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UNITED NATIONS INDUSTRIAL DEVELOPMENT
ORGANIZATION

Evaluation Group

REVISED WORK PROGRAMME AND BUDGET
FOR 2008/2009

23 January 2009

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1. Background

The 2008/2009 Work Programme (WP) of the Evaluation Group (OSL/EVA) was approved by the Executive Board in March 2008 and serves four main purposes:

1. to provide a planning instrument for OSL/EVA;
2. to provide information about evaluations planned by OSL/EVA;
3. to ensure that evaluation budgets will be available (from the project budgets) for projects for which, according to the Guidelines for the Technical Co-operation Programme and Project Cycle, an evaluation is required;
4. to substantiate the request for funding for IP/CSF, programme, thematic, meta and process evaluations.

The Executive Board recognized the critical role of evaluation in assessing and validating results and in feeding the lessons learned into the design of organizational strategies. The budget made available for the biennium amounted to euro 573,000 which entailed an increase by 17 per cent, compared to the previous biennium.

The OSL/EVA Work Programme covers **thematic evaluations** and **programme evaluations** in relation to UNIDO's **strategic development priorities** or areas where UNIDO encompasses core competence, a leadership position and an ability to substantially contribute to the achievement of national, regional or global development objectives. Furthermore, the Work Programme includes **evaluations of programmes, themes and processes** where it is felt that an evaluation could significantly contribute to internal and external learning.

2. Implementation of the 2008 Work Programme

The 2008 Work Programme has, with some slight modifications, been carried out as planned and, in all, 22 evaluations were implemented out of which 7 were IP or CSF evaluations, 11 were project evaluations and 3 (ITPOs, Cluster Development and Mini Hydro) had a thematic focus. Moreover, OSL/EVA undertook a review of IP Self-evaluation reports. A full list of the 2008 evaluations is provided as Annex A.

In accordance with the Work Programme, 3 Integrated Programmes (IPs) were evaluated; Burkina Faso, Ethiopia and Uganda and one Country Service Framework; CSF Indonesia. Furthermore, OSL/EVA executed evaluations of 3 IPs; Saudi Arabia, Senegal and Sierra Leone, using funds carried over from the 2006/2007 Work Programme while one IP (Syrian Arab Republic) was moved forward to 2009.

A number of thematic evaluations were initiated during the year; *the Thematic/Meta Evaluation of ITPOs, the Thematic Evaluation of Cluster and Networking Development Initiatives, the Thematic Evaluation on SMTQ and the Thematic Evaluation of human security/post crisis rehabilitation projects in Iraq and Lebanon.*

For the first time UNIDO has been responsible for the evaluation of a GEF directly financed project to *support China in the implementation of the Stockholm Convention* and the draft report was circulated at the end of the year. Other novelties have been the launching of an overriding study with the working title, "*What has UNIDO done to reduce poverty – Evidence from UNIDO evaluation*" as well as the desk review of terminated MP projects.

Moreover, OSL/EVA, as mentioned above, implemented a number of stand-alone project evaluations, directly financed from the budgets of the projects under

evaluation. OSL/EVA also responded to an ad-hoc request from UNIDO management: and initiated a Review of Mini Hydro projects as well as an evaluation of a SME support project in Uruguay.

The total amount approved, by the Executive Board, for 2008 amounted to euro 281,000. A total sum of euro 306,500 has been allotted and the total level of expenditure amounted to euro 178,544, leaving an unspent balance of euro 127,956. In addition, funds carried over from 2007, resulted in expenditures amounting to slightly less than euro 100,000. Detailed information about allotments, expenditure and balance of individual OSL/EVA TC projects implemented in 2008 is provided in Annex B.

3. The 2009 Work Programme

3.1 Evaluations to be carried out by OSL/EVA

The 2009 Work Programme continues OSL/EVA's move towards **thematic and programme evaluations** as well as towards impact evaluations. More specifically, the 2009 Work Programme encompasses; a **meta-evaluation** to extract and disseminate generic lessons learned from 11 evaluations of **Integrated Programmes and Country Service Frameworks conducted in 2008 and 2009** and continuation of the **thematic evaluation of cluster projects** and **review Mini Hydro projects** while a thematic evaluation of **International Technology Centres** will be launched. The **Human Security/Post Crisis evaluation** will link up with the evaluation of the IP Sudan, with the purpose of developing a synthesis paper assessing results and identifying best practices from working in post crisis environments.

