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UNITED NATIONS INDUSTRIAL DEVELOPMENT ORGANIZATION

**Office of the Director-General
Evaluation Group**

**Work Programme and Provisional Budget
for 2014/2015**

1 April 2014

Decisions by the Executive Board

The present document seeks the decision of the Executive Board on the following points:

1. Agreement on continuing the emphasis on strategic and thematic evaluations, with priority given to the Inclusive and Sustainable Industrial Development agenda and on assessing related results at outcome and impact levels but at the same time ensuring that mandatory project evaluations are conducted.
2. Agreement on: five thematic evaluations on: UNIDO trust fund on renewable energy; UNIDO interventions in the area of enterprise/job creation and skills development, including for women and youth; on the implementation of the expanded UNIDO Medium-term programme framework 2010-2013; thematic review of UNIDO Montreal Protocol projects with emphasis on countries in the Latin American and Caribbean and European region; and one thematic evaluation on the UNIDO procurement process with the purpose to foster efficiencies of the procurement process.
3. Agreement to mainstream issues related to contributions to inclusive sustainable industrial development, including to reversing effects on environmental degradation, the promotion of cleaner production and increasing resource efficiency in industrial production and the promotion of renewable energies.
4. Agreement on six country evaluations.
5. Agreement on an annual budget of EUR 30,000 to manage evaluations in relation to the increasing GEF portfolio.
6. Agreement on joint activities with the United Nations Evaluation Group (UNEG) and other international cooperation agencies and on activities to support evaluation capacity building of UNIDO counterpart ministries.
7. An allocation of EUR 285.000 for 2014 and of EUR 305.000 for 2015 for the implementation of the Work Programme

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1. Background and introduction

In line with the UNIDO Evaluation Policy (paragraph 30), the Director General and the Executive Board ensure that adequate resources are allocated to evaluation. As such they approve the biannual work programme (WP) and provisional budget of the UNIDO Evaluation Group (ODG/EVA). The budgets allocated, by the Executive Board, for the biannual work programmes 2008/09, 2010/11 and 2012/13 have been stable at around EUR 600,000.

The WP budget enables ODG/EVA to conduct thematic, impact and country level evaluations, to implement activities jointly with other UN agencies and to contribute to the strengthening of monitoring and evaluation capacities of counterpart ministries. During the present biennium there is a large increase in mandatory project evaluations and a need to complement ODG/EVA staff resources with consultancy services to be able to manage, GEF terminal evaluations and to ensure that UNIDO is aligned to the GEF evaluation policy and its fiduciary standards.

The present Work Programme and provisional budget of the Evaluation Group covers the 2014/2015 biennium and serves four main purposes, namely to:

- 1) Provide a planning and budget instrument for ODG/EVA;
- 2) Provide information to UNIDO managers and staff and other stakeholders on evaluations planned;
- 3) Ensure that evaluation budgets will be available from the project budgets, for projects for which an evaluation is mandatory;
- 4) Substantiate the request for additional funding.

Moreover, the Work Programme responds to the request of the Lima Declaration for UNIDO to report on results in achieving enhanced levels of inclusive and sustainable industrial development and on its development impact. An attempt has also been made to align the Work Programme to the inclusive and sustainable industrial development (ISID) mandate.

The Work Programme is in line with the UNIDO Evaluation Policy and with the UNEG Norms and Standards for evaluation and their principles of independence, credibility and utility. Due consideration has been given to aspects of accountability and availability of evidence-based information about development results, for learning and informed decision-making and strategy formulation. A consultative process was used in developing the Work Programme and proposals for evaluations have been collected from within the Organization.

Moreover, the Director-General's Administrative Instruction No.17/Rev.1 and the appended Guidelines for the Technical Cooperation Programme and Project Cycle (TC Guidelines) provide guidance on the management of technical cooperation programmes and projects. These Guidelines specify that independent terminal evaluations are mandatory for programmes/projects:

- (i) with UNIDO inputs (budget without support costs) exceeding EUR 1 million; and,
- (ii) those being considered for extension in excess of EUR 0.7 million.

The TC Guidelines also specify that independent evaluations should be conducted for projects that are:

- (i) Subject to evaluation requirements and mechanisms established in the funding agreement with the donor;
- (ii) Encountering major implementation problems and/or serious disagreement between stakeholders; or those displaying a remarkable measure of success or replicability.

In these particular instances the donors, counterparts, senior UNIDO management or ODG/EVA may commission an independent evaluation at any time.

The Work Programme incorporates various categories of evaluations: mandatory project evaluations, country evaluations and thematic evaluations, and evaluations of a strategic nature.

As in the past, the country evaluations will continue to review the entirety of UNIDO's presence in a country. Thus, in addition to IPs/CSFs and Country Programmes, components of regional programmes and individual projects, including MP and GEF projects, synergies between projects and programmes as well as contributions to UNIDO's Global Forum function and to UN-wide mechanisms will be assessed. During the biennium there will also be an increased focus on one of the key evaluation criterion, namely efficiency and specifically procurement issues which have been defined as weak areas in many past evaluations.

The 2014/2015 biennium foresees 41 non-GEF project evaluations while 22 GEF projects need a terminal evaluation during 2014/2015, compared to a total number of six GEF projects during 2012/2013.

In view of the substantial growth in TC delivery and a corresponding increase in projects for which an evaluation was mandatory during the 2012/2013 biennium, and based on a relatively limited resource-base in ODG/EVA, the Executive Board agreed on a deeper involvement of PTC staff in the planning and managing of project evaluations. GEF projects were exempted from this procedure and EVA continued to manage the full evaluation process. This delegated management, for projects other than GEF will continue during 2014/2015.

2. Work Programme priority areas and planned evaluations

ODG/EVA will continue to be involved in evaluations at three levels:

1. Strategic, or thematic
2. Country
3. Project and programme

The evaluations were selected on the basis of in-house consultations and the draft ODG/EVA Work Programme was shared with the members of the UNIDO Executive Board. Expressed requests and expected utility were major criteria when selecting strategic, thematic and country evaluations. All evaluations will have a major focus on efficiency and effectiveness issues, including results at the outcome and impact levels and due attention will be given to feeding evaluation findings and recommendations into results-oriented reporting, strategic planning frameworks and organizational learning and to link up with ongoing in-house research activities.

2.1 Thematic evaluations

ODG/EVA will continue to conduct thematic and strategic evaluations as this has been found instrumental to increase the utility of and learning from evaluation. During the biennium 2014/2015, the following evaluations will be conducted:

1. Thematic evaluation: UNIDO trust fund on renewable energy
2. Thematic evaluation: UNIDO interventions in the area of enterprise/job creation, including for women and youth
3. Thematic evaluation on the implementation of the expanded UNIDO Medium-term Programme Framework 2010-2013
4. Thematic review: UNIDO Montreal Protocol projects with emphasis on countries in the Latin American and Caribbean and European regions
5. Thematic evaluation: UNIDO procurement process

A. Thematic evaluation: UNIDO trust fund on renewable energy

The trust fund on renewable energy for productive activities was established, in 2010, in compliance with decision GC.13/Dec.15 with part of the amount of unutilized balances of appropriations of technical cooperation programmes due to Member States. The trust fund is being used to primarily support the formulation of projects at the national level to promote activities aimed at (a) addressing key barriers such as policy, technical, financial and capacity to scale up renewable energy for productive uses; (b) augmenting rural energy to promote income generation activities; (c) promoting private sector investment in renewable energy; (d) leveraging funding from the Global Environment Facility (GEF), European Union (EU) and other funding mechanisms; and (e) strengthening energy and climate security. To date, the trust fund amounts to the value of about EUR 1,172,138 (including support cost). So far, a total of 12 projects with a total value of EUR 217,518 have been approved.¹

The thematic evaluation will review the performance and results of this trust fund and of individual projects and to what extent funded projects triggered funding for follow-up projects. The evaluation is a follow-up to the thematic evaluation of the trade capacity building trust fund, conducted in 2012/13.

