what quantity of devices are required to satisfy the need. Reference (3) will provide details and important insights into required informations "Product Marketing"
- 2) Initial DESIGN SPECIFICATIONS GROUP, reduces applications to semicustom gate array logical design functionality
- 3) Offshore supplied GATE ARRAY MASTERSLICE customized locally for the application design to gain experience in semicustom fabrication
- 4) SILICON FOUNDRY develops REGIONAL capability to produce PMOS/NMOS/CMOS masterslices achieving regional independence in GATE ARRAY technology.
- 5) Improve/establish LINKAGES between the regions Universities and industry.
- 6) Consideration must be made to the DISTRIBUTION, SERVICE, AND MAINTENANCE networks for products see (3)

Product Marketing Concepts are at the crux of the current consideration, where to go after preliminary engineering feasibility, what scale manufacturing is necessary to meet future demands for the product? What price should be charged to make manufacturing feasible? All these questions demand answers before a silicon foundry can be justified. 'It is important to recognize that Product Marketing is responsible of IDENTIFYING the correct market conditions.' (3)

It is very important to realise that education in this field is not and cannot be stagnant. In other engineering fields you would not hesitate to reject outmoded techniques of construction, architecture, or transportation 30 years out of date. In the

semiconductor field 5 years is equivalent to 30 in more traditional engineering fields. Design engineers must constantly improve their skills by working on successful applications, it is a learning experience necessary to retain competence.

It is essential that appropriate linkages are created between University workers and the developing industry. One must realise that the designers trained within the university system are the CONSUMERS of microelectronic technology. It is these engineers that will choose the particular microelectronic device used to accomplish the application. It is unreasonable to expect local engineers trained to use foreign products exclusively throughout their education, and taught that these devices are superior, to adapt locally produced devices into their application designs. If one were to use the same mindset within another industry, say chemical refining, and asked engineers to change their choice of equipment manufacturer to one they had no experience with, you would have a sizable argument!

### 3.....Recommendations

Planning for a regional 'silicon foundry' must provide a global viewpoint which connects the entire process of utilization of microelectronic technology; inception/design/inplementation /manufacturing/distribution/maintenance should all be considered in both a local and regional perspective. It may be productive to combine functions in certain geographic areas and separate them in others. Careful consideration should be paid to the possibility of dividing technologies, such as bipolar and MOS operations between different member states to avoid redundancy of effort.

I recommend accelerating the development of application programming and design development expertise by utilizing offshore vendor-supplied GATE ARRAY MASTERSLICE TECHNOLOGY, utilizing a SINGLE LAYER CUSTOMIZING STEP, METALIZATION, to produce semicustom logic schemes dedicated to applications indigeous to the region.

The fabrication process at a US silicon foundry, MOSTEK, was simplified to one product, an MOS GATE ARRAY MASTER SLICE. MOSTEK had produced a variety of MOS products prior to takeover of the company by UNITED TECHNOLOGIES. After the takeover a new philosophy was imposed on the fabrication process to produce a single product suitable for almost all applications in the company. MOSTEK choose NMOS technology as its single process standard and proceeded to adapt its production to that single

technology.

In order to produce the wide variety of applications necessary for such a large company, the fabrication processing of the silicon wafers is halted just before the final interconnections of transistors is performed by etching the metal interconnect layer. At this stage a special semicustom mask is used to apply the custom design pattern from the design section computer by means of a photolithography procedure. The final metal interconnections are then formed by etching metal lines between each transistor necessary in the applied pattern, producing a semicustom device. The GATE ARRAY approach has the highest flexibility in product applications primarily because changes from application to application are made only in one process step, the metal level mask, and the rest of the fabrication process remains unchanged. This approach makes minimal changes in the more difficult steps of the fabrication process and results in an optimal yield.

The METALIZATION step is the process where all the individual transistor on the chip are interconnected into an "integrated circuit". A thin metal layer is evaporated onto the silicon wafers under vacuum conditions. A photoresist step exposes a semicustom mask whose pattern defines the specific application the device will serve. The metal is etched away leaving interconnections to satisfy the logical requirements of the design engineer. It is this step in the process that differentiates gate array devices into the different applications.' (6)

This GATE ARRAY approach is viable for PMOS, NMOS, and CMOS masterslice technologies as suitable design software is developed. Logical design software can be written such that the applications designer is unaware of the particular technology actually used to construct his device.

The GATE ARRAY approach is also viable from a hardware perspective. Since the design methodology is independent from the process technology, a simpler process can initially suffice to begin indigenous production via a regional foundry such as Mansour. This would enable a PMOS masterslice process to satisfy the starting requirements for product, allow changeover to NMOS masterslice production when it becomes a viable process, and ultimately permit utilization of a CMOS masterslice process when it is supportable at a regional facility. It should be pointed out that this sequence of processes corresponds to the historical development of the technology AND reasonably expresses the relative degree of difficulty in implementing the respective technologies. I do not believe it advisable to attempt to circumvent these process learning steps by leaping into a more advanced technology before perfecting the predecessors.

At the present time a GATE ARRAY approach could be started with no in-house masterslice processing at the Mansour facility. Mansour has the capability to utilize vendor-supplied masterslices and also to manufacture the metal level customizing mask. What would be required is an optical pattern generator to transfer applications designs from computer tapes into processing masks to customize the purchased masterslice into a finished product.



The etching and mask processes are currently supported in the bipolar lines and would need minor changes to implement this step for GATE ARRAY masterslice customizing. An interactive graphics mini-computer system, possibly a HP 9000, would also be required to complete the automatic handling of design data and could also serve to make minor corrections as very few designs are totally error free.

It is imperative to share the scarce resource of technically trained personnel within the region. Currently technically trained persons are lost to the technical community by vertical mobility. The trained people are successful and rapidly advance to the administrative levels where there exists a great need for their talent. This advancement, while necessary, contributes a significant drain on scarce technical resources. Concurrently, the administrators find little opportunity within their administrative functions to retain their technical expertise and become 'obsolete' in their fields within a short span of years.

UNIDO/ECWA could serve as a coordinating organization to initiate regional information exchange via lectures and symposia. They could also organize a series of short courses presented by experts from outside the region and attended by WORKING engineers to accelerate learning in state-of-the-art technologies. It was suggested at the University of Baghdad that some form of faculty exchange between Universities both within and from outside the region would enhance the flow of new technology into the educational sector. Included in the appendix are brochures illustrating the nature of activities currently being pursued in

developed countries to achieve this technology transfer.

It would be advisable to consider the experience of the French in creating a design capability. The creation of such an infrastructure as a result of government policy does not parallel the path followed by most developed countries, where a strong profit motive and abundant venture capital provided motivation. Their experience may provide additional insight into other possible alternatives.

The capital investment required both in personnel and monies would be reduced by approximately 15 % over what had previously proposed, \$ 50,000,00 US.(6), if the silicon foundry was incorporated into the Mansour site. The savings would be due primarily to the sharing of existing support facilities, namely the Deionized water supply and the Air liquification plant. The personnel could also share management structure and administrative support functions.

It is feasible to share costs of such a facility by the formation of a syndicate of investors, quite similar to the approach in developed countries, that would limit the financial exposure experienced by each individual member, and would distribute income and products within the syndicated area. Several regional financial groups have expressed interest in the microelectronics technologies supported by such a silicon foundry approach. (1)

Developing countries should not set up plants to manufacture products that must be manufactured in "high level" technical operations to meet the cost and quality standards needed for international competitiveness, where the constant rate of change

of technology is discouraging to newcomers, and entrenched competitors enjoy substantial marketing and production advantages.' (5)

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by Stephen L. Gilbert, UNIDO consultant  
April 1984

## Addendum

Special Addendum in reference to Mansour Unit Production  
by Dr. Rene Micolet

Laboratoire d'Electronique et de Technologie de l'Informatique  
Centre d'Etude Nucleaires de Grenoble, Grenoble, France.

The Mansour Unit has been implemented for the production of  
Silicon Bipolar Transistors (NPN,PNP) and linear int

The Mansour Unit has been implemented for the production of  
Silicon Bipolar Transistors (NPN,PNP) and linear integrated  
circuits. The IC's produced by this plant are based on SGS-ATES  
technologies, and for applications in the field of professional  
(operational amplifier) and entertainment equipment (radio, TV).

In fact, the field of applications of such processors is much  
larger than those corresponding to the present production, and  
there would certainly be good opportunities to satisfy other  
needs of the market with the same family of processes.  
Potentially, these processes may be used to produce perhaps  
nearly all circuits working with a voltage supply 1 to 45 V  
(depending on the characteristics of the epitaxial layer) and  
operating frequency approximately equal to 50 MHz and a power  
dissipation 0.5 to 5 Watts (depending on the package chosen).  
Moreover, one process could be adapted to integrate mixed linear

and logic functions, the latter being based on I<sup>2</sup>Squared<sup>L</sup> structures with bipolar transistors.

Generally speaking, it is probably not worth to continue in the area of standard ICs whose market prices are very low due to strong competition. It seems rather more promising in the future to develop original ICs for special purposes (the so-called ASIC: Application Special ICs): CMOS is, of course, the dominant technology for ASIC, but there is a niche for the bipolar ICs whose processes have been experimented in Iraq. This niche exists for example, when there is a need for low noise at the input (to amplify small signal delivered by sensors with possibly added logic) and/or high power at the output (to drive lamp relay, motor), or relatively high frequencies. These new trends would certainly imply the implementation of design centers with trained people, aimed at developing the creativity of engineers in close contact with the equipment manufacturers.