The **thematic evaluation of UNIDO's Human security and post crisis rehabilitation activities** is to be built upon the independent evaluation of six projects in Iraq and one in Lebanon. Due to various reasons, the independent evaluations in Iraq and Lebanon were delayed (see Table 3 and 4.2). For one project FB/IRQ/04/003 – Rehabilitation of dairy plant, it has not been possible to launch the evaluation due to the absence of funding. Another reason for delays was the fact that these projects are operating in particularly difficult environments and that project termination dates were repeatedly put forward. Because most of these projects are joint operations with other UN agencies, evaluations often have to be planned and executed jointly, which often prolongs the preparation period. As a result, it will not be possible to finalize this thematic evaluation in 2009 and some activities will be carried over to 2010.

As a result of discussions between OSL/EVA and the TCB Branch, the initially foreseen **thematic evaluation** of UNIDO projects in the area of "Trade Capacity Building" has been changed to "**Standards, Metrology, Testing and Quality**" (**SMTQ**). The scope of this evaluation has, furthermore, been considerably extended to cover evaluations of UEMOA and SAARC projects as well as the impact evaluation of SMTQ projects in Sri Lanka. The Swiss Government (SECO) has provided additional funding for this thematic evaluation. The major activities foreseen in 2009 are independent project evaluations, which will feed into the Thematic Evaluation Report, now foreseen for 2010.

At the request of the Industrial Development Board, OSL/EVA will, in cooperation with the UNDP Evaluation Office, carry out an **evaluation of the UNIDO/UNDP Cooperation Agreement**. Furthermore, a policy-oriented **process evaluation** will cover **the Field Mobility Policy**. Three **impact-oriented evaluations** will be implemented; in relation to the evaluation of IP Cameroon, of the SKIPI project in

Uganda and of SMTQ projects in Sri Lanka. Finally, the Work Programme entails **stand-alone TC project evaluations** and a desk review of Montreal Protocol projects.

While the original Work Programme foresaw an annual document **review of MP projects**, the first of these reviews has been found to require more time than originally envisaged since there was a need to establish a link with the ongoing GEF evaluation of ODS projects and the overall analytical framework had to be developed accordingly. As a result, there will only be one, more comprehensive review, to be carried out in 2009. The resource requirements remain unchanged.

The IPs planned to be evaluated during the year are: the IPs of Cameroon, Cuba and Sudan while the evaluation of the IP Bangladesh has been dropped, because the major part of the Programme, US\$ 7.9 out of the total budget of 9.1 million, was allocated to the “Bangladesh Quality Support Programme”, funded by the European Union, which carried out a comprehensive mid-term evaluation in 2008. There has also been a change in relation to the IP Cameroon, which will now focus on an impact assessment of the two key interventions. In order to aggregate and synthesis findings and identify lessons learned from all the IP evaluation carried out over the biennium, a **Meta IP Desk Evaluation** will be undertaken at the end of the year.

OSL/EVA will continue its efforts to mainstream poverty reduction issues in all its evaluations and, towards the end of 2009, develop a lessons-learned document with the working title “**What has UNIDO done to reduce poverty – evidences from UNIDO evaluations**”. In addition to inform UNIDO stakeholders about the outcome and impact of UNIDO interventions, this study also has the purpose of identifying benchmarks and best practices as well as weaknesses in the planning, monitoring and reporting systems and, as such, contribute to the implementation of the RBM Implementation Plan.

Efforts to increase the usefulness of evaluations and their contribution to organizational learning will be maintained and OSL/EVA will continue to participate, in an advisory capacity, in the Quality Advisory Group and the Project Approval Committee. In addition, OSL/EVA will monitor the usefulness of the Management Response Mechanism and the effectiveness of recommendations and the use of “lessons learned”, thus the quality of learning by assessing the recurrence of problems flagged and similar recommendations made. OSL/EVA will, moreover, continue its support to the full implementation of Results Based Management (RBM) in UNIDO.