B. Thematic evaluation: UNIDO interventions in the area of enterprise/job creation and skills development, including for women and youth

This thematic evaluation will focus on enterprise/job-creation and skills development, including for women and youth, UNIDO recognizes that unemployment, including youth unemployment is a major challenge for many of its partner countries. The organization has developed various tools to promote employment/ economic insertion and skills development and implemented several related projects. It is expected that youth employment will remain high on the agenda and that the demand for UNIDO's services will grow, thus a need to review UNIDO's approaches and related results in a systematic manner. The evaluation will try to identify benchmarks and best practices, to feed into the development and implementation of the Inclusive and Sustainable Industrial Development vision and strategy.

¹ UNIDO/FIN/FMT. Trust Fund for Renewable Energy for Productive Activities. Umbrella Grant 9000400163 (former TE/GLO/10/200). Overview on contributions received and on the balance available for programming as at 31 December 2013.

C. Strategic evaluation: The implementation of the UNIDO Medium-term programme framework 2010-2013

The strategic evaluation will respond to various demands from member states, expressed in decisions of the Industrial Development Board (IDB) and in the Strategic Guidance Document of the Informal working group on UNIDO's future, for consolidated information about the results of technical cooperation programmes. It has also been recommended that the next medium-term programme framework (MTPF) will have an enhanced results focus, including at the impact level. By assessing the achievement of results in relation to the ongoing MTPF and its strengths and weaknesses as an overall planning and reporting framework, the Organization will have additional information and learning that can feed into the development of the MTPF 2016-2019. The evaluation is also in line with calls from external stakeholders, for the UN at large, for more strategic evaluations that feed into strategy and policy-making.

D. Thematic review: UNIDO Montreal Protocol projects with emphasis on countries in the Latin American and Caribbean and European region

As part of its 2008/2009 work programme, ODG/EVA carried out a review of a sample of Montreal Protocol (MP) projects with a view to learn more about the results achieved by MP projects, including indirect ones. This in order for UNIDO to capture lessons learned and best practices that could be applied in other parts of the Organization. During the 2014/2015 biennium, a similar thematic review of a sample of MP projects will be conducted, focusing on countries in the Latin American and Caribbean and in the European region, where a large number of MP projects are being implemented. In addition, the findings of individual MP project reviews under past and planned country evaluations will be considered. The UNIDO review will primarily look into non-ozone depleting substance (ODS) effects and the actual and potential synergies with other UNIDO interventions. The thematic review will also assess how the recommendations from the previous review exercise have been taken into account.

E. Thematic evaluation: UNIDO procurement process

Past project and country evaluations identified several problems related to the procurement process, such as, timeliness and other efficiency related issues. Recognizing that there is a shared responsibility at the different stages of the procurement process, which involves different actors, e.g.: project manager, Procurement Services Unit, government counterparts and institutions, suppliers, partner agencies (e.g. UNDP), a evaluation will take the holistic approach. It is expected that the evaluation will generate information about the procurement process and to help identify major bottlenecks, with the aim to come up with recommendations to make it more efficient. On this basis, procurement-related questions and issues will be mainstreamed in all evaluation ToRs during the biennium and findings will be synthesized in a meta evaluation to be conducted at the end of 2015.

Table 1 below summarizes the envisaged thematic evaluations and proposed time frames and budgetary requirements.

Table 1: Thematic evaluations planned for 2014/2015

Theme	Proposed year	Proposed Budget (in euro)
Thematic evaluation: UNIDO trust fund on renewable energy	2014	35,000
Thematic evaluation: UNIDO interventions in the area of enterprise/job-creation and skills development, including for women and youth	2015	40,000
Thematic evaluation on the implementation of the UNIDO medium-term programme framework 2010-2013	2014	25,000
Thematic review: UNIDO Montreal Protocol projects with emphasis on countries in the Latin American and Caribbean and European region	2015	50,000
Thematic evaluation: UNIDO procurement process	2015	10,000
TOTAL (in Euro)		160,000

2.2 Country evaluations

The ODG/EVA work programme 2014/15 encompasses six country evaluations of which three are to be implemented in 2014 and three in 2015. Table 2 below lists the planned evaluations and provides details on the rationale for selection.

The six countries were selected in collaboration with PTC. Due consideration was, inter alia, given to the size of the technical cooperation portfolio, including Integrated programme (IP), Country programme (CP), Global Environment Facility (GEF) and Montreal Protocol (MP) projects and that no country level evaluation had been conducted during the last few years. Information about the major TC components of the individual country evaluations is provided in annex II.

Table 2: Country evaluations planned for 2014/2015²

Country	Programme	MP portfolio (in euro)	Project portfolio ³ to be covered by the country evaluation (est. in euro)	Remarks	EVALUATION	
					Timing	Budget (in euro)
Indonesia	CP	4,846,626	11,409,901	PTC requested this country evaluation.	2015	40,000
Sierra Leone	IP	36,848	14,459,727	Large size.	2014	40,000
Sri Lanka	IP II	-	9,644,132	PTC requested this country evaluation.	2014	50,000
Thailand	IP (closed)	190,738	10,537,199	Large size.	2015	40,000
Tunisia	IP II	3,049,548	6,626,842	PTC recommended this country evaluation.	2015	40,000
Uruguay	CP preparation will start 2015	619,832	4,070,342	The UR and Director, Regional Office in Uruguay considers this country evaluation relevant and timely.	2014	50,000
TOTAL						260,000

2.3 Project evaluations

A. Project evaluations managed by PTC

ODG/EVA will continue with advisory and quality assurance functions in relation to independent project evaluations managed by PTC. Moreover, the selection of evaluation consultants is mandated to ODG/EVA.

² Source: Information received from PTC/OMD on 2014-02-03 and on 2014-02-14 ; Infobase, and SAP

³ A tentative overview of the project portfolio (excl. MP projects) that is envisaged to be covered by the individual country evaluations is available under annex II.

A flow chart illustrating the roles of the project managers and of ODG/EVA in the project evaluation process and in relation to various evaluation outputs can be found in annex I.

The above procedures do **not** apply to GEF funded projects, for which evaluations are conducted in line with GEF's Evaluation Policy and fiduciary standard and for which ODG/EVA assumes a direct management function. More information on GEF evaluation procedures are included below under Annex IV.

A provisional list of all projects due for evaluation in 2014 and 2015 (tables 3 and 4) has been drawn up by using the following criteria:

- Projects for which an evaluation is mandatory in line with the TC Guidelines and notably projects with a budget above EUR 1 million;
- Projects for which an evaluation is called for in the project document or in an agreement with a donor or recipient government;
- Projects for which an evaluation is specifically requested by PTC or another stakeholder and where there is a solid case for an independent evaluation;
- Project of strategic importance (important learning component or replication possibilities)

As GEF projects have specific evaluation requirements they are listed separately (table 5).

**Table 3: Evaluations of stand-alone projects
(excluding GEF projects) in 2014 (provisional list⁴)**

Country/ region	Project Number	SAP ID	Project Title	Allotment (USD)	Remarks
Arab regional	TF/ARB/10/001	106030	Support the implementation of the regional Arab Standardization Strategy with focus on the regional coordination on accreditation	933,078	PM requested evaluation (Q1/2014)
Côte d'Ivoire	EE/IVC/10/001/A01	102002	Amelioration de la competitivite des entreprises ivoiriennes des secteurs d'exportation non traditionnels	3,874,000	PTC proposal
Ghana		130049	Reintegrating Liberian refugees in Ghana through multi-skills training for sustainable livelihoods & poverty alleviation	454,770	Related project: TF/GHA/06/004 Note: Project manager envisages joint evaluation with project 120459 in Liberia (see below)
Global activities	US/GLO/08/010 SF/GLO/08/009	102044	Operational phase of the International Centre for Advancement of Manufacturing Technology (ICAMT)	1,500,000	Request by PM to conduct eval. in Q1/2014 PTC proposal
	US/GLO/08/012	108007	Establishment of a UNIDO Centre for South-South cooperation, Beijing, China	1,039,912	Request by AMC and PM to conduct eval
	UE/GLO/11/035		Global UNIDO-UNEP	7,706,931	Request by PM