Appendix

# Electronics

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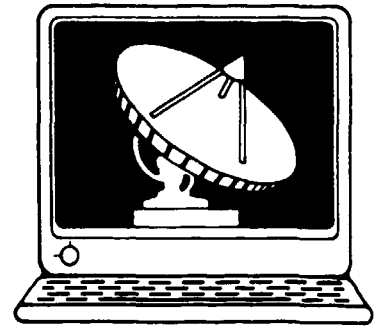
In Minnesota, high tech begets high tech. With homegrown titans such as Control Data, Honeywell and Minnesota Mining & Manufacturing (3M) nurturing new enterprises, developing new products, providing executive talent, venture capital and exchange of ideas.

From the small job center started a generation ago by Engineering Research Associates in St. Paul came Sperry's Computer Systems Division (then called Univac) and Control Data, which in turn, have launched more than 60 other high tech companies. At this same time in 1956, IBM chose Rochester as a manufacturing site. Today, the IBM Rochester facility employs 7,100 people in manufacturing and development on 593 acres, while Sperry has recently opened a \$175 million semiconductor facility and moved six of its ten corporate technology research centers to Minnesota. Combined, all of these companies produce a diversity of electronic products including computers, hardware, software, semiconductors, manufacturing and testing, measuring and controlling devices, communications equipment and robotics.

Fast-growing small and medium size high tech firms have enjoyed explosive growth here. CPT grew 14 times larger in one decade. Data Card expanded seven times larger during the same period. Cray Research grew from 21 employees to 1,336. Control Data doubled its home state work force to nearly 23,000. Honeywell increased its headquarters employment by 13% and is spending \$43 million on buildings and additions. And on northern Minnesota's Iron Range, noted for its gigantic taconite processing plants, Hibbing Electronics has expanded five times in 10 years, from a handful of employees to more than 400.

Minnesota ranks third in the nation in the dollar volume of electronic computer equipment sold by United States companies. Minnesota computer, peripheral equipment and related parts manufacturing plants total over \$3.4 billion annually.

Much of Minnesota's success in capturing such a large share of high tech industry is attributed to its founders and leaders who are native Minnesotans. They know the state, its values, its benefits. And they are eager to attract new high tech ventures to Minnesota.





## Education: high standards, high goals.

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Minnesotans are keenly aware of the relationship between the quality of the state's education system and the technological progress vital to the state's economy. Governor Rudy Perpich has expressed his intent to make Minnesota "the brain power state in the nation" recognizing that an investment in education is an investment in Minnesota's economic stability and quality of life.

In 1983 the Minnesota Legislature passed the Minnesota Education Technology and School Improvement Act for K-12 public education. Its total approach to the use of technology in the classroom is heralded as one of the best in the country. Continuing innovations include the Talented Youth Math Program (where gifted secondary students move on to study in collegiate programs) and teacher upgrading programs with special motivations for further study and assignments.

Minnesota's commitment to quality post-secondary education is broad-based, including the humanities and arts, and extends across the full spectrum of educational opportunities. The state has 33 Area Vocational-Technological Institutes, 22 public and private community colleges, 24 private liberal arts colleges, 7 state universities, and 5 University of Minnesota campuses. Four-year engineering programs are now offered at Mankato and St. Cloud State Universities as well as at the Duluth and Twin Cities campuses of the University of Minnesota.

Minnesota's public and private colleges and universities offer education in computer-related fields, such as aerospace studies, computer science and microcomputer studies, data processing, nuclear medical technology, pre-engineering and mechanical and electrical engineering technology as well as in other professions and the liberal arts. In fact, Minnesota's private colleges and universities account for as much as 50 percent of the state's Baccalaureate Degrees in physics, chemistry and mathematics.

The University of Minnesota offers high tech excellence in many areas. The Twin Cities campus is the largest urban campus in the country, and one of the nation's major research universities located in a metropolitan area. Within the University's Institute of Technology, the Department of Chemical Engineering ranks first and the Department of Mechanical Engineering ranks fifth nationally.

The University of Minnesota is working hard to put Minnesota among the top eight graduate schools in the country by 1991, seeking more research support and academic chair endowment from state and private sector partnerships.

Similarly, the goal of the University's new Super Computer Institute is to retain Minnesota's worldwide super computer leadership, a role coveted by Japan.

# R&D funding will rise to \$110 billion in 1985

*Our annual forecast finds planned funding going up by 13.4% overall. Federal funding increase leads the way, but industry still is major source of dollars.*

**C.J. Mosbacher**  
Executive editor, R&D

**N**O RECESSION FOR R&D. That's the 1985 scenario based on the studies leading to our forecast of \$110 billion total funding for this year.

Compare that to the total United States economy. The consensus of economic studies in late November called for real growth in the gross national product (GNP) of 3 to 5% in 1985. Inflation is expected to stay low at 4 to 5%. Thus total growth of 7 to 10% is foreseen in the year ahead, barring calamity.

But, again barring calamity and using a revision of our 1984 forecast as a base, R&D funding should rise 13.4%. The implication is that the proportion of GNP spent on R&D will continue to increase, as it has each year since 1978. In 1984, 2.7% of GNP was spent on R&D in the United States.

That percentage comes from "National patterns of science and technology resources 1984," NSF 84-311, the latest overall data on R&D funding from the National Science Foundation. While distributed last fall, its foreword is dated February 1984. According to this document, total R&D funds for 1984 were estimated at \$96.975 billion, with the Federal government providing \$44.270 billion, industry \$49.375 billion, and universities and nonprofit organizations \$3.330 billion.

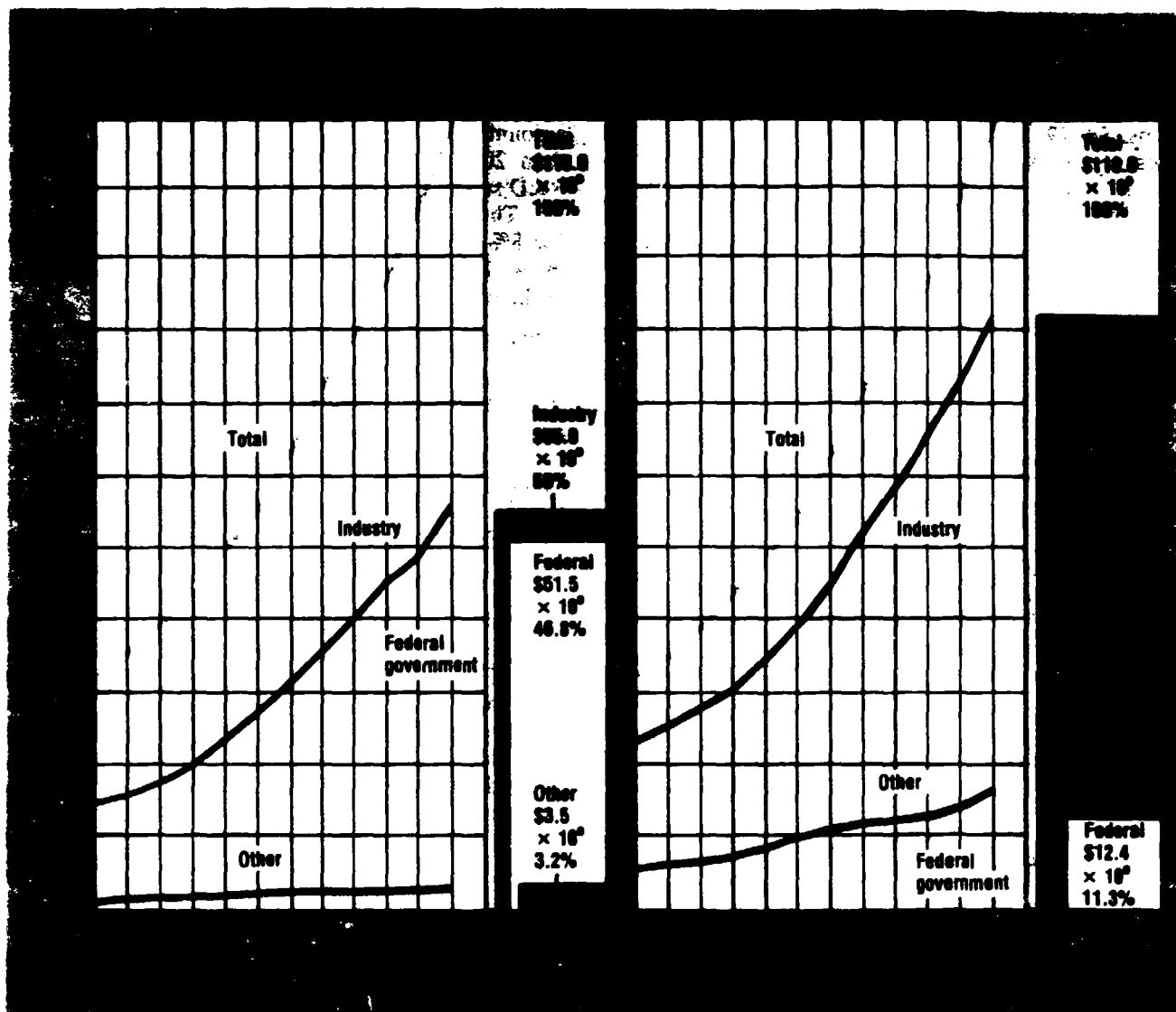
The performance profile, or who spent these 1984 funds, is given as \$10.800 billion in Federal government laboratories and R&D centers, \$72.000 billion by

industry in its own facilities, and \$11.375 billion by universities and their associated research centers and \$2.800 billion by other nonprofit institutions, giving a total of \$14.175 billion.

NSF also estimated that 12% would be spent in 1984 on basic research, 22% on applied research, and 66% on development.