The **active involvement in the United Nations Evaluation Group (UNEG)** will go on, with the Director of OSL/EVA assuming a co-chair function of the UNEG Impact Evaluation Task Force, being a member of the NONIE (Networks of Networks on Impact Evaluation) Steering Committee and being a member of the Steering Committee of the Donor Committee on the Development for Results. OSL/EVA staff also participate in UNEG Task Force on Evaluation Quality Enhancement. The evaluation of the UNDP-UNIDO agreement will be carried out as a joint evaluation and the review of MP projects will be done in cooperation with the GEF. The possibility for other joint-evaluations with UN or development agencies will be looked into. Collaboration will also be initiated with donors and with host country Governments for increased ownership of the evaluation process. Resources have been allocated for the strengthening of evaluation capacities of partners.

Table 1 below, provides an overview of the evaluations planned for the various thematic areas.

Table 1: Overview of evaluations planned for 2009

What has UNIDO done to reduce poverty – Evidence from UNIDO evaluations			
<i>Poverty reduction through productive activities</i>	<i>Trade-capacity-building</i>	<i>Energy and environment</i>	<i>Cross-cutting programmes and country level coherence</i>
<ul style="list-style-type: none"> - Thematic evaluation of cluster and networking development initiatives - Stand-alone project evaluations – see Table 3 	<ul style="list-style-type: none"> - Thematic evaluation of trade capacity building projects and including evaluations of two major regional projects - Stand-alone project evaluations – see Table 3 	<ul style="list-style-type: none"> - Thematic Evaluation of Mini Hydro projects - Desk review of MP projects coming to an end in 2009 	<ul style="list-style-type: none"> - Process evaluation of the Field Mobility Policy - Thematic evaluation of International Technology Centres - Thematic evaluation of human security/post-crisis rehabilitation projects in Iraq - Evaluation of the Joint UNIDO/UNDP Cooperation Agreement (to be carried out jointly with UNDP) - 4 IP s; see Table 2 below + Meta IP desk evaluation

Information on the **IPs and CFSs** proposed for evaluation in 2009 is provided in Table 2 below. As mentioned earlier the evaluation of IP Bangladesh has been removed, since the major part of this Programme was evaluated by the donor, in 2008.

Table 2: Evaluations of Integrated Programmes

Country	Total allotment in US\$	Remarks	Evaluation budget in euro
Cameroon (I)	631,575	PCF proposal. Will have impact oriented focus	34,000
Cuba (II)	2,797,292	PCF proposal.	34,000
Sudan (I)	11,587,936	Large size, selected by OSL/EVA.	45,000
Syrian Arab Republic (I)	2,360,558	PCF proposal. Budget carried over from 2006/07 Work Programme.	(29,500)

The budgets for the IP evaluations have been allocated by the Executive Board. IPs might include projects, for which an evaluation is required and projects budgets will in these cases complement the IP evaluation funds.

Information regarding the stand-alone TC projects, for which an independent evaluation is required, is provided in Table 3.

Table 3: 2009 Evaluations of stand-alone TC projects

Country/region	Project No. and title	Total allotment in US\$	Remarks	Evaluation budget (source)
China	TF/CPR/06/005 TN/CPR/06/005 – Assistance to Shanghai Foreign Economic Relations and Trade Commission/ Shanghai Foreign Investment Commission in inward and outward investment promotion (Investment Promotion Center)	601,817	DG request	Funded from project budget
China	TF/GLO/07/032 TN/GLO/07/032 – Investment and Technology Promotion Office for China in Beijing	223,030	DG request	Funded from project budget
Cuba	US/CUB/04/151 - Transfer of EST for cleaner management of municipal solid waste (MSW) in Havana City and "tourist poles" - Pilot demonstration project	1,911,000	Will form part of IP evaluation	Funded from project budget
Iraq	FB/IRQ/04/003 – Rehabilitation of the dairy plant	4,130,387	Large size projects with mandatory evaluations.	Pending because no funding available from project budget
	FB/IRQ/06/003 – Rebuilding food safety and food processing industry capacity in Iraq	1,656,637	Evaluation funds to be made available from project budgets.	Joint evaluation with WHO and FAO; to be launched in 2009
	FB/IRQ/07/004 – Enterprise development and investment promotion in the SME sector in Iraq	2,482,980	All projects should be covered by the thematic evaluation on human security issues, see table 4.2	Project and evaluation delayed
	FB/IRQ/06/002 – Community livelihoods and micro- industry support project in rural and urban areas in North Iraq	2,545,457		Joint evaluation with FAO launched in 2008
	FB/IRQ/07/001 – Community livelihoods and micro-industry support (CLARIS)	2,850,153		Project and evaluation delayed
	FB/IRQ/07/003 – Rehabilitation of the date palm sector in Iraq	2,949,721		Project and evaluation delayed
Lebanon	FB/LEB/07/001 - Support for livelihoods and economic recovery in war-affected areas	2,803,738.	Evaluation is mandatory	Funded from project budget
Palestine	TE/PAL/05/001 - Technology transfer for "Recycling of building material waste" a platform for production of low-cost construction products	3,167,949	Evaluation required according to TC Guidelines	Funded from project budget
Turkey	TF/INT/03/002 - Establishment and operation of the International Centre for Hydrogen Energy Technology (ICHET)	22,555,285	Evaluation is mandatory	Funded from project budget
Uganda	TFUGA05003 - SKIPI	1,271,670	Will be an impact evaluation, project was evaluated recently under IP Uganda	Funded from project budget
UEMOA	EE/RAF/07/017 Appui à la compétitivité et à	4,083,865	Regional Programme. Mid-	Funded from project budget

