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## Industrial Development Board

Fourth Session

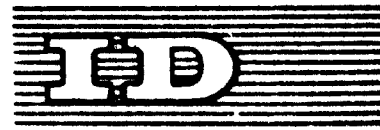
Vienna, 20 - 30 April 1970

Agenda item 12(a)

### REPORTS OF THE JOINT INSPECTION UNIT

Note by the Executive Director

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## Industrial Development Board

Fourth Session

Vienna, 20 - 30 April 1970

Agenda Item 12 (a)

### REPORTS OF THE JOINT INSPECTION UNIT

Development and Co-ordination of the Activities  
of the United Nations Family (document E/4698)

Report on United Nations Activities in Turkey,  
by Robert M. Macy, August 1968

Comments submitted by the Executive Director of UNIDO\*

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\* In agreement with the Secretary-General, these comments are being transmitted directly by the Executive Director of UNIDO to the Industrial Development Board; they are thus submitted as an ID/B/Document.

1. This report relates to the execution of two projects in Turkey:  
(1) Small-Scale Industries Development Programme, and (2) In-Plant Training Centre for Engineers.
2. The Inspector stated that there was evidence of problems encountered in implementing projects in which various United Nations agencies had overlapping authorities.
3. In the case of the Special Fund Project (Small-Scale Industries), for which UNIDO is the executing agency in association with ILO, it was maintained in the Report that attempts by staff of these two agencies to agree on their respective roles in this project had failed.
4. It is to be noted that in the case of projects where the jurisdiction of more than one agency is involved, the general practice of the UNDP is to appoint the agency with the major interest as the executing agency and the agency with the minor interest as an associated-agency. This was the case in this project, where the project was assigned to UNIDO, with ILO as associated agency.
5. Immediately after the approval of the project, UNIDO prepared a Draft Plan of Operation which was submitted to ILO for comments in accordance with the usual practice when two agencies are involved in the execution of a project. The question at issue was the form of association which ILO would exercise but this could not be finalized until the Government has agreed to the contents of the Plan of Operation. The negotiations had to be put in abeyance at one stage pending decisions by the Government on institutional arrangements required by the project as originally approved. After agreement was reached between UNIDO, the Government and UNDP on the institutional question, the terms of association with ILO were settled at a meeting of the ILO/UNIDO Working Party which is the inter-agency machinery set up under the "Memorandum of Guidelines for Co-operation" between the ILO and UNIDO to deal with questions of co-operation in fields of joint interest. The Plan of Operation has been revised and early signature is expected.

6. There were some difficulties in the nomination of a project manager acceptable to the Government of Turkey but, after the resolution of the institutional problem referred to above, a project manager was appointed and is now in the field. Issues of inter-agency competence were not a problem in implementation.

7. As regards the second Special Fund Project in Turkey, In-Plant Training Centre for Engineers, which is being executed in association with ILO and UNESCO, similar problems concerning organizational arrangements and negotiations of the Plan of Operation were experienced. Difficulties also arose with the filling of the post of project manager which is still vacant. In this case again, the issues of inter-agency competence were not involved.

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1. The Joint Inspection Unit was established on 1 January 1968, in accordance with General Assembly resolutions 2150(XXI) and 2360(XXII).<sup>1/</sup> The Inspectors are required to act "singly or in small groups"; "visit any of the different services of the United Nations organizations"; "make on-the-spot enquiries and investigations" if necessary. They will have "the broadest powers of investigation in all matters having a bearing on the efficiency of the services and the proper use of funds in order to examine the way in which they operate and to propose any reforms they deem necessary". They would "make an effective contribution not only towards improving management and methods but also towards achieving greater co-ordination between organizations".<sup>2/</sup>

2. Since the establishment of the Joint Inspection Unit, the Inspectors have visited a number of United Nations bodies as well as some Member States and have issued a number of reports, some of which were addressed to a single organization, and others to more than one organization.<sup>3/</sup>

3. In compliance with the provisions concerning the arrangements for the handling of the reports submitted by the Joint Inspection Unit, proposed by ECOSOC resolution 1457(XLVII) of 8 August 1969 and in the report of the Advisory Committee on Administrative and Budgetary Questions of 30 October 1969,<sup>4/</sup> endorsed by the Fifth Committee of the General Assembly at its twenty-fourth session,<sup>5/</sup> the Executive Director submits to the Industrial Development Board for its consideration the reports of the Joint Inspection Unit, relating to the activities of UNIDO, together with the comments and observations on these reports.