In our January 1984 forecast, we projected total funding for 1984 as \$97.8 billion, with \$50.5 billion provided by industry, \$44.5 billion by the Federal government, and \$2.8 billion by other sectors including academic and nonprofit organizations. Our performance projections were expenditures in industry of \$73.9 billion, in Federal facilities of \$10.5 billion, and in other sectors of \$13.4 billion.

In addition to NSF 84-311, we also considered later data in revising our 1984 forecast to provide a basis for this 1985 forecast. We checked changes in Federal funding as monies appropriated by the Congress were obligated to specific projects and then were actually spent. We monitored changes in plans made by industry as revealed in financial reports and other documents and in conversations with executives in a number of companies. And we also monitored and checked leaders in academic and other nonprofit organizations. These procedures lead not only to the revised 1984 base but also give insights that aid our forecast.



Our revised base for 1984 gives a total of \$97.0 billion for R&D funding. Industry provided \$49.0 billion, the Federal government \$44.7 billion, and other sectors \$3.3 billion. Thus industry provided 50.5% of 1984 funds, the Federal government 46.1%, and other sectors 3.4%.

Revised performance data for 1984 are \$72.0 billion for industry, \$10.8 billion for Federal facilities, and \$14.2 billion for other sectors. Thus industry spent 74.2% of available funds, Federal facilities 11.1%, and other sectors 14.6%.

While the revision in total funds is less than 1%, changes in the categories range from 2.5% to more than 21% in the smaller funding and performance levels for the academic and nonprofit sectors. What caused these changes from our earlier view and how we arrived at the sector levels of expenditures and performance forecast for 1985 that are shown graphically at the beginning of this article will be discussed sector by sector in the following paragraphs.

Incidentally, had we not revised our 1984 data, we would show a 12.47% increase in 1985 funding.

#### Industry spreads its support

By last June, NSF had received replies from 87 companies representing an estimated 53% of all R&D industrial funding in the United States. Projections

based on data received were \$49 billion of company funds to be spent in 1984 and \$55 billion in 1985. (These data are reported in "Science resources studies highlights," NSF 84-329.)

Our discussions with executives in a somewhat smaller group of companies chosen to balance those that fund R&D cyclically with the economy against the countercyclical segment provided similar data—so close, in fact, that our industry figures round to the same as NSF's. An increase of 12.3% in funding and 12.8% in expenditure levels from 1984 to 1985 is indicated.

However, that does not mean that industry is putting more funds into its own facilities than into other sectors. The opposite is true. Late in 1983 the law was changed to remove major restrictions on cooperative R&D, allowing industry to form such new groups as the Semiconductor Research Foundation. This change also allowed nonprofit organizations such as Battelle to seek wider support for cooperative projects.

Industry also is expanding its funding of R&D in the academic area, continuing a trend from 1983.

NSF 84-329 also provides breakdowns of company funds to be spent in 1984 and 1985. For 1985, chemicals and allied products account for \$9 billion, machinery (including computers) \$10 billion, electrical equipment \$11 billion, motor vehicles and their equipment \$6 bil-

lion, aircraft and missiles \$5 billion, professional and scientific instruments \$5 billion, and all other segments \$9 billion.

For 1984, the comparable estimates are, in billions, \$8, \$9, \$10, \$5, \$4, \$4, and \$9. The largest increase, 17%, is in the professional and scientific instruments sector.

To summarize, we expect industry funding to rise from \$49 billion in 1984 to \$55 billion in 1985, with industry providing 50% of all R&D funds in 1985. Industry performance will rise from \$72.0 billion to \$81.2 billion so that industry will spend 73.8% of all R&D funds in 1985, down slightly from 74.2% in 1984.

#### **Federal funding up significantly**

Our forecast is that actual Federal funding for 1985 will increase 15.2% from 1984 to \$51.5 billion. Performance in Federal facilities will rise 14.8% to \$12.4 billion.

Such a large increase was not evident early last fall as the Congress and the Administration battled over acceptable levels for appropriations. NSF was the beneficiary of an early budget decision and appropriation with a significant increase. The National Institutes of Health benefitted from an appropriations bill that significantly increased funding, primarily because a companion piece of legislation provided for expansion of the number of NIH institutes. While President Reagan vetoed the expansion, he approved the appropriations measure, thus giving NIH more money for grants and other programs. For example, money for AIDs research was increased significantly.

But most government funding was provided by an omnibus appropriations bill at the last minute that gave the Administration much of what it had asked for in its original requests for R&D funding, plus additional amounts that the Congress tacked on. Thus a more than 20% increase in Dept. of Defense-sponsored R&D survived, for example.

Thus, the Federal government will account for \$46.8% of total funding in 1985, somewhat more than the 46.1% in 1984. In performance, the Federal share of the total rises from 11.1% to 11.3%.

#### **Universities and nonprofits gain**

Funds provided by other sectors rise only 6.1%, from \$3.3 billion in 1984 to \$3.5 billion in 1985. However, performance in these sectors really jumps, from \$14.2 billion in 1984 to \$16.4 billion in 1985. That is a 15.4% increase, leading to these sectors rising to 14.9% of performance in 1985 from 14.6% in 1984 while their actual contributions fall from 3.4% to 3.2% of total funding.

As noted earlier in the industry section, transfers of funds to cooperative R&D projects are creating more activity in the academic and nonprofit sectors. When Federal funding increases are added, the effect is a significant jump in performance levels.

The overall result? No recession for R&D. It would take a significant slowdown in industrial activity coupled with a virtual elimination of R&D funding by the Federal government in its fiscal 1986 budget to make R&D funds decrease in 1985. The probability of that, in our opinion, is zero. **R·D**

# EDITORIAL

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## U.S., JAPAN, AND THE REST

THE UNITED STATES and Japan are entering 1985 with courage and optimism. The other countries of the world also are entering 1985. In the U.S. and Japan, strong technology drives strong economies. In the other countries, weak technology is accompanied by faltering or even foundering economies, rampant inflation, poor exchange rates, high unemployment, and or declining productivity. Says *The Economist* in reference to the nations of Western Europe, "[This] problem has outgrown its economic dimensions and now has political consequences. . . . few doubt that Europe is in real trouble."

To some it might seem that nations other than the U.S. and Japan are in a desperate search for a perpetual motion machine. They are trying to find an economic unit that produces more energy than is put into it. For example, the U.S. staffs its industrial firms with some 32 R&D scientists and engineers per 1,000 industrial workers, slightly above Japan's ratio. And Japan exceeds all other national ratios by 25% or more, with nearly double that of France.

The same situation applies when it comes to funding research and development. Europe's two leaders, West Germany and the UK, together spend an amount approximately equal to Japan's. With France and Italy included, the total for Europe's four big spenders on research and development is well under half of that for the United States.

R&D funding in the U.S. should increase by 13.4% during 1985 to hit an annual rate of \$110 billion. Such an increase is detailed in our annual R&D forecast, elsewhere in this issue. This prediction assumes the operation of a major philosophical difference between U.S. and Japanese businessmen, on the one hand, and European planners on the other. Our projection assumes that the proportion of the gross national product that is spent for research and development in the United States will continue to increase, as it has in each of the preceding six years. In nations where this is not the case, technology inevitably lags, then the economy lags.

For the U.S., this is a notable landmark. It is the first year that R&D funding has topped \$100 billion. And it continues the recent trend with industry controlling more of the funds for R&D than government does (\$55 billion vs \$51.5 billion), a fact we applauded when it first occurred several years ago.

It is good to see the strength and economic health of the U.S. and Japan, but Western Europe and the Third World countries also occupy portions of Spaceship Earth. There is no room for vast disparities of employment, of inflation, and of other important economic factors. We echo the sentiments of *The Economist* when it concluded its appraisal by saying, "The new technologies . . . are taking the drags off the wheel of human activity and letting it move as fast as human imagination can spin it. Won't Europe jump on board?"