Country/ region	Project No. and title	Total allotment in US\$	Remarks	Evaluation budget (source)
	l'harmonisation des mesures OTC et SPS		term evaluation required. Part of Thematic TCB evaluation, see Table 4.1	
SAARC	TE/RAS/07/001 TE/RAS/07/A01 TE/RAS/07/B01 TE/RAS/07/C01 TE/RAS/07/D01 Market access and trade facilitation support for South Asian LDCs, through strengthening institutional and national capacities related to standards, metrology, testing and quality (SMTQ) – Phase II	1,744,183	Regional Programme. Mid- term evaluation required Part of Thematic TCB evaluation, see Table 4.1	Funded from project budget
Global	TE/GLO/05/015, TF/GLO/05/015 - Strengthening the local production of generic drugs in LDCs through the promotion of SMEs, business partnerships, investment promotion and S-S cooperation	1,333,589	PTC request	Funded from project budget
Latin America + Global	FI/RLA/06/001 UE/GLO/04/162 UE/GLO/07/009 - Global sustainable energy island initiative	436,190 90,450 132,822	PTC request	Funded from project budget

In addition to the evaluations listed above, OSL/EVA will carry out an impact evaluation of UNIDO SMTQ projects in Sri Lanka. This evaluation will be entirely funded by NORAD, which has provided euro 62,000 for this purpose. The evaluation will cover UNIDO's technical assistance to Sri Lankan SMTQ institutions during the 2000-2006 period. The overall budget for these interventions was more than USD 3 million.

More detailed information in relation to the Meta, thematic, programme and process evaluations is found below in the following tables; 4, 4.1, 4.2, 4.3 and 4.4.

Table 4: Meta, thematic, programme and process evaluations

Theme	Remarks	Proposed year of evaluation	Funds (XP/XA)* (in euro)
<u>Impact-oriented desk study</u> : What has UNIDO done to reduce poverty – evidences from UNIDO evaluations	Will use findings and information from all evaluations and integrate activities to develop methods and tools for impact evaluations	2008 and 2009 2x 15 000	30,000
Thematic Review Mini Hydro Projects	Review initiated in 2008	2008 and 2009	N.A.
Evaluation of the UNDP/UNIDO Cooperation Agreement	To be done jointly with UNDP. Requested by the Executive Board	2009	40.000
<u>Process evaluation</u> : Field Mobility Policy	Will assess the implementation and effectiveness of the Policy	2009	30,000
<u>Thematic evaluation</u> : International Technology Centres	Will include the ICS, ICM, the ICSHP, the ICHET, SITPC and the ITPC. The evaluation report will include a synthesis of the findings regarding the technology transfer oriented centres in China and incorporate findings from the two ITPOs evaluated in China.	2009	40,000
<u>Thematic evaluation</u> : Standards, Metrology, Testing and Quality (SMTQ)	Will cover large-scale SMTQ projects (see table 4.1) coming to an end during the biennium and the SMTQ impact evaluation in Sri Lanka. The findings of 2 EU evaluations will be incorporated.	Launched in 2008	34,000 (+ project funds and SECO funding)
<u>Thematic evaluation</u> : Human security/ Post-crisis rehabilitation (Iraq and Lebanon)	This evaluation will cover projects in Iraq and Lebanon (see table 4.2) coming to an end during the biennium. During 2009 a series of evaluations in Iraq will be implemented. The Lebanon evaluation and the synthesis report will be carried out in 2010	2009 and 2010	34,000 (+ project funds)
<u>Desk review</u> : Montreal Protocol	The review will assess completion reports and extract lessons learned and best practices from these and from MP evaluations. It will also analyze the theory of change and compare with GEF /ODS projects, look into MP projects' contributions to UNIOD goals (industry competitiveness, sustainability, poverty, etc.).	2008 and 2009	30,000
<u>Desk review</u> : IP Projects	To include all the IP and CSF evaluations carried out in 2008 and 2009. To be carried out by OSL/EVA	2009	N.A.
Total			238,000*