4. The procedures for the submission of these reports to the Board have been outlined in a memorandum by Mr. A.A. Stark, Under-Secretary-General for Administration and Management dated 20 January 1970, relevant excerpts of which appear in annex 1 to this document.

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<sup>1/</sup> The Ad Hoc Committee of Fourteen recommended in its report to the General Assembly that a Joint Inspection Unit be established for an initial period of four years (document A/6343, para. 67B).

<sup>2/</sup> See document A/6343, paras. 65, 66, 67B(c).

<sup>3/</sup> A complete list of their reports is given in document A/C.5/1241, "Report on the Activities of the Joint Inspection Unit, January 1968-June 1969".

<sup>4/</sup> See document A/7738.

<sup>5/</sup> See document A/7849, para. 21.

5. The documentation submitted to the Board consists of the reports of the Inspectors, executive comments on the reports transmitted by the Secretary-General and the Advisory Committee on Administrative and Budgetary Questions. In addition, the comments of the specialized agencies, or an account of the action taken by them on the Inspectors' reports, are also submitted to the Board to provide an indication of the over-all response of the United Nations system to the recommendations of the Joint Inspection Unit. A list of the documentation submitted appears in annex II. While the documents that have been published under an E symbol have been distributed to governments under the normal procedure, a limited number of copies in the four official languages is being made available for the convenience of the members of the Board.

We regret that some of the pages in the microfiche copy of this report may not be up to the proper legibility standards, even though the best possible copy was used for preparing the master fiche.



ANNEX I

PROCEDURES FOR THE HANDLING OF REPORTS  
OF THE JOINT INSPECTION UNIT

Excerpts from a memorandum addressed to the Executive Director of UNIDO by Mr. A.A. Stark, Under-Secretary-General for Administration and Management, dated 20 January 1970

"In operative paragraph 5 of ECOSOC resolution 1457(XLVIII), the Secretary-General is requested to make arrangements with the ACABQ so that in regard to JIU reports concerning the activities of the United Nations itself:

(a) all reports concerning activities under the general responsibility of the Council, together with the Secretary-General's related observations, will be submitted to it, through the Committee of Programme and Co-ordination;

(b) reports concerning the activities of any United Nations programmes will be submitted, with executive comments, to the governing organs of the programmes concerned;

(c) the ACABQ's comments will be transmitted to the Council and CPC, and the governing organs of the United Nations programmes, in time for them to be taken into account before final consideration of the JIU reports.

"As a result of these actions by ECOSOC and the General Assembly, and in view of arrangements which have been made with the ACABQ, the following procedures are proposed to ensure uniformity in the handling of JIU reports concerning activities of United Nations programmes under your respective jurisdiction:

(a) The agenda for the session of a governing organ (TDB, IDB, Governing Council of UNDP, Executive Committee of the UNHCR, and the Executive Board of UNICEF) shall include an item "Reports of the Joint Inspection Unit relating to activities of [name of programme]."