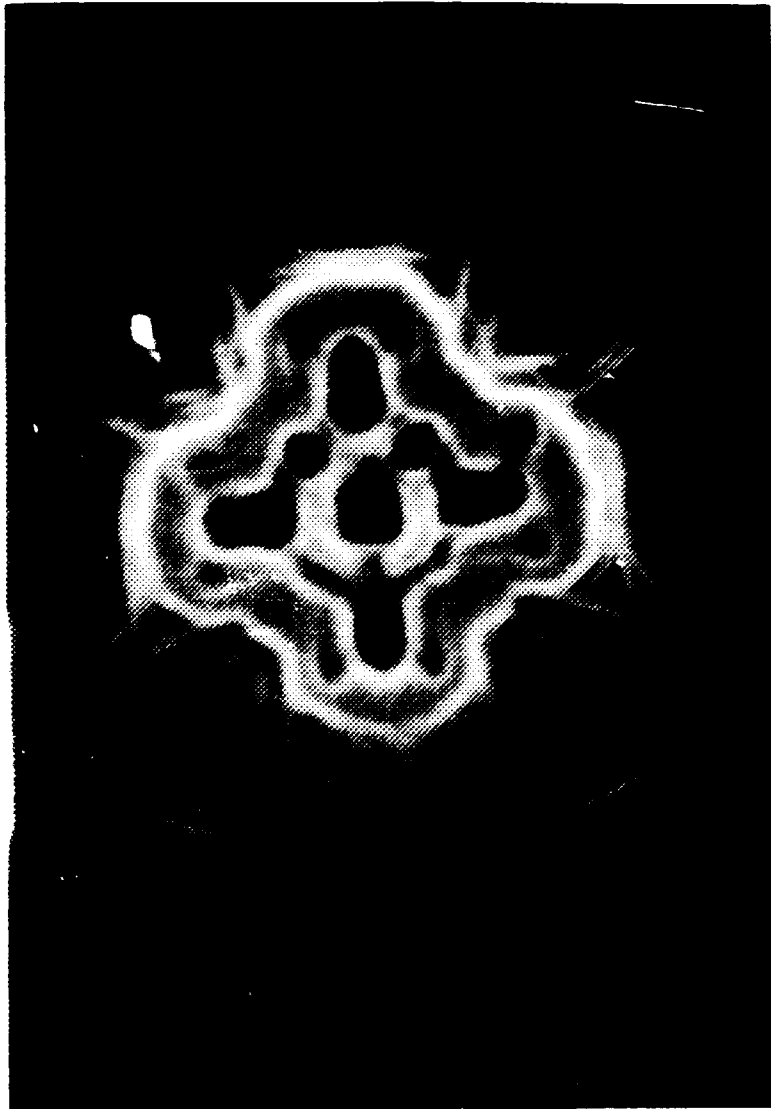
  
editor



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### Course Director

**R. Michael Carrell** is a robot user. A Member of the Technical Staff at RCA's David Sarnoff Research Center in Princeton, New Jersey, he has consulted with the operating divisions on mechanization and robotics projects for over five years. Other projects have included computer-based factory data collection systems, high performance photocomposition systems for the graphic arts industry, wideband magnetic recording systems, and intercommunication systems for use in high intensity noise. He holds a number of patents and is author or co-author of over thirty technical papers. He received the BSCE degree from Iowa State University.

### Additional Faculty

**Michael T. McCraley** is Project Manager Robotics for the Panasonic Industrial Company. He was formerly Director of Marketing for Central Automation and PLMA Product Manager at Unimation. He received his undergraduate degree in Industrial Management from Purdue University and his M.B.A. from Xavier University.

**Dr. Henry Baird** is a software specialist, is a Member of the Technical Staff at A.T. & T. Bell Laboratories at Murray Hill, New Jersey. His previous work at RCA Laboratories included integration of diverse robots and vision systems. He received his Ph.D. in Computer Science from Princeton University.

**Mitcheil Weiss** is a robot designer and co-founder of US Robots. He received the BS and MS degrees from the Massachusetts Institute of Technology where, as an undergraduate, he designed swimming and walking machines. Previous industry assignments included apollo moon engineering at Unimation and work on the Space Shuttle manipulator arm at Spat Aerospace.

### About The Center

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Each participant will be supplied with copies of:  
MS-82-191  
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In addition, each participant must either **bring** to the course or **purchase** at the course *Robotics Industry Directory '84* published by the Technical Data Base Corporation, 1984 (Price: \$35.00\* plus N.J. Sales Tax) and *Industrial Robots and Robotics* by Kalrissen and Stephens (Englewood Cliffs, N.J.: Prentice-Hall) (Price: \$28.95\* plus N.J. Sales Tax).

\* Prices are subject to change to conform with current publisher's price. Please check appropriate box of the application form to order text.

### Course Location

This course will be held at our **Academic Center** in the Sheraton Motor Inn in East Brunswick, New Jersey. A block of rooms in the Sheraton will be held for our registrants until two weeks before the course. Participants must, however, make their own reservations. The cost of hotel accommodations is **NOT** included in the course fee. Travel directions and a hotel reservation card will be sent with your acceptance, or you may call the hotel directly at (201) 828-6900 to make reservations. Please be sure to mention that you will be attending one of **The Center's** courses.

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- Fee** ..... \$775
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For information or to register  
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### Course Director

**Dr. Charles I. Croskey** is an instructor at Pennsylvania State University and has taught courses in solid state design, network analysis, communications logic design, and electronics for engineers other than electronics engineers. He is also a Research Associate in the Communications and Space Sciences Laboratory.

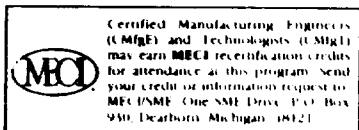
His current engineering assignments concern the development of instrumentation for sounding rocket payloads for measurements of the lower ionosphere. He has supported field expeditions to Wallops Island, Virginia, White Sands, New Mexico, Fairbanks, Alaska, Canada, Kenya, and Norway, for various coordinated research programs.

Dr. Croskey received the BS EE, MS EE, and PhD degrees from the Pennsylvania State University.

### Additional Faculty

**Joel Balogh** is Director of Instrumentation in the College of Engineering at the University of Delaware. He is responsible for the design of specialized electronic instrumentation for research laboratories at the University. Prior to this he was employed in an instrumentation group at the Pennsylvania State University and was also part of a receiving system group at TRB Singer, Inc.

Mr. Balogh received the BS in physics, MS in astronomy, and MS EE degrees from the Pennsylvania State University where he was also a part-time instructor of Solid State Electronics.



### About The Center

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This course will be held at our **Academic Center** in the Sheraton Motor Inn in East Brunswick, New Jersey and in a conveniently located hotel in the Houston area. A block of rooms in the scheduled hotels will be held for our registrants until two weeks before the course. Participants must, however, make their own reservations; the cost of hotel accommodations is **NOT** included in the course fee. Hotel information will not be included with your acceptance.

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Pre-enrolled participants may register at 8 A.M. on the first day of the course they are attending. The program consists of all day and evening sessions starting at 8:30 A.M. until approximately 3:30 P.M. on the last day.

**Fee** ..... \$755

- Fee includes lunch on each day and beverage breaks.
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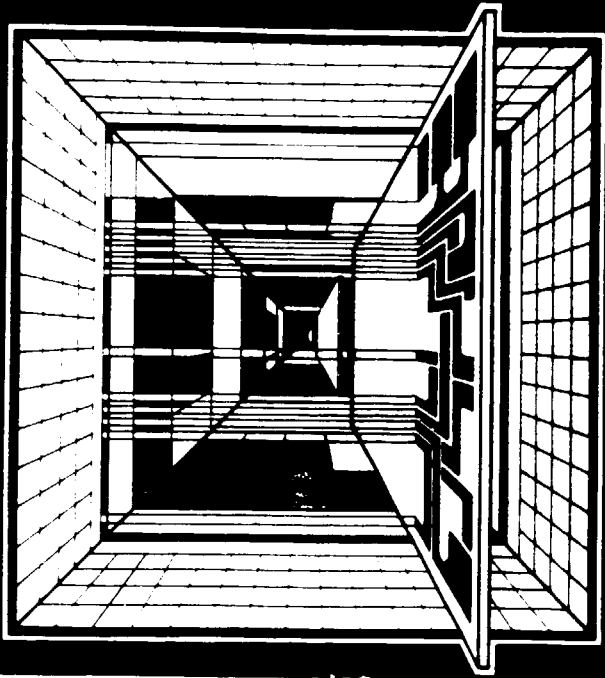
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A National Short Course  
February 4-7, 1985 Monterey, California



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Materials Development and Characterization Laboratory  
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## Focus

The explosive growth of the semiconductor industry has resulted in the rapid evolution of materials and processing techniques that are compatible with state-of-the-art device requirements. At the same time, device geometries have decreased while device performance has increased. The practicing engineer has little time to keep abreast of the changing technology except in the areas of his immediate interest. Many professionals enter the semiconductor industry with little formal training in the process technologies and materials in which they will be exposed. This course is addressed to them and may be considered as a vehicle to acquaint them with a broad spectrum of topics in the field. This course will be taught by a carefully selected group of practicing experts in their respective fields. Since this is an overview, the subjects cannot be examined in great depth although every attempt will be made to present current and future directions of the technology.

## Course Overview

The one subject to be covered are the basic materials and processing techniques of compound semiconductor devices. The primary emphasis will be devoted to III-V compound semiconductors. However, other compound semiconductor materials will be discussed. Topics that will be covered include: crystal growth, water-purification processes, metal and dielectric deposition, epitaxial growth, thin-film deposition, device fabrication systems, device modeling, characterization techniques and test structures. In addition, the areas of epitaxial growth, thin-film deposition, device modeling and applications will be discussed.

## Course Summary Information

Date	February 4-7, 1985
Days	Monday-Thursday
Time	8:30 a.m. to 5:00 p.m. (Thursday 7:00 a.m.)
Fee	\$895
Units	10 C.E.U.
Number	Engr. 150.01
Hotel	Holiday Inn
City	Monterey, California

Participants will receive an extensive set of lecture notes.

## Course Materials

## Course Director and Instructor



## Instructors

**Dr. Gary E. McGuire**, Manager, Materials Development and Characterization Laboratory, Tektronix, Inc. His current responsibilities include the development of new display and hard-copy materials and the introduction and application of new analytical techniques at Tektronix. Previously with Texas Instruments, he worked on surface characterization techniques for thin films and metal-organic systems used in the thin film transistors and LEDs. He is a member of the Editorial Board of the *Journal of Electrochemical Society*, *Surface Science*, *Thin Solid Films*, *Journal of Surface Analysis* and *Chemical Vapor Deposition*. He has published over 100 papers on thin film materials and is a past president of the International Society on Metallurgy and Materials and the Publication Committee of the Electrochemical Society.

**Dr. Tim J. Anderson** is an Associate Professor of Chemical Engineering at the University of Florida. His research interests include the study of solid, liquid, and gas phase reaction systems, particularly multiple-component III-V semiconductors. His studies include characterizing the heat, momentum, and mass transfer and temperature profiles in a reactor. He has published over 100 papers in the field of energy conversion, fluid flow, and heat transfer. He is a past president of the American Nuclear Society and a member of the International Society on Metallurgy and Materials and the Publication Committee of the Electrochemical Society.