* Funds allocated by the Executive Board

In relation to the Thematic Evaluation on International Technology Centres, a specific synthesis paper will be developed, in addition to the report of the Thematic Evaluation, in order to present key findings from the evaluations of the technology transfer oriented centres in China as well as findings from the evaluations of the two ITPOs in China.

Table 4.1 below provides information about the projects covered by the thematic evaluation of SMTQ projects. One project evaluation has been deleted; *FB/AFG/0404/003 Emergency customs modernization and trade facilitation project: Support for establishing the Afghan national Standards and Metrology Authority Phase 1*, due to the non-availability of funds. Moreover, the evaluation of *US/LEB/06002 – Increase access to export markets for Lebanese products and improvement of its quality infrastructure to increase TBT/SPS compliance* has been postponed due to delays in implementation.

Table 4.1 - Thematic evaluation: SMTQ

Theme	Remarks	Budget (in euro)
SMTQ	Will cover SMTQ projects coming to an end during the biennium. The EU evaluations of the trade-related projects in Bangladesh and Pakistan will be incorporated.	34,000
<i>Country/region</i>	<i>Project No./title</i>	
Bangladesh	EE/BGD/05/002, EU/BGD/05/002, - Bangladesh quality support programme	Mid-term evaluation Carried out by the EU
Lebanon	US/LEB/06/002 - Increase access to export markets for Lebanese products and improvement of its quality infrastructure to increase TBT/SPS compliance	Evaluation postponed due to delayed project start
Pakistan	EE/PAK/04/001 - Trade-related technical assistance for Pakistan (see also table 6); EU-funded and will be evaluated by donor	Carried out by EU
Tanzania	US/URT/05/002 - Enhancing the capacities of the quality infrastructure on TBT/SPS for Trade (part of IP URT) (see also table 3)	Funded from project budget
Mozambique	US/MOZ/05/001 – Enhancing the capacities of the Mozambican food safety and quality assurance system for trade (see also table 3)	Funded from project budget
UEMOA	EE/RAF/07/017 Appui à la compétitivité et à l'harmonisation des mesures OTC et SPS	Funded from project budget
SAARC	TE/RAS/07/001 TE/RAS/07/A01 TE/RAS/07/B01 TE/RAS/07/C01 TE/RAS/07/D01 Market access and trade facilitation support for South Asian LDCs, through strengthening institutional and national capacities related to standards, metrology, testing and quality (SMTQ) – Phase II	Funded from project budget

The following table; Table 4.2 presents the projects included in the Thematic evaluation: Human Security/Post-Crisis rehabilitation;

Table 4.2 – *Thematic evaluation: Human security/Post-crisis rehabilitation*

Theme	Remarks	Budget (in euro)
Human security/ Post-crisis rehabilitation in Iraq and Lebanon	This evaluation will cover several large scale projects coming to an end during the biennium	34,000
<i>Country/region</i>	<i>Project No./ title</i>	
Iraq	FB/IRQ/04/003 - Rehabilitation of the dairy plant	No evaluation funds available from project budget
	FB/IRQ/06/003 - Rebuilding food safety and food processing industry capacity in Iraq	Funded from project budget. Joint evaluation with FAO and WHO
	FB/IRQ/07/004 - Enterprise development and investment promotion in the SME sector in Iraq	Funded from project budget
	FB/IRQ/06/002 - Community livelihoods and micro-industry support project in rural and urban areas in North Iraq - Joint evaluation with FAO	Funded from project budget
	FB/IRQ/07/001 - Community livelihoods and micro-industry support Joint evaluation with FAO	Funded from project budget
	FB/IRQ/07/003 - Rehabilitation of the date palm sector in Iraq	Funded from project budget. Joint evaluation with FAO
Lebanon	FB/LEB/07/001 - Support for livelihoods and economic recovery in war-affected areas (see also table 3)	Funded from project budget.