⁴ Source: Information received from PTC/OMD on 2014-02-03 and on 2014-02-14 ; Agresso, Infobase, and SAP

Country/region	Project Number	SAP ID	Project Title	Allotment (USD)	Remarks
			Programme on Resource Efficient and Cleaner Production (RECP) in developing and transition countries	(does not include programme related projects that are to be confirmed by PM)	to conduct eval Related projects incl., inter alia,: UE/GLO/11/G35 and UE/GLO/11/D35 US/INS/12/0025
	US/GLO/10/152	102134	Investment and Technology Promotion Office (ITPO) in Bahrain	2,483,937	Project shows an original end date of 31.12.2013 (SAP)
India	US/IND/10/002	100269	Technology upgradation and productivity enhancement of foundry industry at Coimbatore and Belgaum	1,750,000	PTC proposal
	SF/IND/08/004, US/IND/08/002, XP/IND/12/006 XP/IND/09/001	101064	Promoting livelihoods in north eastern India: the cane and bamboo networking project	1,065,378	Evaluation planned for Q1/2014
Iraq	TF/IRQ/11/001	101100	Operations and industrial maintenance training academy	1,097,974	
Liberia		120434	Promoting youth employment in the mining, construction and agriculture sector	709,740	Evaluation requirement was notified by PM (02/2014)
		120459	Reintegrating for Liberian returnees through skills training and job creation	1,500,000	(see above note)
Nigeria		120624	Supporting Nigeria's Staple Crop Processing Zones (SCPZs)	1,434,390	PTC proposal Planned proj. volume: <i>EUR 2,088,918</i>
Regional Africa	FC/RAF/09/032 SF/CMR/09/001 SF/NIR/09/001	101061	Improving the income generating potential of the oil palm in West and Central Africa region (Cameroon and Nigeria)	2,566,350	PTC proposal
Sierra Leone	US/SIL/10/002		Technical capacity building in fishing and seafood processing technology in Sierra Leone	1,375,221	PM requested evaluation in April/May 2014. Will feed into Sierra Leone country evaluation
Somalia	TF/SOM/12/001	120154	Integration and progress through skills and employment for displaced groups in Somaliland	978,319	Note: Phase 1 of this proj. was evaluated (2012). Following a request from the Unit Chief, it was agreed that the second phase TF/SOM/12/001 would only need a self-evaluation

Country/region	Project Number	SAP ID	Project Title	Allotment (USD)	Remarks
					because of the 1-year nature of these short projects.
South Africa	SE/SAF/09/001/A01 UE/SAF/09/002 TE/SAF/11/001	103097	Industrial energy efficiency improvement in South Africa	5,433,356	PTC proposal
South Sudan		120427	Skills and Employment for Peace – Youth empowerment	897,730	PTC proposal. Will feed into the thematic youth evaluations
Sri Lanka	TE/SRL/06/004	106040	Strengthening international certification capacity in Sri Lanka with particular reference to social accountability standard (SA 8000) and food safety (HACCP/ISO 22000) standard	964,255	Note: PM requested eval. which started in 2013 (originally not included under WP2012/2013, as proj. below eval. threshold) Tri-partite terminal eval. initiated by proj. manager (PTC-managed eval. accompanied by EVA)
Sudan	TF/SUD/11/003	101115	Sustainable food security through community-based livelihood development and water harvesting	3,180,286	PTC proposal NOTE: Responsibility for evaluation needs to be clarified (according to the proj. doc., FAO Eval. Office responsible for final eval.)
UR Tanzania	FB/URT/11/E04	101171	Country Framework of Support to UNDAP 2011-2015: Economic Growth	479,432	PTC proposal NOTE: Another project (FB/URT/11/G04) under the overall programme is suggested to be evaluated in 2015!

Table 4: Evaluations of stand-alone projects in 2015 (provisional list)⁵

Country/ region	Project Number	SAP ID	Project Title	Allotment (USD)	Remarks
Armenia	XX/ARM/12/X03	120455	Productive work for youth	500,000	PTC proposal, This will feed into thematic youth employment evaluation
Burundi	TE/BDI/12/001	100318	TCB for Burundi (EIF) Renforcement des capacités commerciales du Burundi (RCCB)	2,610,372	PTC proposal Donor: Norad and EIF tier 2 Related projects: TE/BDI/11/001 YA/BDI/11/003
Egypt	US/EGY/10/005/A05	106053	Upgrading the Medicinal & Aromatic Plants Value Chain – Access to Export Markets	2,870,000	PTC proposal
Ghana	US/GHA/12/A03 US/GHA/12/003	100317	Improving Ghana's quality of exports	612,088	Mid-term evaluation (considering below project) Donor: Seco
	US/GHA/06/005		Trade capacity building for Ghana	2,519,170	Note: No evaluation was conducted of this project due to funds having been exhausted.
Global activities	TF/GLO/09/022	100175	Support to the establishment and development of an International Centre on Nanotechnology (ICN)	3,000,000	PTC proposal
	EE/GLO/11/039	100340	Reduction of toxic pollution threatening the environment and the health of vulnerable communities	5,000,000	PTC proposal / terminal evaluation NOTE: According to info received from PM, term. eval is likely to take place only in 2016 (!) – mid-term eval. (by Blacksmith in 2014 – see under donor eval.)

⁵ Source: Information received from PTC/OMD on 2014-02-03 and on 2014-02-14 ; Agresso, Infobase, and SAP

Country/ region	Project Number	SAP ID	Project Title	Allotment (USD)	Remarks
					Related projects: EE/GLO/08/041 XX/GLO/11/X12
Global activities	UE/GLO/12/048 TE/GLO/12/026	120117 120191	Strengthening the local production of essential medicines in developing countries through advisory and capacity-building support	2,215,570	Note: An independent terminal eval. of related projects (TE/GLO/08/030T E/GLO/10/023, XP/GLO/11/007) was conducted in 2013
	TF/GLO/07/027/A 27/B27 (SAP: 102080)		UNIDO Centre for international industrial cooperation in the Russian Federation	1,208,851	Note: Project was assessed as part of the country evaluation Russian Federation (2013); it was suggested to conduct the indep. eval. immediately at the start of the next phase of the project (in 2015)
Regional Europe	US/RER/10/002		Establishment of UNIDO Investment and Technology Promotion Office (ITPO) network in EURASEC member states	1,988,174	Carry-over from prev. WP2012/2013 Related project: US/RER/09/001 Note: Proj. was assessed as part of the country evaluation Russian Federation (2013); As there was no opportunity to visit EURASEC member countries; an indep. eval. will be conducted at the time of the ITPO eval. in the Russian Federation
Guinea	TF/GUI/12/003 TF/GUI/12/A03/B 03/C03	120220	Supporting job training for youths in Guinea	2,849,488	PTC proposal .will feed into youth employment evaluation
Haiti	-	120577	Programme d'appui au	1, 000,000	PTC proposal;

Country/ region	Project Number	SAP ID	Project Title	Allotment (USD)	Remarks
			Ministère du Commerce et de l'Industrie (MCI):		NOTE: Proj. might require an evaluation (if not extended) Related projects: EE/HAI/12/001 EE/HAI/07/001, TE/HAI/11/001 XP/HAI/08/001
India		120182	Low-head micro hydropower technology for enhancing access to energy and promoting productive uses in rural areas	1,326,260	PTC proposal / terminal evaluation Note: Proj. rcvd funds (EUR 60,000) from RETF)
Iraq	TF/IRQ/11/004		Strengthening the national quality infrastructure to facilitate trade and enhance consumer protection	4,618,193	Mid-term eval. tentatively in 2015 (to be confirmed with PM); note: project impl. started with delay.
Mozambique	EE/MOZ/12/004, EE/MOZ/12/A04/ D04/H04	100082	Private sector and quality promotion programme for Mozambique	4,600,000	PTC proposal; Related project: YA/MOZ/11/001 TE/MOZ/12/001
	EE/MOZ/12/004/A 04/D04/H04	100082	COMPETIR com Qualidade – Private sector & quality promotion programme for Mozambique	500,000	PTC proposal; Related projects: TE/MOZ/12/001 YA/MOZ/11/001 XX/MOZ/11/X02
Regional Africa	TE/RAF/12/019	100337	Development of an environmentally responsible and fair trade artisanal and small-scale gold mining (ASGM) industry	900,000	PTC proposal;
Russian Federation	US/RUS/10/003		Identification, evaluation and prioritization of pollution hot-spots in the basins of trans-border reservoirs and transfer of environmentally sound technologies	1,310,046	Carry-over from prev. WP2012/2013
Tunisia	UE/TUN/09/004	104107	Renforcement du Centre National de Production Propre en Tunisie – Première partie	2,357,787	PTC proposal; Donor: SECO Related projects: UE/TUN/09/005 UE/TUN/09/001
	TF/TUN/12/004, UE/TUN/12/005	120357	Facilitating youth employment through entrepreneurship and enterprise development in vulnerable regions of Tunisia: El Kef, Kairouan, Kasserine and	2,667,069 (To be confirmed with PMs)	Related project: YA/TUN/12/001 TF/TUN/12/006 Note: Planned proj. ending: 2015