# Films and Coatings for Technology

April 8-12, 1985  
Los Angeles, California

An Annual International Short Course

## General Topics

Plasmas in Deposition Processes    Structure and Properties    Analytical Techniques    Cleaning and Adhesion

Technologies		Plasmas in Deposition Processes	Structure and Properties	Analytical Techniques	Cleaning and Adhesion
Physical Vapor Deposition (PVD) Processes	Evaporation	●	●	●	●
	Sputtering	●	●	●	●
	Ion Plating	●	●	●	●
Chemical Vapor Deposition (CVD) Processes	CVD		●	●	●
	Plasma Assisted CVD	●	●	●	●
Electrodeposition			●	●	●
Plasma Spraying and Detonation Gun Coatings		●	●	●	●
Polymeric Coating Processes		●	●	●	●

Applications: Optical/Microelectronics/Mechanical/  
Chemical Corrosion/High Temperature Corrosion/Decorative

Rointan F. Bunshah

Rointan Bunshah  
Jan-Otto Carlsson  
John Fish  
Birgit Jacobson  
Donald W. Mattox  
Gary McGuire  
Morton Schwartz  
John Thornton  
Robert Tucker



Continuing Education  
Institute

## Intent

# Films and Coatings for Technology

Films and Coatings are applied in a very broad spectrum of applications, which can be subdivided into five generic areas:

- optically functional
- chemically functional
- mechanically functional
- decorative
- electronically functional

Knowledge of the underlying sciences, developments in technology and applications are growing at an exponential rate. Engineers, scientists and managers working in most areas of high technology have to deal with coated materials. This course is addressed to them and may be considered as a vehicle to acquaint them with the wide spectrum of coating technologies and their applications. Such knowledge is essential in arriving at the correct decisions on technical and economic grounds. This course will be taught by a carefully selected group of practicing experts in their fields. Since this is an overview, the subjects cannot be examined in great depth although every attempt will be made to present the material at the cutting edge of technology. This is also not a conference where the latest research and development material is presented exclusively. General discussion sessions are scheduled each day.

This will be the 11th offering of this course, which was offered for the first time in 1974 under the coordination of Dr. Rointan Bunshah of UCLA. This course has been offered in Los Angeles, California; Stockholm, Sweden; Daresbury, Switserland; and Leoben, Holland.

## Course Content

As the cover shows, the core subjects to be covered are the basic technologies for the deposition of films and coatings. These are the Physical Vapor Deposition (PVD) Processes consisting of Evaporation, Sputtering and Ion Plating; Chemical Vapor Deposition (CVD) and Plasma Assisted Chemical Vapor Deposition (PACVD); Electrodeposition and Electroless Plating; Thermal Spraying; Plasma Spraying and Detonation Gun Technologies; and Polymeric film deposition technologies. In each of these, the scientific background, basic technology, advantages, limitations, structural/property relationships and applications are discussed. Supportive to this basic theme are lectures on subjects of common interest to several technologies. These are: A History of Coatings; Surface Preparation; Role of Plasmas in Deposition Processes; Structure of PVD Deposits; Microstructure of Films as Revealed by TEM and SEM techniques; Mechanical and Tribological Properties of PVD Deposits; Elemental and structural characterization techniques; and Non-Elemental coating characterization techniques. Examples of applications will be covered by the various lecturers throughout the course. Two major areas of applications—Electronic Materials and High Temperature Coatings—are treated in separate CEI short courses.

## New Feature

A book *Deposition Technologies for Films and Coatings: Noyes Publications*, coordinated by the present lecturers, will be provided to each attendee in addition to supplementary notes.

## Biographies



**Course Director, Rointan F. Bunshah D.Sc.**, Professor Materials Department, School of Engineering and Applied Science, UCLA. His activities include materials synthesis, vacuum metallurgy, structure/property relationships, biomaterials, nuclear reactor materials, space processing of materials. Dr. Bunshah is internationally known as an expert in vacuum metallurgy and materials synthesis. He has been a pioneer in physical vapor deposition, especially an evaporation technology for metals, alloys and refractory compounds. He has carried out and published much of the basic structure/property relationship work for such coatings. He developed and chaired the International Conference on Metallurgical Coatings, which has become an annual event for the past seven years. Dr. Bunshah has been developing short course in metallurgy since 1957. He was the co-founder and first chairman of the Vacuum Metallurgy Division of the American Vacuum Society and in 1971 the President of AVS. He is the editor of *Techniques in Metals Research*, a 17 volume reference set. He is on the Editorial Board of *Thin Solid Films Series*, Co-ordinator for *Materials and Processes Short Course Series*, and member of the Academic Advisory Council for the Continuing Education Institute.

## Instructors:

**Jan-Otto Carlsson, Ph.D.**, Docent, Uppsala University, Department of Chemistry, Solid State Chemistry Group. He carries out in Uppsala research on chemical vapor deposition (CVD). Some areas of emphasis are design of CVD experiments, nucleation, kinetics, modeling and factors influencing the morphology, adhesion and properties of CVD elements.

**John G. Fish, Ph.D.**, Member of the Technical Staff, Central Research Laboratories, and Corporate Manager of University Ph.D. Recruiting, Texas Instruments, Inc., Dallas, Texas. His responsibilities have included consultation and laboratory evaluation of all aspects of polymer technology as used in the electronics industry. He has worked on many coating technologies for microelectronics, printed circuit boards and adhesives, as well as decorative and protective applications for equipment.

**Birgit E. Jacobson, Ph.D.**, Assistant Professor at the Department of Physics and Measurement Technology, Linköping University, Sweden. She has been involved in research in coating technology since 1976 until recently at Stanford University and at present at Linköping University. This research is focused on the relationship between processing parameters and the microstructure of deposited films and how these features can be varied and optimized in order to improve film properties.

**Donald M. Mattox, M.S.**, Supervisor of Surface Metallurgy Division of Sandia National Laboratories, a Department of Energy Laboratory, Albuquerque, New Mexico. He has been active in surface science and thin film technology for many years and has published extensively in these areas. At present his activities are in the area of coatings for erosion and wear resistance, solar absorbing coatings and coatings for use in Controlled Thermonuclear Reactors (TOREX). He obtained the basic patent on the Ion Plating Process in 1966, is the past chairman of the Thin Film Division of the AVS (American Vacuum Society), the Vice Chairman of the Fusion Technology Division and a member of the Editorial Board of the *Journal of Vacuum Science and Technology*. He is the United States representative to the Thin Applications and is a member of the Executive Council of that group.

**G.E. McGuire, Ph.D.**, Manager, Analytical Chemistry Laboratory, Tektronix Inc., Beaverton, Oregon. Dr. McGuire is currently responsible for the introduction and application of new analytical techniques into Tektronix Research Center. Previously with Texas Instruments, he did research on surface characterization techniques investigating thin films and metallization systems used in the microelectronics industry. He is a widely recognized expert in Auger and photoelectron spectroscopy and is a member of the editorial board of the *Journal of Electron Spectroscopy and Related Phenomena*.

**Morton Schwartz, M.A.**, Electrochemical/Metal Finishing Consultant, Los Angeles, California. He has been actively engaged in electrodeposition in production, research and development for 38 years. His researches include alloy deposition, electroless deposition, precious metals plating for electronics, and magnetic characteristics of plated coatings for computers.

## Daily Schedule

Each day will end with a 1-hour discussion period to enhance instructor/participant interaction.

### Monday

9:00-9:45  
**Introduction and Overview**  
(Bunshah)

#### I. Overview, Characterization and Cleaning Techniques

An overview of the topics to be covered and their interrelationships, common concepts and definitions, classification of existing techniques and economic factors.

9:45-10:45  
**Plasmas in Deposition Processes**  
(Thornton)

Several deposition processes include the use of plasmas. This talk will introduce the concept of plasmas and methods of generation.

11:00-12:30  
**Surface Preparation**  
(Mattox)

This lecture will discuss the factors which govern adhesion of coating to the substrate, contamination on surfaces, cleaning of surfaces.

1:30-3:30  
**Analytical Techniques**  
(McGuire)

Elemental and Structural Characterization Techniques (including Surface Analytical Techniques, Principles of Microprobe, SEM, TEM, STEM, etc.)

The techniques for elemental analysis on the surface, particularly as it applies to films, will be discussed. Depth profiling and thin film characterization will be reviewed. The principles of analytical microscopy using the Microprobe, SEM, TEM, STEM will be discussed.

3:50-5:50  
**Non-elemental Coating Characterization Techniques**  
(Mattox)

Techniques for the characterization of coatings such as hardness tests, adhesion tests, thickness measurements, porosity density measurements, etc., will be discussed.

6:00-6:30

General Discussion

### Tuesday

8:30-9:00  
**Introduction to PVD Processes**  
(Bunshah)

The range of PVD processes, their advantages and limitations, and the process steps common to all PVD processes will be presented.

9:00-10:30  
**Generation and Transport of Vapor**  
**1. Evaporation/Deposition Process**  
(Bunshah)

This lecture will present the basic theory of the various evaporation processes, the deposition of metals, alloys, intermetallics, and refractory compounds, and applications.

10:45-11:30  
**3. Sputter Deposition Process**  
(Thornton)

PVD uses the kinetic energy of ions to remove atoms from a solid surface and deposit them on a substrate.

11:30-12:30  
**Ion Plating Process**  
(Mattox)

Ion plating is a PVD process in which the ions are accelerated to high energies and bombard the substrate, causing the deposition of a thin film.

1:30-3:30  
**Film Growth and Structure of PVD Deposits**  
(Thornton)

The growth and structure of PVD deposits are determined by the process parameters and the nature of the substrate.