The projects included in the Thematic evaluation on cluster development are indicated in Table 4.3;

Table 4.3 – *Thematic evaluation: Cluster development*

Theme	Remarks	Budget (in euro)
Cluster development	This thematic evaluation will cover recent evaluations and evaluations of large scale projects coming to an end during the biennium, including the following large scale projects;	34,000
<i>Country/region</i>	<i>Project No./title</i>	
Ethiopia	UE/ETH/05/007, US/ETH/05/007 - Unleashing the potential of MSMEs in Ethiopia	Funded from project budget
Peru Morocco	UE/GLO/04/158 - Promotion of SME Export Consortia UE/MOR/07/007 – RENFORCEMENT DES CAPACITES NATIONALES DANS LA PROMOTION ET ACCOMPAGNEMENT DE CONSORTIUMS D'EXPORTATION	
India	A considerable number of CND projects has been carried out in India over the last 10 years. The focus of the India review will be on the UNIDO CND interventions as a whole, i.e. analysing the outcomes of UNIDO interventions in terms of institutional change and capacity building. Several projects will be included in the review, but none of them will be fully evaluated. Among these projects figure:	Funded from project budget
	US/IND/01/193 - Support to the Country Effort to Promote SME Cluster	Funded from project budget
Global	US/GLO/04/116 – Thematic cooperation between UNIDO and Swiss agency for development and cooperation in the area of SME cluster development and corporate social responsibility	Funded from project budget
Global	US/GLO/02/059 – Thematic cooperation between UNIDO and Swiss agency for development and cooperation in the area of SME networking and cluster development	Funded from project budget

Furthermore, existing recent evaluations of UNIDO Integrated Programmes (IP) or Country Service Frameworks (CSF) containing CND initiatives will be included in a comparative analysis of the different individual interventions. This concerns the following evaluations :

Nicaragua	UE/NIC/05/001 - Strengthening and Dissemination of Cluster Development in Nicaragua; evaluation is carried forward from 2006-2007 work plan
Senegal	EE/SEN/05/002 - Appui aux micro activités économiques urbaines (Composante de Padelu) (see also table 3)
Ecuador	Integrated Programme Component on CND
Colombia	Integrated Programme Component on CND
Tunisia	Integrated Programme Component on CND

The implementation of the WP requires funding for fees of external evaluators and for travel of evaluation team members. Table 5 indicates the standard costs for an evaluation.

Table 5: Standard cost estimate for an evaluation

Item	Estimated cost (in euro)
International consultant (1.0 months of fees) x n	15,000
International consultant (DSA and travel) x n	5,000
UNIDO evaluator (DSA and travel) x n	6,000
National consultant (1.5 months of fees) x n	3,000
National consultant (DSA) x n	1,000
Transport and miscellaneous	2,000
Publication	2,000
Total per evaluation	34,000 (+)

According to the table above, the estimated cost of an evaluation with a team consisting of an international consultant, an OSL/EVA staff member and a national consultant is estimated at euro 34,000 per month. Actual costs will depend on the complexity of the evaluation; the number of evaluators needed, the duration of the evaluation exercise, number of countries to be visited, etc..

3.2 Projects evaluated by donors

A number of projects falling under the UNIDO criteria for obligatory evaluations have already been evaluated or are planned to be evaluated by donors or funding agencies. These projects will therefore not require evaluation by UNIDO. Please consult Table 6 for information about these projects and evaluations.