Country/ region	Project Number	SAP ID	Project Title	Allotment (USD)	Remarks
			Sidi Bouzid		
UR Tanzania		102175	Tanzania Industrial Upgrading and Modernization Project (IUMP)	114,310	PTC proposal Note: Project is a component of the CP URT, under the main proj. FB/URT/11/004 (related proj. A04-J04)

B. GEF projects

Evaluation of Global Environment Facility (GEF) projects

In addition to the above, a significant number of GEF projects are due for evaluation during the 2014/15 biennium.

With regard to GEF projects, it should be noted that for GEF projects requiring a terminal evaluation (TE), ODG/EVA will be responsible for the management of such evaluations in order for UNIDO to be aligned to the GEF Fiduciary Standards and the GEF Evaluation Policy. Table 5 below lists those projects (including some basic information) for which a GEF terminal evaluation is foreseen during the current biennium.

Table 5: Overview of GEF projects that require a terminal evaluation managed by ODG/EVA during 2014/2015⁶

GEF TERMINAL EVALUATIONS 2014/2015			
Proj. No./ID	Project title	Grant (in US\$)	TE: Date (mm/dd/yy)
GF/IND/10/001	Environmentally sound management and final disposal of PCBs in India	14,100,000	2014
GF/CPR/12/001	Reduction of Mercury Emissions and Promotion of Sound Chemical Management in Zinc Smelting Operations	990,000	09/12/14
GF/PHI/07/001	POPs non combustion project. Global programme to demonstrate the viability and removal of barriers that impede adoption and successful implementation of available, non-combustion technologies for destroying persistent organic pollutants (POPs)	4,108,500	Q4 (Oct./Nov.) 2014
GF/CPR/07/008	Environmentally Sustainable Management of Medical Waste in China	11,650,000	Carry over from WP2012/2013 11/30/14
GF/CPR/07/009	Strengthening Institutions, Regulations and Enforcement (SIRE) capacities for Effective and Efficient Implementation of the National Implementation Plan (NIP) in China	5,410,000	Carry over from WP2012/2013 11/30/14
GF/MOL/10/001	Reducing Greenhouse Gas Emissions through Improved Energy Efficiency in the Industrial Sector in Moldova	960,000	12/13/14
GF/BKF/12/001	Promoting Energy Efficiency Technologies in Beer Brewing Sector in Burkina Faso	430,000	12/31/2014

⁶ Source: Information received from GEF Coordinator on 16 January 2014; and from PTC/OMD on 2014-02-03 and on 2014-02-14 ; Agresso, Infobase, and SAP

GEF TERMINAL EVALUATIONS 2014/2015			
Proj. No./ID	Project title	Grant (in US\$)	TE: Date (mm/dd/yy)
GF/MOR/09/002	Safe PCB Management Programme in Morocco, Pillar II	2,437,600	Carry over from WP2012/2013 2015
GF/VIE/10/003	CF: Promoting Industrial Energy Efficiency through System Optimization and Energy Management Standards	859,091	01/31/15
GF/RAF/12/001	Improve the Health and Environment of Artisanal and Small Scale Gold Mining (ASGM) Communities by Reducing Mercury Emissions and Promoting Sound Chemical Management	990,000	02/01/15
GF/URT/12/001	Mini-Grids based on micro hydropower sources to augment rural electrification	3,350,000	02/28/15
GF/CHD/12/001	SPWA-CC Promoting renewable energy based mini-grids for rural electrification and productive uses	1,758,182	03/31/15
GF/RAF/07/024 TF/RAF/09/008	Regional project to develop appropriate strategies for identifying sites contaminated by chemicals listed in annexes A, B and/or C of the Stockholm Convention	\$2,000,000 (GF) \$1,049,887(TF)	Carry over from WP2012/2013 NOTE: Eval. date advanced to 05/2014 (Original new eval. date: 05/15)
GF/THA/10/004	Promoting small biomass power plants in rural Thailand for sustainable renewable energy management and community involvement	975,000	5/31/2015
GF/RLA/12/003	Implementing Integrated Measures for Minimizing Mercury Releases from Artisanal Gold Mining	999,900	05/31/15
120016	Improve the Health and Environment of Artisanal Gold Mining Communities in the Philippines by Reducing Mercury Emissions	1,000,000	8/1/2015
GF/RAF/11/002	SPWA-CC Promoting Coherence, Integration and Knowledge Management under Energy Component of SPWA	700,000	9/30/2015
GF/THA/12/001	TT-Pilot (GEF-4): Overcoming Policy, Market and Technological Barriers to Support Technological Innovation and South-South Technology Transfer: The Pilot Case of Ethanol Production from Cassava	2,600,000	10/31/15
120345	Cleantech Programme for SMEs in India	1,000,000	12/31/2015

GEF TERMINAL EVALUATIONS 2014/2015			
Proj. No./ID	Project title	Grant (in US\$)	TE: Date (mm/dd/yy)
GF/GAM/11/001	SPWA-CC Promoting renewable energy-based mini grid for productive uses in rural areas in the Gambia	1,758,190	End-2015
GF/MOR/09/001	Participatory control of desertification and poverty reduction in the arid and semi-arid high plateau ecosystem of Eastern Morocco	5,998,422	Carry over from WP2012/2013 End-2015 (by donor: IFAD)
GF/RUS/11/001	Industrial energy efficiency	18,000,000	End-2015 (according to information received from project manager, 4/2/2014)

For the 2014/2015 biennium a total number of **22 GEF terminal evaluations**⁷ (TEs) are planned (7 in 2014 and 15 in 2015). This represents a significant increase from the six TEs in the previous biennium.

Moreover, **21 GEF projects require mid-term evaluations**¹ (MTEs) during the 2014/2015 biennium (see related project list under annex III). For these evaluations, ODG/EVA will assume a quality assurance role and will assist the responsible project manager in the management of the evaluation if and when required. However, if reasons exist for ODG/EVA to manage a mid-term evaluation (e.g. high risk projects, major disagreements among stakeholders) this will be considered.

For the tasks of managing terminal evaluations and providing support and guidance to mid-term evaluations, ODG/EVA has assigned a GEF focal point within the Evaluation Group. However, there will be a need for additional support to manage the GEF portfolio and EUR 30,000 per anno are allocated ed for consultancy support to assist with preparation and management of GEF-related evaluations. Project managers are responsible to initiate the evaluation process (for both MTEs and TEs) and to ensure that sufficient project resources are available to fund evaluation activities in accordance with GEF standards and provisions in the project document.

Annex IV summarizes the **evaluation requirements** and the **role** of each; the responsible project manager and ODG/EVA for the two main types of GEF projects (medium-sized and full-sized projects) as regards the evaluation process of a mid-term and a terminal evaluation.

It is planned that at the end of the two year cycle preparations will be made for the development of a lessons learned document, providing insights on how the GEF projects have contributed to sustainable industrial development, including contributing to reversing the effects on environmental degradation, the promotion of cleaner production and resource efficiency, increased energy efficiency in industrial production and the promotion of renewable energies. In order to identify best practices and lessons learned these

⁷ The number of evaluations is based on information provided by the GEF Coordination Unit as of 16 January 2014 and on information received from project managers as of 4 February 2014.

issues will be mainstreamed in all GEF evaluations as well as in evaluations of other relevant projects implemented by the Environment and Energy branches.