(b) The annotated agenda for the session shall, under this item, advise members of the governing organ:

- (i) that the Executive Head of the programme will submit a report to the governing organ containing the document numbers and dates of issuance of the JIU reports involved, and related comments by both the Secretary-General and the ACABQ. It has been agreed that JIU reports relating to activities under the general responsibility of ECOSOC, under which category it is foreseen the reports relating to activities of UN programmes will fall, will be given full ECOSOC distribution under the "E" symbol. The comments of the Secretary-General (which will include those of the executive head, or otherwise take them into account) will appear as Addendum 1 to these documents, and the comments of the ACABQ will be distributed as Addendum 2 thereto;
- (ii) at the discretion of the Executive Head of each programme, a report may also be submitted to the governing organ giving extracts of the JIU reports relating to the activities of the programme concerned, together with the related comments by both the Secretary-General and the ACABQ. In the case where a JIU report deals exclusively with one programme, this, of course, would not be called for;
- (iii) that, as all members of the United Nations and non-member states participating in programmes will already have received copies of the JIU reports and comments under the general distribution given to "E" documents, only a limited distribution of these will be made afresh, restricted to members of the governing organ concerned, and in only a few copies for each participating delegation."

"Action taken by the governing organs will be included in their report of the sessions in question, and will also be notified to the Secretary-General for submission to ECOSOC, through CPC and to the ACABQ for its information."

ANNEX II

LIST OF THE REPORTS OF THE JOINT INSPECTION UNIT  
AND RELEVANT DOCUMENTS SUBMITTED TO THE BOARD<sup>1/</sup>

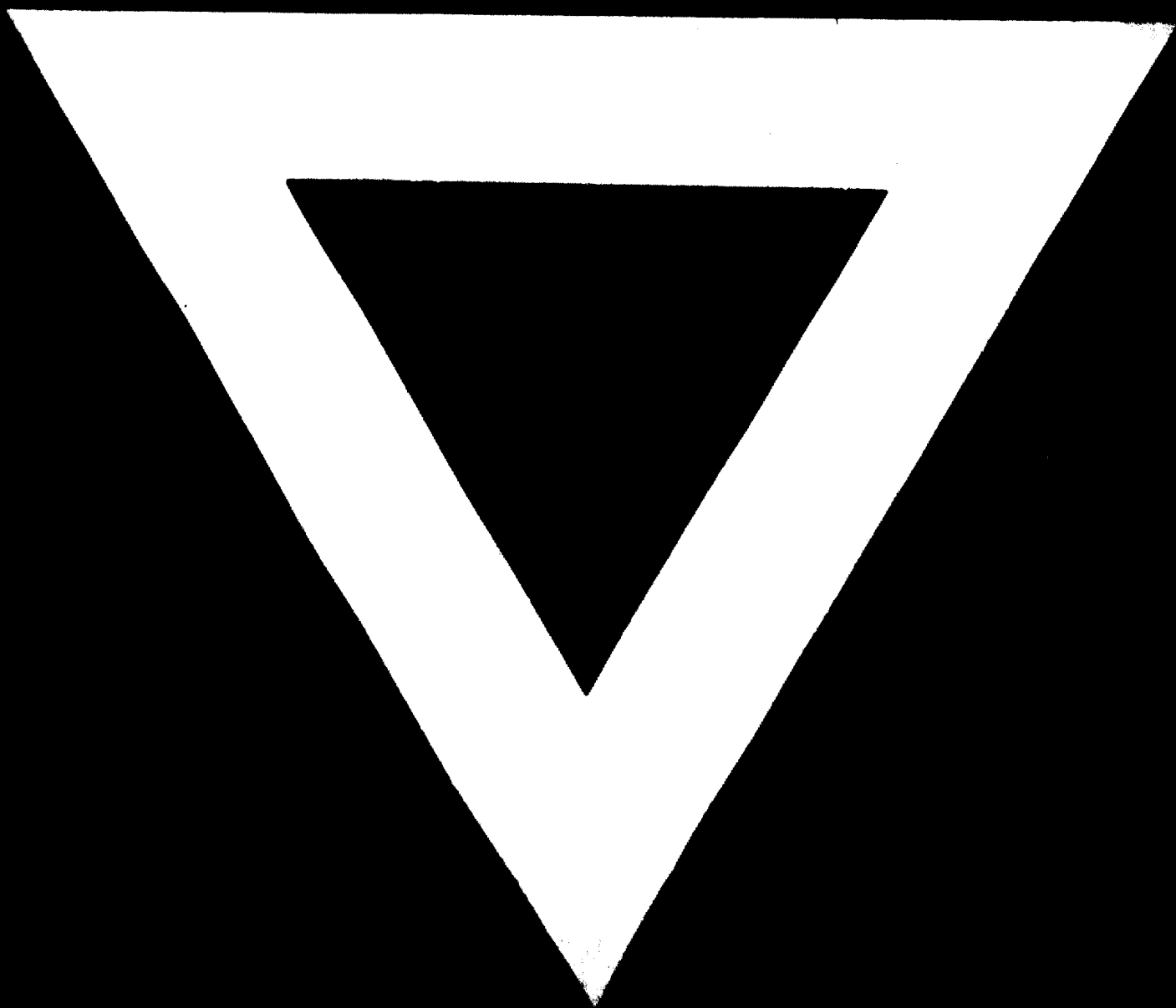
- 1) E/4698      Development and Co-ordination of the Activities  
of the United Nations Family  
Report on United Nations Activities in Turkey  
by Robert M. Macy, August 1968; and  
Report on Co-ordination and Co-operation at  
Country Level by S. Ilic, R.S. Mani, and  
A.F. Sokirkin, October 1968.
- E/4698/Add.1 and Corr.1      Documentation concerning action taken by UNESCO.  
E/4698/Add.2      Documentation concerning action taken by FAO.  
E/4698/Add.3      Documentation concerning action taken by WHO.  
E/4698/Add.4      Observations by the Advisory Committee on  
Administrative and Budgetary Questions.  
E/4698/Add.5      Documentation concerning action taken by WHO.  
E/4698/Add.6      Comments of the Director-General of the ILO.  
E/4773      Comments of the Secretary-General of ICAO and  
action taken by the Council of ICAO.  
ID/B/75/Add.1<sup>2/</sup>      Comments of the Executive Director of UNIDO.
- 2) E/4764      Some Aspects of the Technical Assistance  
Activities of the United Nations, Report by  
Inspectors S. Ilic, C.S. Jha and A.F. Sokirkin,  
July 1969.
- E/4764/Add.1      Note by the Secretary-General to the Advisory  
Committee on Budgetary Questions.  
E/4764/Add.2      Comments of the Advisory Committee on  
Administrative and Budgetary Questions.  
E/4764/Add.3      Observations of the Director-General and action  
taken by the Executive Board of UNESCO.