General Discussion

### Wednesday

#### II. PVD Process (Continued)

8:30-10:30  
**Microstructure of PVD Coatings by TEM and SEM Techniques**  
(Jacobson)

The microstructure features as revealed by the Transmission Electron Microscope and Scanning Electron Microscope (SEM) for PVD, CVD, and electro-deposited films will be presented. Correlations of structure and properties will be explored.

10:50-12:30  
**Bulk Microstructures of PVD Deposits, Mechanical and Tribological Properties**  
(Bunshah)

The microstructure of bulk PVD deposits, mechanical properties, structure/property relationships will be presented. Wear of surfaces and the role of coatings in wear prevention for engineering surfaces and cutting tools will be discussed.

#### III. Chemical Processes

1:00-1:30  
**Chemical Vapor Deposition (CVD)**  
(Carlsson)

The principles, technology, materials deposited, advantages and limitations of CVD techniques will be presented. A discussion of economics and applications is included.

4:15-4:45  
**Plasma Assisted CVD Processes**  
(Thornton)

The use of plasmas in enhancing the rate of CVD processes (e.g., to lower substrate temperatures, increase rates) and applications will be discussed.

6:00-6:30

General Discussion

### Thursday

#### Chemical Processes (continued)

8:30-10:30  
**Polymeric Coating Techniques**  
(Fish)

The basic concepts of polymer synthesis, the methods of polymer coating techniques, structure and properties will be presented.

1:45-2:15  
**Electrodeposition and Electroless Deposition**  
(Schwartz)

The theory, practice, materials, advantages, limitations, and applications of electrodeposited materials will be presented. A further section on low-level toxic metal coating techniques.

2:30-4:00  
**Plasma Spraying & Detonation Gun Coatings**  
(Tucker)

The techniques of plasma spraying and detonation gun coatings will be presented. The materials to be deposited and substrate and properties will be discussed.

4:15-4:45  
**Applications of Plasma Spray & D-gun Coatings**  
(Tucker)

A discussion of the use of thermal spray applied coatings, including plasma and plasma spray in the solution of critical high temperature materials problems in a variety of environments, including nuclear, industrial, and aerospace. Problems will be presented. Research in the field of thermal spray deposition processes over the other coatings, with examples of specific successful coating system applications, will be reviewed.

6:00-6:30

General Discussion

## General Information

### Continuing Education Institute

The Continuing Education Institute is a non-profit organization dedicated to providing high quality professional development in engineering and applied science. Programs offered focus on the needs of technology that are undergoing rapid change. These dynamic educational programs are developed together by CEI and outstanding experts in their related fields. These experts have demonstrated a working knowledge of the importance of the subject matter and an ability to present this material in a clear manner to a professional audience. The Continuing Education Institute is shaping the world of tomorrow by providing short courses which challenge the mind.

### New Course Development

For information regarding New Program Development contact:

Dr. Frank Mueller  
Executive Director  
10089 Wilshire Blvd.  
Los Angeles, CA 90024  
(213) 854-9545

Dr. Bruce Jacobson  
Executive Director  
110100 Fairway, Western  
46-0122-17570

### On-Site Programs

CEI represents over 500 short course instructors covering a broad spectrum of engineering and engineering management who have courses available for offering at your facility. For information about our on-site programs, hybrid programs, and custom programs contact Helen Hopwood, Associate Director, On-Site Programs at (213) 854-9545.

### Enrollment Information

Enrollment in this program is limited to ensure maximum group interaction. Therefore early enrollment by mail is advised. Reservations may be made by telephoning any of the Registration Centers below. However, confirmation should be made at least one week prior to the course.

### Course Fee

The course fee includes tuition, course materials, and refreshments. Payment, by check or letter of credit, must be received prior to the start of the course. Group discounts are available. Refund of tuition 10% processing charge applies at cancellation. However, the final day of the course has a non-refundable fee which must be payable to the Continuing Education Institute.

### Registration Centers

You may register by calling any of the following Registration Centers. They maintain the registration files on the back panel of this journal. To obtain a registration form, call the nearest telephone. A refundable fee of \$5.00 is required at the time of registration. You may also register by mail. For more information, contact CEI at (213) 854-9545.

Los Angeles  
Wilshire Blvd.  
Los Angeles, CA 90024

San Francisco  
1500 Market St.  
San Francisco, CA 94102

Phoenix  
404 West 11th St.  
Phoenix, AZ 85001  
644-1111

## Focus

Micro lithography is one of the key technologies pacing the evolution of VLSI towards the ultra-high-density (sub-micron) regime. By having both micro lithography and plasma etching courses offered contiguously the participants can become acquainted with the complete patterning technology in one 5-day period of intense study.

## Course Overview

This course will be taught by some of the leading authorities in the field from the academic community and industry. They will review the fundamentals of the physics and chemistry of photoresist, electron beam resist, and the physics and engineering of optical mask designers including scanning projection ("Microscope") aligner and water steppers. Electron beam lithography, both for mask making and direct write and x-ray lithography will also be described.

\* Registered trademark of the Perkin-Elmer Corporation.

## Course Summary Information

<b>Date</b>	March 7-8, 1985	March 28-29, 1985
<b>Days</b>	Thursday-Friday	Thursday-Friday
<b>Time</b>	One-day schedule	One-day schedule
<b>Fee</b>	\$750	\$750
<b>Units</b>	1.4 CEU	1.4 CEU
<b>Number</b>	Engr. 103.01	Engr. 103.02
<b>Hotel</b>	Holiday Inn	Sheraton Commodore
<b>City</b>	Monterey, CA	Cambridge, MA

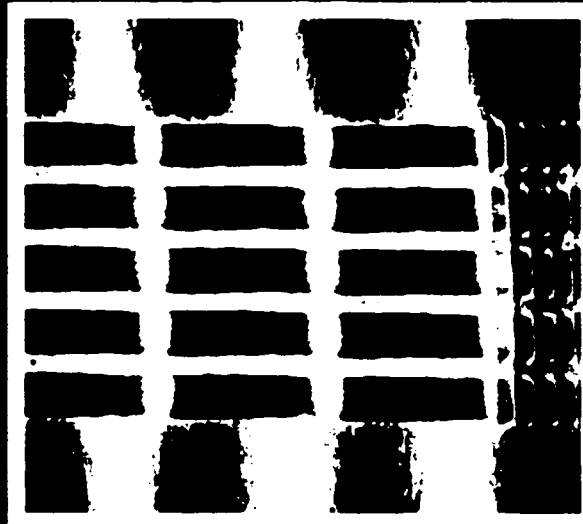
## Academic Course Information

Questions about course content should be directed to the Course Director, Dr. W. Fabian Pease, Rt. 2, although 204 Stanford University, 943 telephone (415) 497-0777.

# Micro lithography

An intensive 2-day course taught by leading experts in the field.

March 7-8, 1985 Monterey, California  
 March 28-29, 1985 Cambridge, Massachusetts



**Dr. Fabian Pease**  
 Professor of Electrical Engineering, Stanford University

**Dr. Alan Wilson**  
 IBM Research Center  
 G.C.A. Corporation

**Dr. Gary N. Taylor**  
 AT&T Bell Laboratories  
 Murray Hill, NJ 07977



Continuing Education Institute

### Mail Form: Enrollment and Information

Mail to: Continuing Education Institute, 10889 Wilshire Blvd., Los Angeles, CA 90024

#### Application for Enrollment

Please enroll me in the following course:

##### Micro lithography

- |  |   |
|--|---|
| <input type="checkbox"/> March 7-8, 1985<br>Engr. 103.01<br>Monterey, CA<br>Fee: \$750 | <input type="checkbox"/> March 28-29, 1985<br>Engr. 103.02<br>Cambridge, MA<br>Fee: \$750 |
|--|---|

- Check enclosed payable to: *Continuing Education Institute*  
 Purchase order enclosed  
 Reservation tentative pending authorization

#### Information Request

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Specify Course:

New Course Request

Specify Topic:

On-Site Course Information Request

Specify Course:

Name \_\_\_\_\_  
 Title \_\_\_\_\_  
 Company Name \_\_\_\_\_  
 Company Address \_\_\_\_\_  
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 Telephone Number \_\_\_\_\_

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 UNIVERSITY OF MINNESOTA  
 MINNEAPOLIS MN 55455

PL 872\*

**Course Director and Instructor**

**R. Fabian Pease, Ph.D.**, is presently supervisor of the IBM Research Division, 6085 Monroeville Drive, Yorktown Heights, New York 10593. He is currently responsible for the design and development of the IBM 8640 lithography system. He received his Ph.D. from Cornell University in 1971. He has published over 100 papers in the field of lithography. He is currently a member of the IBM Research Division, 6085 Monroeville Drive, Yorktown Heights, New York 10593. He is currently responsible for the design and development of the IBM 8640 lithography system. He received his Ph.D. from Cornell University in 1971. He has published over 100 papers in the field of lithography. He is currently a member of the IBM Research Division, 6085 Monroeville Drive, Yorktown Heights, New York 10593.

**C. Grant Willson, Ph.D.**, is a member of the group responsible for research and development of IBM's 8640 lithography system. He received his Ph.D. from Cornell University in 1971. He has published over 100 papers in the field of lithography. He is currently a member of the IBM Research Division, 6085 Monroeville Drive, Yorktown Heights, New York 10593.

**Alan Wilson, Ph.D.**, is a member of the IBM Research Division, 6085 Monroeville Drive, Yorktown Heights, New York 10593. He is currently responsible for the design and development of the IBM 8640 lithography system. He received his Ph.D. from Cornell University in 1971. He has published over 100 papers in the field of lithography. He is currently a member of the IBM Research Division, 6085 Monroeville Drive, Yorktown Heights, New York 10593.