C. Projects to be evaluated by donors

ODG/EVA will continue to be involved in evaluations of UNIDO executed projects conducted by donors. To this category belong, among other, projects funded by the EU. Annex V provides a list of the foreseen donor-implemented evaluations.

3. Participation in the UNEG and other external working groups

ODG/EVA will continue to be active in United Nations Evaluation Group (UNEG), including participating in various UNEG Task Forces and to have a leading role in the UNEG Peer Reviews Task Force.

4. Methodological development, learning and capacity building

ODG/EVA will pursue its efforts towards to strengthening evaluation capacities within UNIDO and of counterpart ministries.

In order to facilitate the mode of PTC-managed evaluations, EVA has developed and equipped operational staff with the necessary tools (e.g., guidance documents for the drafting of terms of references, gender guidelines) that enable them carry out their evaluation-related tasks. To ensure that EVA/UNEG norms and standards for independent evaluations are met, a quality checklist was developed. In 2014, EVA is planning to organize related clinics for project implementers who will manage evaluations during the new biennium.

The need for strengthened evaluation capacities in partner countries continues to be a priority and is in line with the Busan Outcome Document. ODG/EVA responded to this call by including a component for training and capacity building of partners in its previous work programme. The evaluation training workshops, conducted for English-speaking African countries during the previous biennium, will be followed with capacity building workshops in Asia and in Latin America. The training will be implemented in cooperation with the respective UNIDO Field Offices and the Bureau of Regional Programmes.

5. Estimated budget

In line with the UNIDO Evaluation Policy and TC Guidelines, project evaluations will continue to be financed from the respective project budgets and it is the responsibility of project managers to ensure that evaluation outputs are created and corresponding budgets are available at the time of the evaluation. The standard cost estimate for the evaluation of a EUR 1 million project amounts to EUR 35,000, i.e., 3.5 per cent of total cost. Actual costs will, *inter alia*, depend on the complexity of the evaluation, the number of evaluators needed, the duration of the evaluation exercise and the number of countries to be visited.

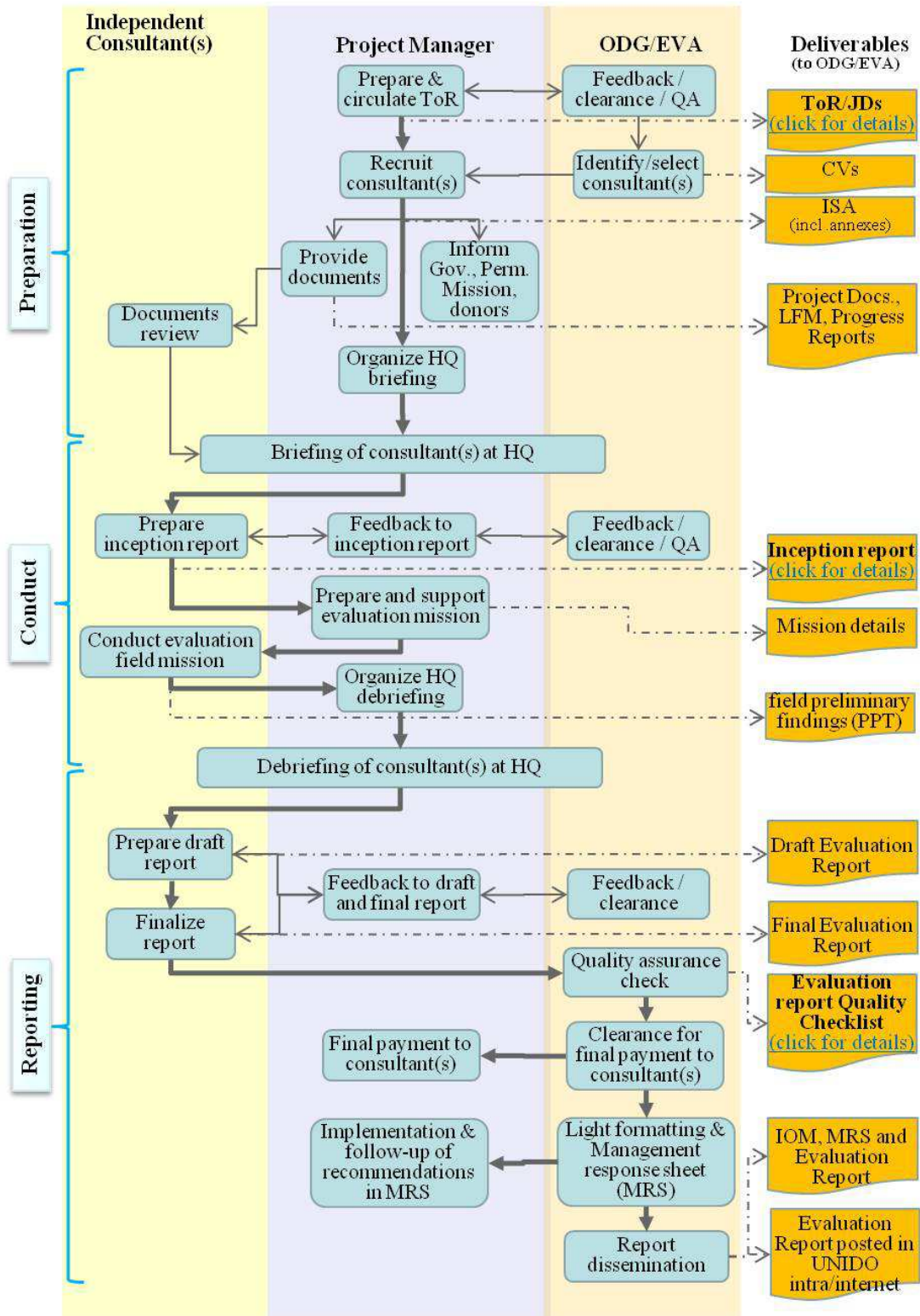
The implementation of the Work Programme requires, however, additional resources to cover fees of external evaluators/consultants and for travel of staff members and consultants. The overall ODG/EVA Work Plan budget for the biennium 2014/2015 is estimated at EUR 5900,000. An amount of EUR 285,000 is budgeted for 2014 and an amount of EUR 305,000 for 2015.

This means that the budget is kept at the same level as the previous biennium. The budgetary requirements for the thematic and country evaluations were provided in tables 1 and 2 above. The overall budget breakdown for the two years is shown in table 6 below.

Table 6: Overall ODG/EVA budgetary requirements 2014 and 2015 (in euro)

2014	Estimated budgetary requirements (in euro)
Three country evaluations	140,000
Thematic evaluations	60,000
Joint missions and activities with international agencies	15,000
Training and capacity building of partners	40,000
GEF evaluations (evaluation support)	30,000
Total amount for 2014	285,000
2015	
Three country evaluations	120,000
Thematic evaluations	100,000
Joint missions and activities with international agencies	15,000
Training and capacity building of partners	40,000
GEF evaluations (evaluation support)	30,000
Total amount for 2015	305,000
Total amount for biennium	590,000