<sup>1/</sup> The same document may have been listed several times when it contained comments relating to more than one Inspectors' Report.

<sup>2/</sup> Following instructions from the Secretary-General, these comments are being transmitted directly by the Executive Director of UNIDO to the Industrial Development Board; they are thus submitted as an ID/B document.

- E/4764/Add.4 Note and comments by the Secretary-General of IMCO.
- E/4764/Add.5 Observations of the Director-General of the ILO.
- E/4764/Add.6 Observations of the Secretary-General of ITU.
- E/4773 Comments of the Secretary-General of ICAO and action taken by the Council of ICAO.
- E/4774 and Corr.1 Observations of the Secretary-General of WHO.
- 3) E/4766
- E/4766/Add.1 A visit of inspection to Malaysia and Singapore, Report by Inspector L. Scopes, September 1969.
- E/4766/Add.2 Comments by the Secretary-General of the United Nations, the Administrator of UNDP and the Executive Director of UNICEF to the Advisory Committee on Administrative and Budgetary Questions.
- E/4766/Add.3 Comments of the Advisory Committee on Administrative and Budgetary Questions.
- E/4774 and Corr.1 Preliminary Observations of the Director-General of UNESCO.
- 4) E/4781 Observations of the Secretary-General of WHO.
- The Economic Commission for Asia and the Far East, Report by Inspectors S. Ilic, C.S. Jha and A.F. Sokirkin, September 1969.
- E/4781/Add.1 and Corr.1 Note by the Secretary-General to the Advisory Committee on Administrative and Budgetary Questions.





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