**Michael C. King, Ph.D.**, is a member of the IBM Research Division, 6085 Monroeville Drive, Yorktown Heights, New York 10593. He is currently responsible for the design and development of the IBM 8640 lithography system. He received his Ph.D. from Cornell University in 1971. He has published over 100 papers in the field of lithography. He is currently a member of the IBM Research Division, 6085 Monroeville Drive, Yorktown Heights, New York 10593.

**Gary N. Taylor, Ph.D.**, is presently supervisor of the Organic Materials for ULSA Fabrication Group at AINTE Bell Laboratories. He received a B.A. from Princeton University, M.S. and Ph.D. degrees from Yale University, and spent a postdoctoral year at Caltech prior to joining Bell Laboratories in 1974. His interests include materials and processes for lithography, physical and chemical polymer and organic chemistry, and thermal materials for electronics applications. He has co-authored several articles and a book. MA 01901 is the course code.

**Daily Schedule**

**Thursday, March 7/March 28**

- 9:00 12:00 a.m.**
- Fundamentals of the lithography process
  - Factors affecting sensitivity in contrast of positive and negative resists
  - Basic fundamentals of ultra-violet, electron beams, and x-ray lithography
  - Fundamental tradeoffs between resolution and sensitivity in the exposure process
  - Modeling of the exposure and development processes

- 1:30 4:30 p.m.**
- Resist materials
  - Negative acting polymeric resists
  - Modern, high-contrast, high-resolution, positive photoresist materials and the photoresist materials for the base resin, the photoresist compounds, and the solvent system and the developing solutions
  - New materials and techniques for contrast enhancing layers and multiple level resists
  - Electron beam and x-ray resist

**Friday, March 8/March 29**

- 8:30 11:30 a.m.**
- Optical mask aligners
  - Achieving resolution and overlay in wide area exposure systems such as the Perkin Elmer Multalign<sup>®</sup> series
  - Factors affecting resolution, field of view and overlay accuracy in wide steppers
  - The relative advantages of the different approaches including the EUV steppers

- 1:00 4:00 p.m.**
- Electron beam and x-ray lithography
  - Limits to speed, resolution and pattern placement accuracy in scanning electron beam lithography
  - Description of present day first generation systems and of second generation systems including the variable shape concept
  - Topics in x-ray lithography include both conventional and exotic concepts (electron storage rings) sources, alignment strategies and mask making technologies

**Other Upcoming Short Courses**

Composited Semiconductors for Materials and Process Technology Monterey, CA, February 4-7, 1985	G. McGinnis
Plasma Etching Monterey, CA, March 4-6, 1985 • Cambridge, MA, March 25-27, 1985 <i>This course immediately gives a book both courses on Micro lithography and will be offered at the Holiday Inn in Monterey, CA and at the Seawest Hotel in Cambridge, MA</i>	S. Brody
Films and Coatings Los Angeles, CA, April 9-12, 1985	R. Bunshah
Semiconductor Silicon Technology Austin, TX, April 22, 1985 • Monterey, CA, April 29, 1985 Falls Church, VA, May 6, 1985 • Boston, MA, May 10, 1985	W. O'Mara

For obtain information on any of the above listed courses or on custom designed on site courses, please call (213) 824-9545 or return the form on the back panel of this brochure.

**General Information**

**Continuing Education Institute**

The Continuing Education Institute is a nonprofit organization dedicated to providing high quality professional development in engineering and applied sciences. Programs offered focus on the areas of technology that are critical to our rapidly changing dynamic educational environment. These programs by CEI and continuing experts in the related fields. These experts have demonstrated a working knowledge and expertise of the subject matter and an ability to present the material in a clear manner to a professional audience. The Continuing Education Institute is helping to shape the world of tomorrow by providing short courses which challenge the mind today.

**New Course Development**

For information regarding New Program Development, contact Dr. Ean R. Mincey, Executive Director, 10489 Washington Blvd., Los Angeles, CA 90024, (213) 824-9545 or Dr. Birgit Jacobson, European Director, Box 107, Finspång, Sweden, 46 10120 17570.

**On-Site Programs**

For information about on-site programs, hybrid programs, or custom programs, contact Helen Hespeler, Associate Director, On-Site Programs at (213) 824-9545.

**Hotel/Setting**

**Monterey, California**  
The West Coast offering of this course will be held at the Holiday Inn, Monterey, located on the beach just minutes from Carmel, Monterey Bay, the Naval Postgraduate School and the Monterey Peninsula Airport. For hotel reservations, please call (408) 394-3321 and request the Continuing Education Institute's group rate at least three weeks prior to the beginning of the course. The hotel address is 2600 Sand Dunes Drive, Monterey, CA 93940.

**Cambridge, Massachusetts**  
The East Coast offering will be held at the Gibraltar Commander Hotel, located near exciting Harvard Square and minutes from Cambridge and Fenway in Boston, Museum, Harvard University, MIT, and Research Road. For hotel reservations, please call (617) 547-3900 and request the Continuing Education Institute's special group rate at least three weeks prior to the beginning of the course. The hotel address is 36 Garden Street, Cambridge, MA.

**Course Fee**

The course fee includes tuition, course materials, and refreshments. Faculty, student, and group discounts are available. Checks and purchase orders should be made payable to the Continuing Education Institute and are due one week prior to the course. Refund of fees less 10% processing charges is granted if cancellation is received before the first day of the course. The Course Director and CEI reserve the right to cancel fees, hold fee, or change instructors.

**Registration/Information Requests**

You may register by calling any of the following Registration Centers or by mailing the registration form on the back panel of this brochure. Do not mail a registration form if you register by phone. A confirmation letter will be mailed to each registrant. You may also use the registration form for requesting other information, i.e., brochures, on-site course proposals, etc.

	TELEPHONE	FAX
Los Angeles	(213) 824-9545	704/89 CEI LA
Washington, DC	(301) 596-0111	896/76 CEI DC
Finspång, Sweden	46 10120 17570	644/71 CEI EUR



# Plasma Etching

## Focus

Plasma etching is one of the key elements of the modern integrated circuit manufacturing technology. It is used to shape fine features in material layers forming the devices and requires sophisticated and expensive equipment. Integrated circuits using precisely defined 2 micron lines and spaces are already in production, and even smaller features need to be defined in advanced circuits presently in development. The use of plasma etching is rapidly expanding and so the need to judge the ability of a specific plasma etching process within a given machine to satisfy the requirements of the integrated circuit fabrication.

## Course Overview

The course is designed to provide the participant with a broad overview of the plasma etching process. The course will cover the basic principles of plasma etching, the various types of plasma etching equipment available, and the factors that affect the etching process. The course will also cover the design and fabrication of etching masks and the importance of process control in plasma etching.

## Academic Course Information

The course is designed to provide the participant with a broad overview of the plasma etching process. The course will cover the basic principles of plasma etching, the various types of plasma etching equipment available, and the factors that affect the etching process.

## Course Summary Information

**Date:** March 4-6, 1985  
**Days:** Monday, Tuesday, Wednesday  
**No. of Days:** 3  
**Time:** 8:00 AM - 5:00 PM  
**Hotel:** Sheraton Hotel  
**City:** Cambridge, MA

## Biographies



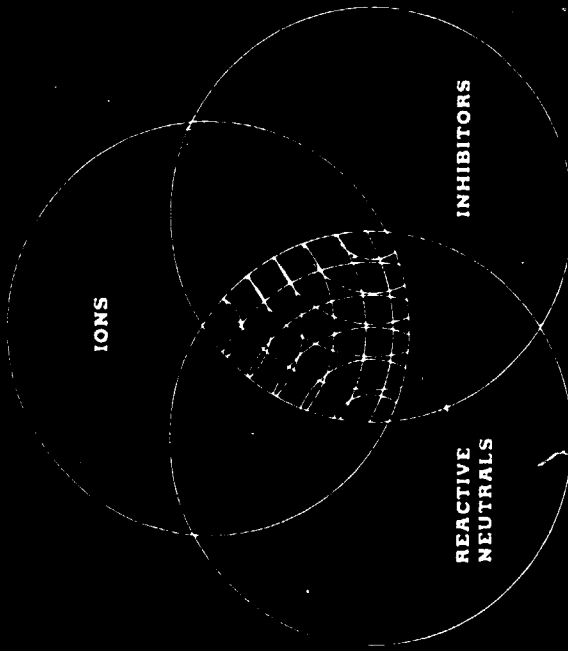
**Samuel Broydo, Ph.D.** is the Director of the Plasma Etching Laboratory at the University of Minnesota. He has been in the field of plasma etching for over 15 years and has published numerous papers on the subject. He is also the author of the book "Plasma Etching: Principles and Practice" published by McGraw-Hill. Dr. Broydo is currently working on the development of new plasma etching processes for the fabrication of integrated circuits.

## Course Director and Instructor

# Plasma Etching

A Unique Three Day Course taught by some of the leading experts in the field.