Annex I: Evaluation deliverables and responsibilities



Annex II: Country evaluations and related projects

Country	Project/Programme Title	Allotment (euro)	Remarks
INDONESIA			
CP	Indonesia country programme 2009-2013	3,670,118	Note: CSF Indonesia (Phase II) was evaluated (2008)
US/INS/12/002 (SAP: 100224)	National resource efficient and cleaner production programme in Indonesia	2,542,597	Related projects: UE/INS/09/004
GF/INS/11/001	CF: Promoting Energy Efficiency in the Industries through System Optimization and Energy Management Standards	1,672,040	GEF Mid-term eval. (Jan. 2014) Related project: XP/INS/11/002; and GF/INS/09/001 UE/INS/09/003 XP/INS/09/002
GF/INS/12/003 (SAP: 120042)	Enabling activities to review and update the national implementation plan for the Stockholm Convention on Persistent Organic Pollutants (POPs)	131,380	
TF/INS/08/004/A04	Realizing minimum living standards for disadvantaged communities through peace building and village based economic development	1,486,002	Project was evaluated (2012) – part of CP Related project: US/INS/10/002
TE/RAS/12/005 UE/RAS/05/004	Promotion and transfer of marine current exploitation technology in China and South East Asia (pilot plants)	480,978	
TF/RAS/09/004/A04	Regional Network on Pesticide for Asia and the Pacific Note: A04 – Coordination and technical support services provided by the RENPAP team (until end 2010)	288,676	Related project: TF/RAS/04/001/A01 Note: Project covers, inter alia, Sri Lanka
GF/RAS/10/006 XP/RAS/11/001	Regional plan for introduction of BAT/BEP strategies to industrial source categories of Stockholm Convention Annex C of Article 5 in ESEA region	766,110	Note: Project covers, inter alia, Thailand
XP/GLO/10/005	Follow-up and implementation of Green Industry Initiative	372,000	Related projects: XP/GLO/11/006 XP/GLO/11/010 XP/INT/10/008 Note: Project covers, inter alia, Sri Lanka and Thailand

Country	Project/Programme Title	Allotment (euro)	Remarks
Montreal Protocol project portfolio	The Country Evaluation will include also a review of the portfolio of Montreal Protocol projects with emphasis on results achieved in terms of UNIDO ODS objectives and possible contributions to non-ODS objectives as well as lessons to be learned from implementation practice.		
SIERRA LEONE			
US/SIL/04/102 (SAP: 101139) TE/SIL/11/003 (SAP: 106089) TF/SIL/07/002 (SAP: 102094) DZ/SIL/08/001 (SAP: 090005), and sub-PADs and project(s) under the IP	IP Sierra Leone – Post-conflict SME support programme for industrial development and poverty alleviation	1,122,905	Evaluated by EVA (2008) Note: A country programme formulation for Sierra Leone has been initiated under project – SAP ID: 130086
TE/SIL/11/003 (SAP: 106089) UE/SIL/11/001 (SAP: 106050) XP/SIL/12/007	Quality and compliance infrastructure development	516,510	Related project:
GF/SIL/12/005 YA/SIL/12/006 (SAP: 100328)	Promoting mini grids based on small hydro power for productive uses in Sierra Leone	1,295,734	Note: GEF mid-term eval. planned for 2014 Related projects: YA/SIL/10/001 GF/SIL/09/003 YA/SIL/09/002
TF/SIL/12/004/A04 TF/SIL/12/A04 (SAP: 120198)	Community resilience and entrepreneurship training (CREST) programme	551,106	
FB/SIL/12/002 (SAP: 120448)	Solar-powered local enterprise development (LED) platforms	601,903	
FB/SIL/12/001 (SAP: 120163)	Promoting youth employment through enterprise growth centres	535,150	
TE/SIL/11/002/A02	Rehabilitation of training-cum-production centres in vulnerable communities of Koindu, Kpandebu and Pujehun in Sierra Leone	1,345,464	Project was evaluated (2013)
FB/SIL/10/004	Strengthening the agro-industrial growth centres for income generation and youth employment	204,726	Related project: TE/SIL/10/005
FB/SIL/10/003	Strengthening the agro-industrial growth centres for income generation and youth employment – Component on solar powered business information and communication platforms	110,168	Related project: FB/SIL/10/004 TE/SIL/10/005

Country	Project/Programme Title	Allotment (euro)	Remarks
US/SIL/10/002 (SAP: 101141)	Technical capacity building in fishing and seafood processing technology in Sierra Leone	1,028,838	Related projects: US/SIL/09/004
GF/RAF/11/010	Capacity strengthening and technical assistance for the implementation of Stockholm Convention National Implementation Plans (NIPs) in African Least Developed Countries (LDCs) of the ECOWAS sub-region	2,944,974	
GF/RAF/11/002	SPWA-CC Promoting Coherence, Integration and Knowledge Management under Energy Component of SPWA	515,882	GEF terminal eval. is planned in 2015 Note: Proj. to cover, inter alia, Nigeria
XP/RAF/10/016, YA/RAF/10/002, YA/RAF/09/021, YA/RAF/12/003, YA/RAF/09/001, UE/RAF/09/028	ECOWAS Centre for Renewable Energy and Energy Efficiency (ECREEE). ADA / AECID / ECOWAS / UNIDO	1,767,056	Mid-term eval. took place (2013)
UE/RAF/10/020/A20 YA/RAF/10/011 US/RAF/11/004	Multi-stakeholder programme for productive and decent work for youth in the Manu River Union countries (MRU) components 1a, 1b and 4	754,973	Related projects: TF/RAF/08/025 A25-D25 Note: Phase 1 of project was evaluated (2011)
US/SIL/10/002	Technical capacity building in fishing and seafood processing technology in Sierra Leone	1,164,338	PM requested evaluation of project in April/May 2014. Will be financed by related UNIDO financed project with a budget of EUR 300,000
Montreal Protocol project portfolio	The Country Evaluation will include also a review of the portfolio of Montreal Protocol projects with emphasis on results achieved in terms of UNIDO ODS objectives and possible contributions to non-ODS objectives as well as lessons to be learned from implementation practice		
SRI LANKA			
CP	Country Programme of technical cooperation with Democratic Socialist Republic of Sri Lanka, 2010-2014	1,228,155	
IP II	Integrated Programme of Cooperation between the Government of Sri Lanka and UNIDO 2005-2008	3,014,229	Note: IP I was evaluated (in 2002)

Country	Project/Programme Title	Allotment (euro)	Remarks
TF/SRL/12/004 TE/SRL/12/001	Enhance food safety and product quality in the Sri Lankan cinnamon sector through the establishment of a national cinnamon training academy (NCTA)	392,947	Related project: TF/SRL/11/001
GF/SRL/12/002, GF/SRL/12/A02 XP/SRL/12/A03 XP/SRL/12/003 (SAP: 100043)	Bamboo processing for Sri Lanka	1,931,340	Related project: GF/SRL/09/002, XP/SRL/09/001
TF/SRL/09/003	Phase II - Up-scaling of the activities/services provided by the National Cleaner Production Centre in Sri Lanka	1,022,824	Note: Project was evaluated by donor and report will be available shortly. Related project: TF/SRL/07/001
TF/RAS/09/004/A04	Regional Network on Pesticide for Asia and the Pacific Note: A04 – Coordination and technical support services provided by the RENPAP team (until end 2010)	288,676	Related project: TF/RAS/04/001/A01 Note: Project covers, inter alia, Sri Lanka
TE/RAS/09/003	Implementation of ISO 9001 quality management system in Asian developing countries: survey covering system development, certification, accreditation and economic benefits	429,706	
TE/SRL/06/004	Strengthening international certification capacity in Sri Lanka with particular reference to social accountability standard (SA 8000) and food safety (HACCP/ISO 22000) standard	964,255	Note: PM requested eval. which started in 2013 (originally not included under WP2012/2013, as proj. below eval. threshold) Tri-partite terminal eval. carried out by proj. manager (PTC-managed eval. accompanied by EVA)
XP/GLO/10/005	Follow-up and implementation of Green Industry Initiative	372,000	Related projects: XP/GLO/11/006 XP/GLO/11/010 XP/INT/10/008 Note: Project covers, inter alia, Indonesia and Thailand
THAILAND			
IP	Industry support programme	389,852	IP was closed in 2005
TF/THA/09/004 (SAP: 107034)	UN Joint programme on integrated highland livelihood development in Mae Hong Son	329,181	