Table 6: Projects to be evaluated by donors

Country	Project No. and title	Total allotment in US\$	Remarks
India	DG/IND/04/952, DG/IND/97/952, EG/IND/98/G34, GN/IND/98/G34, NU/IND/97/952 - Coal bed methane recovery and commercial utilization in India	8,192,259	GEF-funded; project was evaluated mid-term, to be evaluated by UNDP
Pakistan	EE/PAK/04/001 - Trade-related technical assistance for Pakistan	2,618,045	EU-funded: Was evaluated by the EU. Findings will be fed into EVA's thematic trade capacity building evaluation (s. table 4.1, above)
Global	EG/GLO/01/G34 - Removal of barriers to the introduction of cleaner artisanal gold mining and extraction technologies	6,806,801	GEF-funded: Was evaluated by UNDP during 2008
Regional/West Africa	EG/RAF/04/001 - Combating living resource depletion and coastal area degradation in the Guinea Current large marine ecosystem through ecosystem-based regional actions	11,712,705	Two GEF-funded projects: Were evaluated by UNEP in 2008
	GP/RAF/04/004 - Combating Living Resource Depletion and Coastal Area Degradation in the Guinea Current LME through Ecosystem-based Regional Actions	7,172,916	
Regional/West Africa	FC/RAF/04/088 - Hides and Skins Improvement Scheme in West African Countries (Burkina Faso, Mali, Niger, Senegal) CFC-/FIGHS/04	1,250,912	Common Fund project: Was evaluated by the Common Fund in 2008

3.3 Participation in UNEG and other collaboration mechanisms

OSL/EVA will continue to participate UNEG Task Forces and in events organized by UNEG, the GEF Evaluation Office, NONIE and the Donor Committee on Enterprise Development as this enables the UNIDO evaluation function to be in tune with best practices and developments. OSL/EVA staff will participate in the UNEG Annual Meeting, in March 2009, in Nairobi and in a NONIE meeting on impact evaluation, to be held in Cairo, in April 2009.

A budget for OSL/EVA staff to participate in meetings and missions of the UN system has been allocated as well as a budget for sending partners and UNIDO field staff (primarily those being active in monitoring and evaluation task forces in "One UN Pilot Countries) to UNEG sponsored evaluation-related training events.

A PEER Review of UNIDO's evaluation function, jointly implemented by the OECD/DAC Evaluation Network and UNEG, will take place during 2009.

3.4 Other activities

OSL/EVA will continue to support the mainstreaming of RBM within UNIDO. OSL/EVA staff will also continue to participate, in an advisory capacity, in the Quality Advisory Group and the Project Approval Committee. Furthermore, OSL/EVA will manage and monitor the System of Management Responses and Follow-up to Evaluation Recommendations.

4. Overall budget

The overall budget for the biennium remains at euro 573,000, out of which euro 292,000 have been allocated for 2009. The budget breakdowns are given in table 7 below.

Table 7: Evaluation budget

2008	
Evaluations of 3 IPs and one CSF	136,000
Meta, thematic, programme and process evaluations	115,000
Montreal Protocol desk evaluation assessing completion reports and extracting lessons learned from these and from MP evaluations	15,000
Joint missions and activities with international agencies	10,000
Training and capacity building of partners and UNIDO field staff	5,000
Total amount for 2008	281,000
2009	
Evaluations of 4 IPs	113,000
Meta, thematic, programme and process evaluations	149,000
Montreal Protocol desk evaluation assessing completion reports and extracting lessons learned from these and from MP evaluations	15,000
Joint missions with other international agencies	10,000
Training and capacity building of partners and UNIDO field staff	5,000
Total amount for 2009	292,000
Total amount for biennium 2008-2009	573,000

Annex A: List of evaluations carried out in 2008

Integrated Programmes and Country Service Framework

- 1) Independent evaluation of the Integrated Programme for Ethiopia, Phase II
- 2) Independent evaluation of the Integrated Programme for Burkina Faso
- 3) Independent evaluation of the Country Service Framework in Indonesia
- 4) Independent evaluation of the Integrated Programme in Uganda
- 5) Independent evaluation of the Integrated Programme in Senegal
- 6) Independent evaluation of the Integrated Programme in Sierra Leone
- 7) Independent evaluation of the Integrated Programme in Saudi Arabia
- 8) Review of Integrated Programme Self-Evaluation Reports