March 4-6, 1985 March 25-27, 1985  
 Monterey, California Cambridge, Massachusetts



Course Director  
**Dr. Samuel Broydo**

- Instructors
- Dr. Richard Bruce
  - Dr. Brian Chapman
  - Dr. Daniel Flamm
  - Dr. Ken Herb
  - Dr. Thomas Mayer
  - Dr. Eric Sirtin
  - Dr. David Wang

Continuing Education Institute

## Mail Form: Enrollment and Information

Mail to: Continuing Education Institute, 1942 Wilson Boulevard, Arlington, VA 22201

### Application for Enrollment

Please enroll me in the following course

#### PLASMA ETCHING

- March 4-6, 1985       March 25-27, 1985  
 Engr. 196 07 3850      Engr. 196 08 5411  
 Monterey, CA          Cambridge, MA

- Check enclosed payable to Continuing Education Institute  
 Purchase order enclosed  
 Reservation fee (refundable) \$100.00

### Information Request

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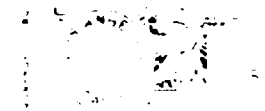
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New Course Request

In-Plant Course Information Request

## Continuing Education Institute

1942 Wilson Boulevard  
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PL8699

**Instructors**

**Richard H. Bruce, Ph.D.**, Manager, VLSI Technology at Xerox Palo Alto Research Center, Palo Alto, California. Prior to joining Xerox he was with Tekon, Elmer, CA, where he was involved in research and development of laser etching processes. He received Ph.D. degree in Solid State Physics from the University of Illinois at Santa Barbara in 1975 and studied post graduate in materials at the City of New York University of New York.

**Daniel L. Flamm, Sc.D.**, Member of Technical Staff at AT&T Bell Laboratories, Murray Hill, New Jersey. He is doing work in chemistry and environmental problems in the laser processing of micro-machined processing equipment and computer systems. He holds a Ph.D. degree in Mathematics and M.S. and Ph.D. degrees in Chemical Engineering from the Massachusetts Institute of Technology. Early in his career he developed process control software at the Eckhart Company and later worked for General Electric Research Laboratory at Texas A&M University. He has published more than 50 papers in areas such as plasma chemistry, environmental pollution, and general chemical engineering.

**G. Kenneth Herb, Ph.D.**, Deputy Director, Member of Technical Staff at AT&T Bell Laboratories, Allentown, Pennsylvania. For the past five years he has been developing a plasma etch chemistry for a type of micro-machining of VLSI integrated circuits. His research has been in the area of developing an phosphor and display technology. VLSI technology in a reliability failure mode analysis. He received Ph.D. in Solid State Physics from Johns Hopkins University.

**Thomas M. Mayer, Ph.D.**, Associate Professor of Chemistry at the University of North Carolina at Chapel Hill. He is working with the Massachusetts Institute of Technology on a project to develop a new type of plasma etching process. He received his Ph.D. from the University of North Carolina at Chapel Hill in 1970. He was a member of the faculty at Bell Laboratories, where he was responsible for the development of plasma etching processes for micro-machining of VLSI integrated circuits. He is currently interested in the development of plasma etching processes for the fabrication of micro-machined devices.

**Eric R. Sirkin, Ph.D.**, Professor of Technology Development at General Electric, Bangalore, India. From 1967 to 1983 he was a Member of Technical Staff at Xerox Palo Alto Research Center, Palo Alto, California where he was engaged in research related to fundamental aspects of plasma etching and in plasma process development. He received his Ph.D. in chemistry in 1960 from the University of Wisconsin where he specialized in chemical lasers, spectroscopy, and photochemical dynamics and fast electrical discharges. He spent two years at the Hebrew University of Jerusalem where he studied and taught a number of courses.

**David N.K. Wang, Ph.D.**, Director, Materials Research and Applications for the Plasma Technology Division of the Plasma Division, Applied Materials Inc., Gardena, California. Prior to joining Applied Materials he was a Member of Technical Staff at Bell Laboratories, Murray Hill, New Jersey, where he did VLSI processing. He has collaborated with Bell Laboratories, Ph.D. in Materials Science from the University of Wisconsin in 1977 and has received a number of awards for his research in the field of plasma etching and micro-machining.

Questions on course content should be directed to the Course Director, Dr. Richard Bruce, 1-408-930-8800.

**Academic Course Information**

**Daily Schedule**

**Tuesday, October 2/October 23**

**9:00 - 12:00 a.m. An Overview (Broydo)**  
Important aspects of plasma physics and chemistry affecting the parameters of an etching plasma etching processes, and microscopy and materials properties which affect the etching process. The connection between these parameters and features of the etched equipment are established.

**1:30 - 4:30 a.m. Plasma Safety Issues (Herb)**  
All equipment, materials and safety aspects relevant to the handling of micro-machining plasma etching processes. Specific equipment and material safety issues will be presented.

**Wednesday, October 3/October 24**

**9:00 - 10:30 a.m. Surface Chemistry and Physics (Mayer)**  
Fundamental interactions of ions and neutral gases with surfaces of micro-machining processes are examined. Ion beam experiments designed to model the plasma conditions that all micro-machining processes must experience are described. The nature of typical micro-machining and the effect of ion bombardment, neutral gas pressure, ion energy, ion flux, and plasma density on etching rates are studied. Ion beam applications to micro-machining processes are also examined.

**10:30 - 12:00 a.m. Plasma Spectroscopy and End Point Detection (Sirkin)**  
Fundamental aspects of the analysis and the end point detection of micro-machining processes are discussed. Practical methods for spectroscopy and end point detection are presented. The fundamental relationships between spectroscopy and end point detection are discussed.

**1:30 - 6:00 p.m. Plasma Chemistry and Physics (Flamm)**  
The chemistry of plasma etching and the physics of the plasma are discussed. The fundamental relationships between the plasma chemistry and the physics of the plasma are discussed. The fundamental relationships between the plasma chemistry and the physics of the plasma are discussed.

**Thursday, October 4/October 25**

**9:00 - 12:00 a.m. Etching in Low Pressure Plasmas (Wang)**  
Fundamental aspects of low pressure plasma etching are discussed. The fundamental relationships between the plasma chemistry and the physics of the plasma are discussed.

**1:30 - 4:30 p.m. Etching in High Pressure Plasmas (Bruce)**  
Fundamental aspects of high pressure plasma etching are discussed. The fundamental relationships between the plasma chemistry and the physics of the plasma are discussed.

**Other Upcoming Short Courses**

Modern Microwave Measurements, Signal & Network Analysis	J. Adams	Boston, MA	Aug. 27-31, 84
		Boston, MA	Sept. 17-21, 84
		Los Angeles, CA	Dec. 1-5, 84
For General Army Engineers	W. Karl Pfeiffer	Boston, MA	Oct. 8-10, 84
		San Diego, CA	Nov. 19-21, 84
Micro-wave Inert Gas Development Concepts	J. Breyer	Palo Alto, CA	Nov. 2-9, 84
Micro-wave Inert Gas Development Concepts	J. Breyer	Palo Alto, CA	Nov. 26-30, 84
		Boston, MA	Dec. 3-7, 84

For brochures on these courses, call the CEI Program Office or return the form on back panel.

**General Information**

**Continuing Education Institute**

The Continuing Education Institute is a non-profit organization dedicated to providing high quality professional development opportunities and applied science. Programs offered focus on the critical technology that are undergoing rapid change. These dynamic educational programs are developed together by CEI and outstanding experts in their related fields. These experts have demonstrated working knowledge and expertise in the subject matter and the ability to present this material in a clear manner to a professional audience. The Continuing Education Institute is shaping the world of tomorrow by providing short courses which challenge the mind.

**New Course Development**

For information regarding New Program Development, contact:  
Dr. Tom E. Mauer, Executive Director, 4989 Wilshire Blvd., Los Angeles, CA 90049, (213) 824-9345.  
Dr. Brent Jacobson, European Director, Box 25, Sjörsjövägen 5, S-61200 Insjöping, Sweden, 46-0122-17570.

**In-Plant Programs**

For information about in-plant programs, hybrid programs and custom programs contact Helen Hegstad, Associate Director, In-Plant Programs at (213) 824-9345.

**Hotel/Setting**

**Palo Alto, California**  
The Continuing Education Institute has selected the Hyatt Palo Alto Hotel for the site of this course. Located near Silicon Valley and Stanford University, this hotel provides a convenient location for many workers in this hot technology area, as well as a place for those from outside the area to find the business and pleasure. It is located in a quiet area between San Francisco and Los Angeles with regularly scheduled transportation to both airports for the participants arriving from outside the area. The hotel is set among magnificent trees and manicured lawns, with extensive facilities including swimming and nearby tennis and golf. For hotel reservations call (415) 433-8800 and request the Continuing Education Institute preferred group rate at least 3 weeks prior to the beginning of the program. The hotel address is 4290 El Camino Real, Palo Alto, California 94306.

**Arlington, Virginia**  
This course will be held at the Twin Bridge Marriott located on the Virginia side of the Potomac River, just minutes from National Airport. It is within Washington and the Pentagon Hotel facilities include an indoor/outdoor pool, sauna and gymnasium. It includes transportation available. For hotel reservations call (202) 628-4200 and request the Continuing Education Institute preferred group rate at least three weeks prior to the course. The hotel address is 33 Jefferson Davis Highway, Arlington, VA 22202.

**Course Fee**

The course fee includes tuition, course materials and refreshment. Faculty, student and participant costs are available. This is a non-profit organization and should be made payable to the Continuing Education Institute and one due one week prior to the course. Refund of fees less 10% processing charge is provided if cancellation is received before the first day of the course. The Course Director reserves the right to cancel registrations if space is limited.

**Registration/Information Requests**

You may register by calling any of the following Registration Centers or by mailing the registration form on the back panel of this brochure. Do not mail a registration form if you register by phone. A confirmation letter, invoice, and course information sheet will be mailed to each registrant. You may also use the registration form for requesting other information on brochures, in-plant course proposals, etc.

	Telephone	Telex
Los Angeles	(213) 824-9345	704789
Washington, DC	(901) 596-0111	
Linköping, Sweden	46-0122-17570	64471