Country	Project/Programme Title	Allotment (euro)	Remarks
GF/THA/11/001 XP/THA/11/002 (SAP: 103071)	Industrial energy efficiency	2,685,222	
GF/THA/10/004 (SAP: 100258)	Promoting small biomass power plants in rural Thailand for sustainable renewable energy management and community involvement	718,549	GEF term. Eval. (2015)
GF/THA/12/001/A01 (SAP: 100264)	TT-Pilot (GEF-4): Overcoming Policy, Market and Technological Barriers to Support Technological Innovation and South-South Technology Transfer: The Pilot Case of Ethanol Production from Cassava	1,916,132	GEF mid-term eval. (2014) and term. Eval. (2015)
100258	Promoting small biomass power plants in rural Thailand for sustainable renewable energy management and community involve	390,622	
GF/RAS/10/006 XP/RAS/11/001	Regional plan for introduction of BAT/BEP strategies to industrial source categories of Stockholm Convention Annex C of Article 5 in ESEA region	766,110	Note: Project covers, inter alia, Indonesia Related project: GF/RAS/09/006
GF/RAS/10/003 XP/RAS/11/002	Demonstration of BAT and BEP in fossil fuel-fired utilities and industrial boilers in response to the Stockholm Convention on POPs	2,969,531	GEF mid-term eval. took place (2012)
XP/GLO/10/005	Follow-up and implementation of Green Industry Initiative	372,000	Related projects: XP/GLO/11/006 XP/GLO/11/010 XP/INT/10/008 Note: Project covers, inter alia, Indonesia and Sri Lanka
Montreal Protocol project portfolio	The Country Evaluation will include also a review of the portfolio of Montreal Protocol projects with emphasis on results achieved in terms of UNIDO ODS objectives and possible contributions to non-ODS objectives as well as lessons to be learned from implementation practice.		
TUNISIA			
IP II	Appui aux efforts nationaux pour la création d'entreprises et la mise à niveau du secteur industriel tunisien	1,609,829	

Country	Project/Programme Title	Allotment (euro)	Remarks
TF/TUN/12/004, UE/TUN/12/005 (SAP: 120357)	Facilitating youth employment through entrepreneurship and enterprise development in vulnerable regions of Tunisia: El Kef, Kairouan, Kasserine and Sidi Bouzid	1,965,561	Related project: YA/TUN/12/001 TF/TUN/12/006 Note: Planned proj. ending: 2015
FM/TUN/09/002/A02/B02	Engaging Tunisian youth to achieve the MDGs	498,351	
EE/TUN/12/005 (SAP: 120201)	Conference on productive work for youth in Tunisia and the Mena region	186,916	
TF/TUN/11/001 (SAP: 102096)	Appui à la promotion et à la communication de pôles de compétitivité tunisiens	216,028	
TE/INT/11/015	UNIDO - Hewlett Packard (HP) cooperation for entrepreneurship and IT capacity building in Africa, Asia, Latin America and the Middle East	412,529	Note: Project covers, inter alia, Indonesia and Nigeria
UE/TUN/09/004 UE/TUN/09/004 (SAP: 104107)	Renforcement du Centre National de Production Propre en Tunisie – Première partie	1,737,628	PTC proposal for eval. of project in 2015 Donor: SECO Related projects: UE/TUN/09/005 UE/TUN/09/001
URUGUAY – One UN pilot country			
SF/URU/11/001 (SAP: 10041)	Modular Agro-industrial Centre of Excellence (MAICE)	1,012,181	
FB/URU/10/001	Sound management for MERCURY products	170,481	Related project: XP/URU/10/003
FM/URU/08/004	Strengthening cultural industries and improving access to the cultural goods and services of Uruguay	686,070	
UNIDO projects financed by the One UN Coherence Funds: FB/URU/08/002/A02-D02 FB/URU/08/003/A03-D03	One UN Common Programme for Uruguay – Building capacities for development (2007-2010): Project A – Institutional strengthening for the design of economic development strategies: country international insertion and long term energy policies development (FB/URU/08/002) Project B – Technical assistance for the development of policies to promote sustainable production and employment (central monitoring and coordination) (FB/URU/08/003)	838,464	

Country	Project/Programme Title	Allotment (euro)	Remarks
XP/URU/08/001 UE/URU/04/106	Trade capacity building for social and economic development: upgrading the supply capacity and SME sector access to export markets	688,201	
UE/RLA/12/002	Observatory for renewable energy in Latin America and the Caribbean: towards centers of excellence in renewable energy in LAC	454,545	Related projects: TE/RLA/07/005 UE/RLA/09/001 UE/RLA/09/003 UE/RLA/09/A01 UE/RLA/10/004 Note: Mid-term eval. for above projects was conducted (2013)
XP/RLA/10/001 XP/RLA/08/002 UE/RLA/12/001	Establishment of an interactive scheme to facilitate the exchange of industrial knowledge and expertise ("Industrial Knowledge Bank") in the LAC region	220,400	
Montreal Protocol project portfolio	The country evaluation will also include a review of the portfolio of Montreal Protocol project(s) with emphasis on results achieved in terms of UNIDO ODS objectives and possible contributions to non-ODS objectives as well as lessons to be learned from implementation practice.		

Annex III: Overview of GEF projects that require a mid-terminal evaluation during 2014/2015⁸

GEF MID-TERM EVALUATIONS 2014/2015			
Project No./ID	Project title	Grant	MTE: Date (mm/dd/y)
GF/UKR/11/004	Improving Energy Efficiency and Promoting Renewable Energy in the Agro-Food and other Small and Medium Enterprises (SMEs) in Ukraine	\$5,156,108	01/01/14
GF/THA/12/001	TT-Pilot (GEF-4): Overcoming Policy, Market and Technological Barriers to Support Technological Innovation and South-South Technology Transfer: The Pilot Case of Ethanol Production from Cassava	\$2,600,000	02/28/14
GF/RAF/11/008	AFLDC: Capacity Strengthening and Technical Assistance for the Implementation of Stockholm Convention National Implementation Plans (NIPs) in African Least Developed Countries (LDCs) of the SADC Sub-region	\$1,500,000	02/28/14
GF/MOR/09/002	Safe PCB Management Programme in Morocco, Pillar II	\$2,437,600	Q1/2014
GF/RAF/11/012	AFLDC: Capacity Strengthening and Technical Assistance for the Implementation of Stockholm Convention National Implementation Plans (NIPs) in African Least Developed Countries (LDCs) of the COMESA	\$2,500,000	02/28/14
GF/URT/12/001	Mini-Grids based on micro hydropower sources to augment rural electrification	\$3,350,000	03/14/14
GF/GAM/11/001	SPWA-CC Promoting renewable energy-based mini grid for productive uses in rural areas in the Gambia	\$1,758,190	Mid-2014
GF/SIL/12/005	SPWA-CC Promoting Mini Grids Based on Small Hydropower for Productive Uses in Sierra Leone	\$1,758,182	06/30/14
GF/CHD/12/001	SPWA-CC Promoting renewable energy based mini-grids for rural electrification and productive uses	\$1,758,182	06/30/14
GF/THA/11/001	CF: Industrial Energy Efficiency	\$3,620,000	08/01/14
GF/EGY/12/001	Industrial Energy Efficiency in Egypt	\$3,950,000	08/30/14

⁸ Source: Information received from GEF Coordinator on 16 January 2014; and from PTC/OMD on 2014-02-03 and on 2014-02-14 ; Agresso, Infobase, and SAP

GEF MID-TERM EVALUATIONS 2014/2015			
Project No./ID	Project title	Grant	MTE: Date (mm/dd/y)
GF/IND/11/004	Environmentally Sound Management of Medical Wastes in India	\$10,000,000	08/31/14
GF/CVI/12/002	SPWA-CC Promoting market based development of small to medium scale renewable energy systems in Cape Verde	\$1,718,182	08/31/14
GF/IND/11/001	Promoting energy efficiency and renewable in selected micro SME clusters in India	\$7,172,097	09/01/14
GF/PHI/11/002	CF: Industrial Energy Efficiency	\$3,166,065	09/30/14
GF/MAL/11/002	CF Industrial Energy Efficiency for Malaysian Manufacturing Sector (IEEMMS)	\$4,200,000	10/01/14
GF/CMB/12/002	TT-Pilot (GEF-4): Climate Change Related Technology Transfer for Cambodia: Using Agricultural Residue Biomass for Sustainable Energy Solutions	\$1,690,000	11/06/14
GF/PAK/12/003	Promoting Sustainable Energy Production and Use from Biomass in Pakistan	\$1,820,000	11/31/14
GF/INS/11/001	CF: Promoting Energy Efficiency in the Industries through System Optimization and Energy Management Standards	\$2,180,380	12/01/14
GF/IRA/12/001	Industrial Energy Efficiency in Key Sectors	\$5,450,000	12/30/14
GF/SRL/12/002	TT-Pilot (GEF -4): Bamboo Processing for Sri Lanka	\$2,355,000	11/30/15