Project evaluations¹

- 9) Independent evaluation of COMPID (Combating Marginalization and Poverty through Industrial Development)
- 10) Independent evaluation of the UNIDO project UE/NIC/05/001 - Strengthening and disseminating of cluster development in Nicaragua
- 11) Independent evaluation of the UNIDO project GF/CPR/04/002 - Building the Capacity of the People's Republic of China to implement the Stockholm Convention on POPs and develop a national implementation plan
- 12) Independent evaluation of the UNIDO project US/LAO/03/049 - Promotion of cleaner industrial production in the Lao People's Democratic Republic
- 13) Independent evaluation of the UNIDO project US/CMB/03/048 - Promotion of cleaner industrial production in Kingdom of Cambodia
- 14) Independent evaluation of the UNIDO project US/URT/05/002 – Trade and Capacity-Building: Enhancing the Capacities of the Tanzanian Quality Infrastructure and TBT/SPS Compliance System for Trade
- 15) Independent evaluation of the UNIDO project US/MOZ/05/A01 - Enhancing the capacities of the Food Safety and Quality Assurance System for Trade
- 16) Independent evaluation of the UNIDO project UE/GLO/04/158 - Promotion of SME Export Consortia
- 17) Independent evaluation of the Investment and Technology Promotion Office in Greece
- 18) Independent evaluation of the Investment and Technology Promotion Office in Bahrain

Thematic evaluations

- 19) Thematic/Meta evaluation of ITPOs
- 20) Thematic review of the UNIDO Mini Hydro projects in Rwanda, Tanzania, Kenya and Sri Lanka
- 21) Thematic evaluation of the Cluster and Networking Development Initiatives
- 22) Thematic evaluation; mini hydro projects

¹ Evaluation budget taken from project funds

Annex B

Overview of Allotment and Expenditure¹ OSL/EVA TC Projects (2008) (in euro)

Project numbers	Title	Allotment	Expenditure	Balance
XPGLO08040 ²	Desk review of Montreal Protocol	30,000	0	30,000
XPGLO08042	Independent Thematic evaluation of the SMTQ and TCB	34,000	0	34,000
XPGLO08036	Desk review: What has UNIDO done to reduce poverty - Evidences from UNIDO Evaluations	30,000	7,250	22,750
XPGLO08033	Joint missions and activities with international agencies	10,000	1,190	8,810
XPGLO08026	Training and capacity building of partners and UNIDO field staff	10,000	2,674	7,325
XPGLO08017	Independent thematic evaluation of UNIDO Cluster and Networking Development Initiatives	34,000	24,927	9,073
YAETH08007	Independent evaluation of the Integrated Programme for Ethiopia, Phase II	34,500	31,777	2,723
XPGLO08006	Meta evaluation of ITPOs including independent evaluations of ITPOs Greece and Bahrain	22,000	16,907	5,093
YABKF08001	Independent evaluation of the Integrated programme for Burkina Faso	34,000	32,640	1,360
XPINS08001	Independent evaluation of the Country Service Framework in Indonesia	34,000	27,639	6,323
YAUGA08001	Independent evaluation of the Integrated Programme in Uganda	34,000	33,540	460
XPSEN07008 ³	Independent evaluation of the Integrated Programme in Senegal	(39,000)	(37,723)	(1,276)
XPSIL07004	Independent evaluation of the Integrated Programme in Sierra Leone	(8,000)	(7,927)	(73)
XPSAU07001	Independent evaluation of the Integrated Programme in Saudi Arabia	(35,000)	(24,512)	(10,488)
XPGLO07029	Independent evaluation of COMPID	(25,000)	(24,492)	(247)
XPSYR07001 ⁴	Independent evaluation of the Integrated Programme in Syria	(29,500)	(0)	(29,500)
TOTAL		306,500	178,544	127,956

Source: Agresso (as of 6 January 2009)

Total amount approved by EB for 2008: Euro 281,000

Total allotment: Euro 306,500

Total expenditures: Euro 178,544

Unspent balance: Euro 127,956

¹ Includes only XP/YA funds, funds that were used for evaluation purposes that were taken from project budgets are not included in this list

² Funds requested in 2008 but activities will start in 2009

³ UNIDO programmable resources for OSL/EVA WP for 2006-2007 were used to finance the projects XPSEN07008, XPSIL07004, XPSAU07001, XPSYR07001 and XPGLO07029

⁴ The evaluation of IP Syria has been moved to 2009 based on the request of the IP Team Leader and Team Members