Annex IV: Summary of evaluation requirements and roles (responsible project manager and ODG/EVA) for UNIDO implemented GEF projects⁹

	Mid-term evaluations (MTE)	Terminal evaluations (TE)
Formal requirements	MTEs of full-sized projects are mandatory (above USD 2 million) and are recommended for medium-sized projects. There are no GEF guidelines for MTEs. However, it is recommended to use the ODG/EVA Guidelines for GEF terminal evaluations as a yardstick for planning and conducting a MTE.	TEs are mandatory for all projects (irrespective of size) except for enabling activities approved under expedited procedures. They have to be carried out within 6 months before or after project termination. TEs have to be planned and carried out in accordance with the GEF Guidelines for Terminal Evaluations.
ODG/EVA Role	<ul style="list-style-type: none"> - ODG/EVA provides guidance on the preparation of the terms of reference (TOR) and as regards the selection of evaluation consultants for MTEs; - The TOR and the draft and final reports are reviewed by EVA; - On a case-by- case basis, the MTE report is wider circulated by e-mail (IOM and Management Response Sheet are not required); - ODG/EVA uploads the final MTE report on the intra- and internet and archives it in the ODG/EVA library. 	<p>Upon confirmation of the evaluation dates by the PM, ODG/EVA, in cooperation and with inputs by the PM:</p> <ul style="list-style-type: none"> - Prepares the terms of reference (TOR); - Selects evaluation consultant(s). <p>ODG/EVA provides quality assurance during the whole evaluation process. It:</p> <ul style="list-style-type: none"> - Reviews the inception, draft and final evaluation reports; - Organizes the presentation of preliminary evaluation findings at UNIDO HQ, which serves to generate feedback on and discussion of preliminary evaluation findings and recommendations; - Formats the final evaluation report to EVA standards, and

⁹ Other types of GEF evaluations: The GEF Evaluation Offices reserves itself the right to do verifications of evaluations done by the GEF Agencies. UNIDO and Government Partners are responsible to provide information as required by such exercises. The GEF Evaluation Office carries out Country Portfolio Evaluations (CPEs). Whenever such CPEs are done a selection of projects is analyzed in detail, regardless of whether they have been evaluated before by the GEF Agency. UNIDO and Government partners are responsible to provide information as required by such exercises. UNIDO carries out Country Evaluations looking at the entire UNIDO portfolio in a country. GEF projects, regardless of whether they have been or will be evaluated at the project level, can be evaluated within such Country Evaluations.

	Mid-term evaluations (MTE)	Terminal evaluations (TE)
		<ul style="list-style-type: none"> - Submits the final TE report to the GEF Evaluation Office together with a quality review. The evaluators' ratings are reviewed by ODG/EVA; - Circulates within UNIDO the final TE report together with a Management Response Sheet; - Uploads the final TE report on the intra- and internet and archives it in the ODG/EVA library.
Project Manager (PM) role	<p>The PM manages the evaluation. He/she</p> <ul style="list-style-type: none"> - Prepares the terms of reference (TOR) and the job description (JD) of the evaluation consultant(s) on the basis of ODG/EVA guidance; - Selects the evaluation consultant(s) in consultation with ODG/EVA; - Recruits and briefs the evaluation consultant(s) and provides a full set of documents to the evaluation team and supports the organization of the evaluation mission; - Forwards draft and final reports to ODG/EVA for review; - Distributes drafts and final reports to stakeholders (upon review by ODG/EVA); - Organizes presentations of preliminary evaluation findings at UNIDO HQ, which serve to generate feedback on and discussion of evaluation findings and recommendations; - Is responsible for the submission of the final MTE 	<p>The PM:</p> <ul style="list-style-type: none"> - Recruits the evaluation consultant(s) selected by ODG/EVA; - Provides a full set of documents to the evaluation team and supports the organization of the evaluation mission, including travel arrangements; - Reviews the draft evaluation report and provides comments; - He/she is responsible for collecting inputs to the Management Response Sheet and for the timely submission of the same.

	Mid-term evaluations (MTE)	Terminal evaluations (TE)
	report to the GEF Secretariat with a copy to ODG/EVA (GEF focal point).	

Annex V: Donor evaluations

Projects to be evaluated by donors (UNIDO involvement to be decided)

Donors	Country	Proj. No.	SAP ID	Project Title	Allotment (USD)	Remarks
Austrian Dev. Agency (ADA)	Regional Africa	XP/RAF/10/016 YA/RAF/10/002 YA/RAF/09/021 YA/RAF/12/003 YA/RAF/09/001 UE/RAF/09/028		Preparatory and first operational phase of secretariat of the ECOWAS Regional Centre for Renewable Energy and Energy Efficiency (ECREEE). ADA / AECID / ECOWAS / UNIDO	5,637,037	Terminal eval. foreseen in 2015 by ADA Note: Mid-term eval. was conducted in 2013
European Union	Bangladesh	EE/BGD/10/002	106000	Better Work and Standards Programme (BEST): Component 1 – Better Quality Infrastructure (BQI)	1,554,055	PTC proposal; NOTE: Carry-over from prev. WP2012/2013
		EE/BGD/10/A02		Better Work and Standards Programme (BEST): Component 2 – Better Fisheries Quality (BFQ)	3,062,281	Main proj. will be evaluated together with the two connected projects
		EE/BGD/10/B02		Better Work and Standards Programme (BEST): Component 3 – Better Work in Textiles and Garments (BWTG)	1,180,142	Related projects: TE/BGD/10/001/A01/B01TE/BGD/10/004
	Pakistan	EE/PAK/09/008	106005	Trade-related technical assistance – TRTA II		PTC proposal; NOTE: Proj. is going to be extended and will close in May 2016; EU will also evaluate – eval. requ. on part of UNIDO to be verified (see point 7.2 in prodoc); proj. was assessed as part of CE PAK in 2013; Related projects: XP/PAK/12/002 XP/PAK/09/009 TE/PAK/09/001
Global	EE/GLO/11/039	100340	Reduction of toxic pollution threatening the environment and the health of vulnerable communities	5,000,000	NOTE: According to info received from PM, mid-term eval. (by Blacksmith) in 2014 (see under donor eval.) Related projects: EE/GLO/08/041 XX/GLO/11/X12	

Donors	Country	Proj. No.	SAP ID	Project Title	Allotment (USD)	Remarks
European Union	Regional Africa	XX/RAF/11/X20	106006	West Africa Quality Programme (WAQP) - Support to competitiveness and harmonization of TBT and SPS measures	14,500,000	PTC proposal for 2015 Related project: TE/RAF/12/018 (SAP ID: 120128)
UNEP	Cuba	GP/CUB/05/001	103084	Generation and delivery of renewable energy based modern energy services in Cuba; the case of Isla de la Juventud	1.362,143	Proj. carried over from WP2012-2013; Note: Proj. will be extended and final eval. conducted by UNEP during 2014/2015 (PM to inform about exact timing) Note: Mid-term eval by UNEP took place (2010)
	Regional Africa	GP/RAF/08/004 XP/RAF/09/009 YA/RAF/10/003	104072	Demonstrating and capturing best practices and technologies for the reduction of land-sourced impacts resulting from coastal tourism	5,388,000	PTC proposal Related projects: UE/KEN/10/010 XP/RAF/09/009 YA/RAF/09/002 YA/RAF/10/003
One UN Fund	Viet Nam	UNIDO projects implemented under this programme		One UN Plan in Viet Nam		PTC proposal: Evaluation of the One UN Plan in Viet Nam is foreseen in 2015: UNIDO evaluation requirement/involvement to be verified